



## IAPD Report

# JOSEPH PAUL TRYBULA JR

CRD# 2771725

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Please contact FINRA with any concerns.



## **IAPD Information About Representatives**

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

### **What is included in a IAPD report?**

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

### **Where did this information come from?**

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

### **How current is this information?**

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

### **Need help interpreting this report?**

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

### **What if I want to check the background of an Individual Broker or Brokerage Firm?**

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

### **Are there other resources I can use to check the background of investment professionals?**

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



## Report Summary

### JOSEPH PAUL TRYBULA JR (CRD# 2771725)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **01/21/2026**.

### CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	DIVERSIFIED FINANCIAL ADVISORS, LLC	CRD# 118261	08/23/1997
B	LPL FINANCIAL LLC	CRD# 6413	09/08/2009
IA	LPL FINANCIAL LLC	CRD# 6413	01/22/2026

### QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **29** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

**Note:** Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

### REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	LPL FINANCIAL CORPORATION	6413	FORT MILL, SC	03/25/2010 - 12/31/2010
B	MUTUAL SERVICE CORPORATION	4806	TOWN & COUNTRY, MO	09/18/1996 - 09/08/2009

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

### DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative?

**No**
















## Qualifications

### REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **29** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

### Employment 1 of 2

Firm Name: **LPL FINANCIAL LLC**  
Main Address: 1055 LPL WAY  
FORT MILL, SC 29715  
Firm ID#: 6413

	Regulator	Registration	Status	Date
	FINRA	General Securities Representative	Approved	09/08/2009
	FINRA	Invest. Co and Variable Contracts	Approved	09/08/2009
	FINRA	General Securities Principal	Approved	01/06/2010
	Alabama	Agent	Approved	06/18/2010
	Arizona	Agent	Approved	03/25/2010
	Arkansas	Agent	Approved	07/15/2013
	California	Agent	Approved	07/29/2024
	Colorado	Agent	Approved	09/23/2009
	District of Columbia	Agent	Approved	07/15/2013
	Florida	Agent	Approved	09/08/2009
	Georgia	Agent	Approved	06/16/2010
	Illinois	Agent	Approved	09/08/2009
	Indiana	Agent	Approved	07/15/2013



## Qualifications

	Regulator	Registration	Status	Date
B	Kansas	Agent	Approved	03/30/2010
B	Kentucky	Agent	Approved	07/15/2013
B	Maine	Agent	Approved	03/30/2010
B	Maryland	Agent	Approved	09/08/2009
B	Massachusetts	Agent	Approved	01/17/2018
B	Minnesota	Agent	Approved	05/17/2013
B	Missouri	Agent	Approved	09/08/2009
B	New Hampshire	Agent	Approved	01/11/2025
B	New Mexico	Agent	Approved	01/21/2026
IA	New Mexico	Investment Adviser Representative	Approved	01/22/2026
B	New York	Agent	Approved	05/21/2012
B	North Carolina	Agent	Approved	03/30/2010
B	North Dakota	Agent	Approved	03/17/2015
B	Ohio	Agent	Approved	09/08/2009
B	Pennsylvania	Agent	Approved	03/30/2010
B	South Carolina	Agent	Approved	12/13/2022
B	Tennessee	Agent	Approved	07/15/2013
B	Texas	Agent	Approved	03/25/2010
B	Virginia	Agent	Approved	03/25/2010



## Qualifications

Regulator	Registration	Status	Date
<b>B</b> Wisconsin	Agent	Approved	09/08/2009

## Branch Office Locations

### LPL FINANCIAL LLC

14323 S OUTER FORTY DR #210S  
TOWN AND COUNTRY, MO 63017-0000

## Employment 2 of 2

Firm Name: **DIVERSIFIED FINANCIAL ADVISORS, LLC**  
Main Address: 14323 SOUTH OUTER FORTY RD  
SUITE 210 SOUTH  
TOWN AND COUNTRY, MO 63017  
Firm ID#: 118261

Regulator	Registration	Status	Date
<b>IA</b> Missouri	Investment Adviser Representative	Approved	08/23/1997
<b>IA</b> Texas	Investment Adviser Representative	Approved	04/24/2014

## Branch Office Locations

### DIVERSIFIED FINANCIAL ADVISORS, LLC

14323 SOUTH OUTER FORTY DRIVE, SUITE 210 SOUTH  
TOWN AND COUNTRY, MO 63017




## Qualifications

### PASSED INDUSTRY EXAMS




This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

**This individual has passed 1 principal/supervisory exam, 3 general industry/product exams, and 1 state securities law exam.**


#### Principal/Supervisory Exams

	Exam	Category	Date
	General Securities Principal Examination (S24)	Series 24	01/05/2010

#### General Industry/Product Exams

	Exam	Category	Date
	Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
	General Securities Representative Examination (S7)	Series 7	03/11/1998
	Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	09/17/1996

#### State Securities Law Exams

	Exam	Category	Date
	Uniform Securities Agent State Law Examination (S63)	Series 63	09/09/1996

### PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **1** professional designation(s).

#### Certified Financial Planner

This representative holds or did hold **1** professional designation(s) that may have been used to qualify as an Investment Advisor representative. Please check with the appropriate designation authority for verification that the designation is still in effect. The contact information for these professional designation authorities can be found on the website for the North American Securities Administrators Association at <http://www.nasaa.org>



## Registration & Employment History

### PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	03/25/2010 - 12/31/2010	LPL FINANCIAL CORPORATION	CRD# 6413	FORT MILL, SC
B	09/18/1996 - 09/08/2009	MUTUAL SERVICE CORPORATION	CRD# 4806	TOWN & COUNTRY, MO

### EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
09/2009 - Present	LPL FINANCIAL, LLC	Registered Representative	Y	TOWN & COUNTRY, MO, United States
06/1993 - Present	DIVERSIFIED FINANCIAL ADVISORS, LLC	Investment Adviser Representative	Y	TOWN & COUNTRY, MO, United States

### OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1. 8/13/2013: Diversified Financial Advisors, LLC - Investment Related - At Reported Business Location(s) - DBA for LPL Business (entity for LPL business).
2. 10/15/2013: J. TRYBULA, INC - Investment Related - At Reported Business Location(s) - Business Entity For Tax/Investment Purposes Only - Time Spent 1% - For accounting purposes only not held out to the public; provides consulting services to RIA Diversified Financial Advisors, LLC.
3. 10/22/2015: No Business Name - Investment Related - At Reported Business Location(s) - Non-Variable Insurance - Started 09/21/2015 - 1 Hours Per Month During Securities Trading.
4. 09/27/2021 - Diversified Financial Advisors, LLC - Investment Related - At Reported Business Location(s) - Registered Investment Advisor Hybrid - Start Date: 10/2009 - 80 Hours Per Month/4 Hours During Securities Trading - Time Spent 50% - I provide investment advisory services through Diversified Financial Advisors, LLC, an independent investment advisor firm. I started this business activity in 10/2009. I expect to spend approximately 80 hours per month on this activity. Please see the advisory firm's Form ADV for more information about its address, the nature of its business, its owners, and its services at <http://www.adviserinfo.sec.gov/IAPD>. The firm is separate from and independent of LPL Financial.



## End of Report

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