IAPD Report

Victoria Vysotina

CRD# 2788744

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When communicating online or investing with any professional, make sure you know who you're dealing with. Imposters might link to sites like BrokerCheck from phishing or similar scam websites, or through social media, trying to steal your personal information or your money.

Please contact FINRA with any concerns.

IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page http://www.nasaa.org/IAPD/IARReports.cfm

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association http://www.nasaa.org

Report Summary

Victoria Vysotina (CRD# 2788744)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **08/18/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	UBS FINANCIAL SERVICES INC.	CRD# 8174	01/07/2025
IA	UBS FINANCIAL SERVICES INC.	CRD# 8174	01/07/2025

QUALIFICATIONS

This representative is currently registered in **10** SRO(s) and **53** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.
Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	MORGAN STANLEY	149777	New York, NY	02/22/2022 - 05/24/2024
B	MORGAN STANLEY	149777	New York, NY	01/14/2022 - 05/24/2024
B	HSBC SECURITIES (USA) INC.	19585	NEW YORK, NY	12/06/2005 - 01/17/2008

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **No**

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Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works.
This individual is currently registered with **53** jurisdiction(s) and 1**0** SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **UBS FINANCIAL SERVICES INC.**

Main Address: 1200 HARBOR BOULEVARD
WEEHAWKEN, NJ 07086

Firm ID#: 8174

	Regulator	Registration	Status	Date
B	BOX Exchange LLC	General Securities Principal	Approved	01/07/2025
B	BOX Exchange LLC	General Securities Representative	Approved	01/07/2025
B	Cboe Exchange, Inc.	General Securities Principal	Approved	01/07/2025
B	Cboe Exchange, Inc.	General Securities Representative	Approved	01/07/2025
B	FINRA	General Securities Principal	Approved	01/07/2025
B	FINRA	General Securities Representative	Approved	01/07/2025
B	NYSE American LLC	General Securities Principal	Approved	01/07/2025
B	NYSE American LLC	General Securities Representative	Approved	01/07/2025
B	NYSE Arca, Inc.	General Securities Principal	Approved	01/07/2025
B	NYSE Arca, Inc.	General Securities Representative	Approved	01/07/2025
B	NYSE Texas, Inc.	General Securities Principal	Approved	01/07/2025
B	NYSE Texas, Inc.	General Securities Representative	Approved	01/07/2025
B	Nasdaq ISE, LLC	General Securities Principal	Approved	01/07/2025

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Qualifications

	Regulator	Registration	Status	Date
B	Nasdaq ISE, LLC	General Securities Representative	Approved	01/07/2025
B	Nasdaq PHLX LLC	General Securities Principal	Approved	01/07/2025
B	Nasdaq PHLX LLC	General Securities Representative	Approved	01/07/2025
B	Nasdaq Stock Market	General Securities Principal	Approved	01/07/2025
B	Nasdaq Stock Market	General Securities Representative	Approved	01/07/2025
B	New York Stock Exchange	General Securities Principal	Approved	01/07/2025
B	New York Stock Exchange	General Securities Representative	Approved	01/07/2025
B	Alabama	Agent	Approved	01/07/2025
B	Alaska	Agent	Approved	01/07/2025
B	Arizona	Agent	Approved	01/07/2025
B	Arkansas	Agent	Approved	01/07/2025
B	California	Agent	Approved	01/07/2025
B	Colorado	Agent	Approved	01/07/2025
B	Connecticut	Agent	Approved	01/07/2025
B	Delaware	Agent	Approved	01/07/2025
B	District of Columbia	Agent	Approved	01/07/2025
B	Florida	Agent	Approved	01/07/2025
B	Georgia	Agent	Approved	01/07/2025
B	Hawaii	Agent	Approved	01/07/2025

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Qualifications

	Regulator	Registration	Status	Date
B	Idaho	Agent	Approved	01/07/2025
B	Illinois	Agent	Approved	01/07/2025
B	Indiana	Agent	Approved	01/07/2025
B	Iowa	Agent	Approved	01/07/2025
B	Kansas	Agent	Approved	01/07/2025
B	Kentucky	Agent	Approved	01/07/2025
B	Louisiana	Agent	Approved	01/07/2025
B	Maine	Agent	Approved	01/07/2025
B	Maryland	Agent	Approved	01/07/2025
B	Massachusetts	Agent	Approved	01/07/2025
B	Michigan	Agent	Approved	01/07/2025
B	Minnesota	Agent	Approved	01/07/2025
B	Mississippi	Agent	Approved	01/07/2025
B	Missouri	Agent	Approved	01/07/2025
B	Montana	Agent	Approved	01/07/2025
B	Nebraska	Agent	Approved	01/07/2025
B	Nevada	Agent	Approved	01/07/2025
B	New Hampshire	Agent	Approved	01/07/2025
B	New Jersey	Agent	Approved	01/07/2025

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Qualifications

	Regulator	Registration	Status	Date
B	New Mexico	Agent	Approved	01/07/2025
B	New York	Agent	Approved	01/07/2025
IA	New York	Investment Adviser Representative	Approved	01/07/2025
B	North Carolina	Agent	Approved	01/07/2025
B	North Dakota	Agent	Approved	01/07/2025
B	Ohio	Agent	Approved	01/13/2025
B	Oklahoma	Agent	Approved	01/07/2025
B	Oregon	Agent	Approved	01/07/2025
B	Pennsylvania	Agent	Approved	01/07/2025
B	Puerto Rico	Agent	Approved	01/07/2025
B	Rhode Island	Agent	Approved	01/07/2025
B	South Carolina	Agent	Approved	01/07/2025
B	South Dakota	Agent	Approved	01/07/2025
B	Tennessee	Agent	Approved	01/07/2025
B	Texas	Agent	Approved	01/07/2025
IA	Texas	Investment Adviser Representative	Approved	01/07/2025
B	Utah	Agent	Approved	01/07/2025
B	Vermont	Agent	Approved	01/07/2025
B	Virgin Islands	Agent	Approved	01/07/2025

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Qualifications

	Regulator	Registration	Status	Date
B	Virginia	Agent	Approved	01/07/2025
B	Washington	Agent	Approved	01/07/2025
B	West Virginia	Agent	Approved	01/07/2025
B	Wisconsin	Agent	Approved	01/07/2025
B	Wyoming	Agent	Approved	01/07/2025

Branch Office Locations

UBS FINANCIAL SERVICES INC.
1285 AVENUE OF THE AMERICAS
19TH FLOOR
NEW YORK, NY 10019

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Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 1 principal/supervisory exam, 4 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

	Exam	Category	Date
B	General Securities Principal Examination (S24)	Series 24	04/29/2023

General Industry/Product Exams

	Exam	Category	Date
B	General Securities Representative Examination (S7TO)	Series 7TO	01/14/2022
B	Securities Industry Essentials Examination (SIE)	SIE	11/16/2021
B	National Commodity Futures Examination (S3)	Series 3	09/25/1996
B	General Securities Representative Examination (S7)	Series 7	08/31/1996

State Securities Law Exams

	Exam	Category	Date
IA B	Uniform Combined State Law Examination (S66)	Series 66	02/22/2022
B	Uniform Securities Agent State Law Examination (S63)	Series 63	10/14/1996

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.

Only professional designations listed in Question 8 of the Form U4 will appear in this section if the appropriate box is checked and verified by the issuing organization at the time of the filing. Learn more about eligible designations at IARD and NASAA.

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Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	02/22/2022 - 05/24/2024	MORGAN STANLEY	CRD# 149777	New York, NY
B	01/14/2022 - 05/24/2024	MORGAN STANLEY	CRD# 149777	New York, NY
B	12/06/2005 - 01/17/2008	HSBC SECURITIES (USA) INC.	CRD# 19585	NEW YORK, NY
B	04/30/2004 - 12/15/2004	MORGAN STANLEY & CO., INCORPORATED	CRD# 8209	NEW YORK, NY
B	09/18/2003 - 04/29/2004	EIM SECURITIES (USA) INC.	CRD# 40065	NEW YORK, NY
B	02/07/2001 - 03/14/2003	CREDIT SUISSE FIRST BOSTON LLC	CRD# 816	NEW YORK, NY
B	10/25/1996 - 03/16/1999	MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED	CRD# 7691	NEW YORK, NY

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
01/2025 - Present	UBS FINANCIAL SERVICES INC.	Financial Advisor	Y	New York, NY, United States
05/2024 - 12/2024	Wealth Growth Partners	Chief Investment Officer	Y	New York, NY, United States
08/2021 - 05/2024	MORGAN STANLEY	Managing Director, Chief Investment Strategist	Y	New York, NY, United States
06/2017 - 07/2021	Crexa Capital Advisors	Chief Investment Officer	Y	NEW YORK, NY, United States
11/2010 - 06/2017	VV Strategic Group	Founder & Chief Investment Officer	Y	New York, NY, United States
06/2016 - 03/2017	Exotix USA Inc.	Managing Director/ Orginations	Y	New York, NY, United States

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Registration & Employment History

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

Unified State Group,,United States30308,https://www.unifiedstategroup.com/,CompanyInformation TechnologyMember of the Advisory BoardActiveAdvisor or advisory group member if advice given may influence an officer/boardMember of the Advisory Group, primarily providing market review, Start date -2/7/2024

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End of Report

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