



IAPD Report

WESLEY RAGAN YOUNG

CRD# 2758754

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

WESLEY RAGAN YOUNG (CRD# 2758754)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **09/09/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	OAKWOOD ADVISORY GROUP, LLC	CRD# 159691	03/01/2012

QUALIFICATIONS

This representative is currently registered in **0** SRO(s) and **1** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	TRINITY PORTFOLIO ADVISORS, LLC	151721	FORT WORTH, TX	01/19/2010 - 12/09/2010
IA	ROUND TABLE SERVICES, LLC	111304	WESTFIELD, NJ	02/04/2008 - 05/16/2008
IA	GLOBAL INVESTMENT MANAGEMENT	106251	PRINCETON, NJ	05/31/2006 - 03/19/2007

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative?

Yes

The following types of events are disclosed about this representative:

Type	Count
Civil Event	2
Customer Dispute	1
Judgment/Lien	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works.

This individual is currently registered with **1** jurisdiction(s) and **0** SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **OAKWOOD ADVISORY GROUP, LLC**

Main Address: PROSPER, TX

Firm ID#: 159691

	Regulator	Registration	Status	Date
IA	Texas	Investment Adviser Representative	Approved	03/01/2012

Branch Office Locations

OAKWOOD ADVISORY GROUP, LLC

Prosper, TX



Qualifications



PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 0 general industry/product exams, and 1 state securities law exam.

Principal/Supervisory Exams

Exam	Category	Date
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No information reported.

General Industry/Product Exams

Exam	Category	Date
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No information reported.

State Securities Law Exams

Exam	Category	Date
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IA

Uniform Investment Adviser Law Examination (S65)

Series 65

07/20/2004



PROFESSIONAL DESIGNATIONS

This section details that the representative has reported 1 professional designation(s).

Certified Financial Planner

This representative holds or did hold 1 professional designation(s) that may have been used to qualify as an Investment Advisor representative. Please check with the appropriate designation authority for verification that the designation is still in effect. The contact information for these professional designation authorities can be found on the website for the North American Securities Administrators Association at <http://www.nasaa.org>



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	01/19/2010 - 12/09/2010	TRINITY PORTFOLIO ADVISORS, LLC	CRD# 151721	FORT WORTH, TX
IA	02/04/2008 - 05/16/2008	ROUND TABLE SERVICES, LLC	CRD# 111304	WESTFIELD, NJ
IA	05/31/2006 - 03/19/2007	GLOBAL INVESTMENT MANAGEMENT	CRD# 106251	PRINCETON, NJ
IA	07/21/2004 - 05/02/2006	MERCER ADVISORS	CRD# 104569	BALA CYNWYD, PA

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
11/2011 - Present	OAKWOOD ADVISORY GROUP	MANAGING MEMBER	Y	PROSPER, TX, United States
01/2009 - Present	MASS MUTUAL	INSURANCE REPRESENTATIVE	Y	FORTH WORTH, TX, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

INDEPENDENT INSURANCE AGENT REPRESENTING VARIOUS INSURANCE COMPANIES OFFERING LIFE INSURANCE AND ANNUITIES.

Minority Owner of the Managing Member - Investors First Capital Group, LLC - 2012 - Present

Minority Owner of the Managing Member - CoveMark Oil Management, LLC - 2011- Present

Minority Owner of the Managing Member - CoveMark MP Management, LLC - 2014- Present

Minority Owner of the Managing Member - CoveMark Office Management, LLC - 2014 - Present

Minority Owner of the Managing Member - CoveMark Oil Fund II Mgt., LLC - 2014 - Present

Majority Owner of Hughes Lane LLC

Majority Owner of the Managing Member - MED Income Fund Mgt., LLC - 2018-Present

Majority Owner of the Managing Member - MED Income 2 Fund Management, LLC, 2019-Present

Majority Owner of the Managing Member - ResponseCo MGT, LLC, 2019 - Present

Majority Owner of the J Spark Entertainment Group, Inc. 2020 - Present



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Civil Event	2
Customer Dispute	1
Judgment/Lien	1

Civil Event

This disclosure event involves an injunction issued by a foreign or domestic court in connection with investment-related activity, a finding by a domestic or foreign court of a violation of any investment-related statute or regulation, or an action dismissed by a domestic or foreign court pursuant to a settlement agreement.

Disclosure 1 of 2

Reporting Source:	Individual
Initiated By:	U.S. BANK, N.A., AS TRUSTEE ON BEHALF OF MESA IRREVOCABLE TRUST
Relief Sought:	Restitution
Date Court Action Filed:	12/21/2022
Date Notice/Process Served:	12/27/2022
Product Type:	Other: LIMITED LIABILITY COMPANY
Type of Court:	State Court
Name of Court:	COLLIN COUNTY
Location of Court:	COLLIN COUNTY
Docket/Case #:	NO 429-06847-2022
Employing firm when activity occurred which led to the action:	Oakwood Advisory Group, LLC
Allegations:	MEMBER OF THE LIMITED LIABILITY COMPANY IS ALLEGING MISAPPROPRIATION OF FUNDS BY ONE OF THE FUND MANAGERS.
Current Status:	Pending
Limitations or Restrictions in Effect During Appeal:	None
Broker Statement	MESA IRREVOCABLE TRUST (MESA) IS A MEMBER OF MSS GROWTH AND INCOME FUND, LLC (MSSGI) FROM 2018 UNTIL PRESENT. IT WAS PROJECTED THPAY DISTRIBUTIONS TO MESA, AND RETURN MESA'S PRINCIPLE AT A TIME CERTAIN, WHICH FAILED TO MATERIALIZE. MESA HAS



FILED SUIT AGAINST RAG(YOUNG) AND OAKWOOD ADVISORY GROUP (OAKWOOD) DESPITE THE FACT THAT NEITHER YOUNG OR OAKWOOD ARE OBLIGATED TO REPAY MESA. MESAYOUNG, ALONG WITH MSSGI AND THE OTHER MANAGER OF MSSGI HAD DEFRAUDED IT AND OWES ITS INITIAL INVESTMENT AND INTEREST, ETC. YOUNG DENY THE ALLEGATIONS AGAINST THEM IN THIS SUIT.

Disclosure 2 of 2

Reporting Source:	Individual
Initiated By:	IFC SECURED NOTES, LLC
Relief Sought:	Restitution
Date Court Action Filed:	07/28/2023
Date Notice/Process Served:	08/08/2023
Product Type:	Other: LIMITED LIABILITY COMPANY
Type of Court:	State Court
Name of Court:	DALLAS COUNTY
Location of Court:	DALLAS COUNTY
Docket/Case #:	NO:DC-23-10453
Employing firm when activity occurred which led to the action:	OAKWOOD ADVISORY GROUP, LLC
Allegations:	MEMBER OF THE LIMITED LIABILITY COMPANY IS ALLEGING MISAPPROPRIATION OF FUNDS BY ONE OF THE FUND MANAGERS.
Current Status:	Pending
Limitations or Restrictions in Effect During Appeal:	None
Broker Statement	IFC Secured Notes, LLC (of which Ragan Young (Young) is an investor in) brought suit against Material Supply Solutions, LLC (MSS), which Young was a minority manager, and Young himself. IFC invested into a loan with MSS that was supposed to be secured by materials lien to a construction project in Charleston, SC. The loan was never repaid to IFC and after Young was made aware through third party bank accounts that the majority managing member of MSS actions may have contributed to MSS'S failure to repay IFC, Young informed IFC of such. IFC then brought the suit against Young, MSS, and the majority managing member. Young denies the allegations brought against him in this suit.



Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 1

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	Oakwood Advisory Group
Allegations:	MEMBER OF THE LIMITED LIABILITY COMPANY IS ALLEGING MISAPPROPRIATION OF FUNDS BY ONE OF THE FUND MANAGERS.
Product Type:	Other: LIMITED LIABILITY COMPANY
Alleged Damages:	\$110,000.00
Is this an oral complaint?	No
Is this a written complaint?	Yes
Is this an arbitration/CFTC reparation or civil litigation?	No

Customer Complaint Information

Date Complaint Received:	09/04/2023
Complaint Pending?	Yes
Settlement Amount:	
Individual Contribution Amount:	



Judgment/Lien

This disclosure event involves an unsatisfied and outstanding judgment or lien against the Investment Adviser Representative.

Disclosure 1 of 1

Reporting Source:	Individual
Judgment/Lien Holder:	GREMILLION FAMILY LIVING TRUST
Judgment/Lien Amount:	\$1,300,000.00
Judgment/Lien Type:	Civil
Date Filed with Court:	07/30/2025
Date Individual Learned:	07/30/2025
Type of Court:	State Court
Name of Court:	DISTRICT COURT 134TH JUDICIAL DISTRICT
Location of Court:	DALLAS COUNTY, TEXAS
Docket/Case #:	DC-23-11310
Judgment/Lien Outstanding?	Yes



End of Report

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