



## IAPD Report

**MICHELLE RUTH DREWRY**

CRD# 2790426

<b><u>Section Title</u></b>	<b><u>Page(s)</u></b>
Report Summary	1
Qualifications	2 - 4
Registration and Employment History	5 - 6



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Please contact FINRA with any concerns.



## **IAPD Information About Representatives**

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

### **What is included in a IAPD report?**

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

### **Where did this information come from?**

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

### **How current is this information?**

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

### **Need help interpreting this report?**

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

### **What if I want to check the background of an Individual Broker or Brokerage Firm?**

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

### **Are there other resources I can use to check the background of investment professionals?**

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



## Report Summary

### MICHELLE RUTH DREWRY (CRD# 2790426)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **11/28/2025**.

### CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
<b>B</b>	OSAIC WEALTH, INC.	CRD# 23131	06/14/2024
<b>IA</b>	OSAIC ADVISORY SERVICES, LLC	CRD# 171070	11/08/2024

### QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **3** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

**Note:** Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

### REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
<b>IA</b>	ARBOR POINT ADVISORS	165127	PORTLAND, OR	11/06/2020 - 11/08/2024
<b>B</b>	SECURITIES AMERICA, INC.	10205	PORTLAND, OR	11/06/2020 - 06/14/2024
<b>IA</b>	KMS FINANCIAL SERVICES, INC	3866	BEAVERTON, OR	06/01/2011 - 11/06/2020

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

### DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative?

**No**



## Qualifications

### REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **3** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

#### Employment 1 of 2

Firm Name: **OSAIC ADVISORY SERVICES, LLC**  
Main Address: 2300 WINDY RIDGE PARKWAY  
SUITE 750  
ATLANTA, GA 30339  
Firm ID#: 171070

	Regulator	Registration	Status	Date
<b>IA</b>	Oregon	Investment Adviser Representative	Approved	11/08/2024

#### Branch Office Locations

**OSAIC ADVISORY SERVICES, LLC**  
27800 NW OLSON ROAD  
GASTON, OR 97119

#### Employment 2 of 2

Firm Name: **OSAIC WEALTH, INC.**  
Main Address: 18700 N. HAYDEN ROAD  
SUITE 255  
SCOTTSDALE, AZ 85255  
Firm ID#: 23131

	Regulator	Registration	Status	Date
<b>B</b>	FINRA	General Securities Representative	Approved	06/14/2024
<b>B</b>	FINRA	Invest. Co and Variable Contracts	Approved	06/14/2024
<b>B</b>	FINRA	Investment Co./Variable Contracts Prin	Approved	06/14/2024
<b>B</b>	Arizona	Agent	Approved	06/14/2024
<b>B</b>	Oregon	Agent	Approved	06/14/2024
<b>B</b>	Washington	Agent	Approved	06/14/2024



Qualifications

Regulator	Registration	Status	Date
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Branch Office Locations

OSAIC WEALTH, INC.  
GASTON, OR



## Qualifications



### PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

**This individual has passed 1 principal/supervisory exam, 3 general industry/product exams, and 2 state securities law exams.**

#### Principal/Supervisory Exams

Exam	Category	Date
<b>B</b> Investment Company Products/Variable Contracts Principal Examination (S26)	Series 26	02/05/2001

#### General Industry/Product Exams

Exam	Category	Date
<b>B</b> Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
<b>B</b> General Securities Representative Examination (S7)	Series 7	12/08/2005
<b>B</b> Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	11/29/1996

#### State Securities Law Exams

Exam	Category	Date
<b>IA</b> <b>B</b> Uniform Combined State Law Examination (S66)	Series 66	04/20/2006
<b>B</b> Uniform Securities Agent State Law Examination (S63)	Series 63	03/27/1997



### PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



## Registration & Employment History

### PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	11/06/2020 - 11/08/2024	ARBOR POINT ADVISORS	CRD# 165127	PORTLAND, OR
B	11/06/2020 - 06/14/2024	SECURITIES AMERICA, INC.	CRD# 10205	PORTLAND, OR
IA	06/01/2011 - 11/06/2020	KMS FINANCIAL SERVICES, INC	CRD# 3866	BEAVERTON, OR
B	06/01/2011 - 11/06/2020	KMS FINANCIAL SERVICES, INC.	CRD# 3866	BEAVERTON, OR
IA	05/04/2006 - 06/30/2011	AMERITAS INVESTMENT CORP	CRD# 14869	PORTLAND, OR
B	10/10/2002 - 06/30/2011	AMERITAS INVESTMENT CORP.	CRD# 14869	PORTLAND, OR
B	08/30/2001 - 10/08/2002	UNITED SECURITIES ALLIANCE, INC.	CRD# 36487	GREENWOOD VILLAGE
B	07/18/1997 - 08/10/2001	WMA SECURITIES, INC.	CRD# 32625	DULUTH, GA
B	12/02/1996 - 07/31/1997	WADDELL & REED, INC.	CRD# 866	OVERLAND PARK, KS

### EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
11/2024 - Present	OSAIC ADVISORY SERVICES, LLC	Mass Transfer	Y	ATLANTA, GA, United States
06/2024 - Present	OSAIC WEALTH, INC.	Mass Transfer	Y	GASTON, OR, United States
11/2002 - Present	IRON HORSE FINANCIAL GROUP	REPRESENTATIVE	Y	BEAVERTON, OR, United States
11/2020 - 11/2024	ARBOR POINT ADVISORS	IAR	Y	PORTLAND, OR, United States
11/2020 - 06/2024	SECURITIES AMERICA, INC.	REGISTERED REPRESENTATIVE	Y	PORTLAND, OR, United States
06/2011 - 11/2020	KMS FINANCIAL SEVRVICES, INC	REGISTERED REP	Y	SEATTLE, WA, United States



## Registration & Employment History



### OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

**1. ARBOR POINT**

POSITION: Advisor NATURE: APA INVESTMENT RELATED: Yes NUMBER OF HOURS: 160 SECURITIES TRADING HOURS: 100 START DATE: 11/06/2020

ADDRESS: 12325 Port Grace Blvd, La Vista NE 68128, United States

DESCRIPTION: Arbor point

**2. DBA - NW Investment & Retirement Group, LLC.**

**3. INSURANCE AGENT**

POSITION: Insurance Agent NATURE: Insurance Agent INVESTMENT RELATED: Yes NUMBER OF HOURS: 40 SECURITIES TRADING HOURS: 40 START DATE: 10/01/1997

ADDRESS: P.O. Box 700, Gaston OR 97119, United States

DESCRIPTION: Insurance Agent

**4. PERSONAL SUPPORT WORKER**

POSITION: Personal Support Worker NATURE: Personal Support Worker (PSW) INVESTMENT RELATED: No NUMBER OF HOURS: 40 SECURITIES TRADING HOURS: 4 START DATE: 07/01/2018

ADDRESS: P.O. Box 700, Gaston OR 97119, United States

DESCRIPTION: Personal Support Worker (PSW) for disabled sister and disabled son. I provide transportation, assist with shopping, scheduling medical and personal benefits appointments, communication with the public, budgeting, meal preparation, cleaning and assistance with ADLs per their individual care plans.

**5. NO NAME**

POSITION: House and pet sitter NATURE: House and pet sitting. INVESTMENT RELATED: No NUMBER OF HOURS: 0 SECURITIES TRADING HOURS: 0 START DATE: 01/01/2020

ADDRESS: P.O. Box 700, Gaston OR 97119, United States

DESCRIPTION: House and pet sitting.





## End of Report

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