



IAPD Report

JUSTIN MATTHEW CONNORS

CRD# 2805785

<u>Section Title</u>	<u>Page(s)</u>
Report Summary	1
Qualifications	2 - 4
Registration and Employment History	5 - 6
Disclosure Information	7



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Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

JUSTIN MATTHEW CONNORS (CRD# 2805785)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **05/12/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	INDEPENDENT FINANCIAL PARTNERS	CRD# 125112	05/26/2009
B	IFP SECURITIES, LLC	CRD# 297287	05/22/2019

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **8** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	LPL FINANCIAL LLC	6413	COCOA BEACH, FL	09/08/2009 - 05/23/2019
IA	LPL FINANCIAL LLC	6413	COCOA BEACH, FL	01/30/2012 - 02/10/2012
B	MUTUAL SERVICE CORPORATION	4806	COCOA BEACH, FL	04/24/2009 - 10/01/2009

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Criminal	1
Customer Dispute	2



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with 8 jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **IFP SECURITIES, LLC**
Main Address: 3030 NORTH ROCKY POINT DRIVE WEST,
SUITE 700
TAMPA, FL 33607
Firm ID#: 297287

Regulator	Registration	Status	Date
B FINRA	General Securities Representative	Approved	05/22/2019
B FINRA	General Securities Sales Supervisor	Approved	05/22/2019
B Alabama	Agent	Approved	04/12/2021
B California	Agent	Approved	06/21/2019
B Colorado	Agent	Approved	06/27/2019
B Florida	Agent	Approved	05/22/2019
B Maryland	Agent	Approved	10/22/2019
B New Jersey	Agent	Approved	06/24/2019
B Ohio	Agent	Approved	10/21/2021
B Virginia	Agent	Approved	10/17/2019

Branch Office Locations

6767 North Wickham Road
Suite 400 HH
Melbourne, FL 32940

1701 N. ATLANTIC AVE
COCOA BEACH, FL 32931




Qualifications

2770 Indian River Blvd
Suite 307
Vero Beach, FL 32960

Employment 2 of 2

Firm Name: **INDEPENDENT FINANCIAL PARTNERS**
Main Address: 3030 NORTH ROCKY POINT DRIVE WEST
SUITE 700
TAMPA, FL 33607
Firm ID#: 125112

Regulator	Registration	Status	Date
 Florida	Investment Adviser Representative	Approved	05/26/2009

Branch Office Locations

INDEPENDENT FINANCIAL PARTNERS
101 N. ATLANTIC AVE
COCOA BEACH, FL 32931

INDEPENDENT FINANCIAL PARTNERS
6525 3RD Street
Suite 209
Rockledge, FL 32955





Qualifications

PASSED INDUSTRY EXAMS




This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 2 principal/supervisory exams, 3 general industry/product exams, and 3 state securities law exams.





Principal/Supervisory Exams

Exam	Category	Date
 General Securities Sales Supervisor - Options Module Examination (S9)	Series 9	05/01/2003
 General Securities Sales Supervisor - General Module Examination (S10)	Series 10	04/22/2003

General Industry/Product Exams

Exam	Category	Date
 Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
 Futures Managed Funds Examination (S31)	Series 31	07/20/1998
 General Securities Representative Examination (S7)	Series 7	07/13/1998

State Securities Law Exams

Exam	Category	Date
  Uniform Combined State Law Examination (S66)	Series 66	11/20/2008
 Uniform Investment Adviser Law Examination (S65)	Series 65	07/24/1998
 Uniform Securities Agent State Law Examination (S63)	Series 63	07/20/1998

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	09/08/2009 - 05/23/2019	LPL FINANCIAL LLC	CRD# 6413	COCOA BEACH, FL
IA	01/30/2012 - 02/10/2012	LPL FINANCIAL LLC	CRD# 6413	COCOA BEACH, FL
B	04/24/2009 - 10/01/2009	MUTUAL SERVICE CORPORATION	CRD# 4806	COCOA BEACH, FL
IA	01/02/2009 - 04/28/2009	RAYMOND JAMES FINANCIAL SERVICES	CRD# 6694	COCOA BEACH, FL
B	04/16/2002 - 04/28/2009	RAYMOND JAMES FINANCIAL SERVICES, INC.	CRD# 6694	COCOA BEACH, FL
B	07/14/1998 - 04/18/2002	MORGAN STANLEY DW INC.	CRD# 7556	PURCHASE, NY

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
05/2019 - Present	IFP Securities, LLC	REGISTERED REPRESENTATIVE	Y	COCOA BEACH, FL, United States
09/2010 - Present	INDEPENDENT FINANCIAL PARTNERS	INVESTMENT ADVISOR	Y	COCOA BEACH, FL, United States
09/2009 - 05/2019	LPL FINANCIAL LLC	REGISTERED REPRESENTATIVE	Y	COCOA BEACH, FL, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

(1) 07/27/2010 - CONNORS WEALTH MANAGEMENT - INV REL - DBA

(2) 08/16/2012 - CONNORS CAPITAL MANAGEMENT - NON-VARIABLE INSURANCE - INV REL - LIFE INSURANCE AND FIXED ANNUITY DIRECT BUSINESS WITH OUTSIDE GENERAL AGENTS - 10% OF TIME SPENT - COCOA BEACH, FL

(3) 08/20/2012 - JUSTIN AND CORINE CONNORS FOUNDATION - MY PERSONAL FAMILY FOUNDATION - NOT INV REL - At Reported Business Location(s) - JUSTIN AND CORINE CONNORS FAMILY FOUNDATION, WE WILL CONTRIBUTE FUNDS TO THIS FOUNDATION ANNUALLY, WITH THE IDEA OF GROWING THE FUND AND DONATING THE PROCEEDS TO OUR FAVORITE CHARITIES ANNUALLY. - 2% OF TIME SPENT

(4) 04/25/2014 - IFP DBA CONNORS WEALTH MANAGEMENT - INV REL - REGISTERED INVESTMENT ADVISOR DBA - IFP



Registration & Employment History



OTHER BUSINESS ACTIVITIES

DBA CONNORS WEALTH MANAGEMENT - ADVISOR WILL SERVE AS AN INVESTMENT ADVISOR WITH IFP DBA CONNORS WEALTH MANAGEMENT. ACTIVITIES MAY INCLUDE FINANCIAL PLANNING, RENDERING INVESTMENT ADVICE FOR A FEE, OR OTHER RELATED FINANCIAL CONSULTING - TIME SPENT 100% - COCOA BEACH, FL

(5) 06/20/2014 - BEACH RE, LLC - REAL ESTATE RENTAL - INV REL - At Reported Business Location(s) - COMMERCIAL, 2 UNITS, ONE RENTER WILL BE CONNORS CAPITAL MANAGEMENT - 5% OF TIME SPENT

(6) 07/10/2015 - NO BUSINESS NAME - REAL ESTATE RENTAL - INV REL - 1606 RIDGEVIEW, BIG CANOE, GA - START 07/01/2015 - 1 HOUR PER MONTH, 0 DURING TRADING - A CABIN IN GA THAT IS OCCASIONALLY RENTED FOR WEEKENDS

(7). 04/16/2019 - Connors Capital Management - DBA: spacecoastadvisor - Investment related - At reported business location(s) - DBA for LPL Business (entity for LPL business) - start date:04/15/2019 - 160 hrs/mo - 40 hrs during trading.

(8) Robert and Irene Connors Irrvcbl FAM TRST UA; Family Trust Account; 70 Country Club Rd, Cocoa Beach, FL 32931; Trustee on family Trust account at TD; start 7/22/20; 2 hr/mo, 2 hr/mo during trading.

(9) Connors Wealth Management, investment related, 1701 North Atlantic Avenue, Cocoa Beach, FL 32931, DBA for Connors Capital Management, Financial Services, Owner, start date 3/2/2009, 160 hours/month, 160 hours/month during trading, Investment Advice, Financial Planning, Insurance Services



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Criminal	1
Customer Dispute	2

Criminal

This disclosure event involves a criminal charge against the Investment Adviser Representative that has resulted in a dismissal, plea, acquittal or conviction. The criminal matter may relate to any felony or certain misdemeanor offenses (e.g., bribery, perjury, forgery, counterfeiting, extortion, fraud, wrongful taking of property).

Disclosure 1 of 1

Reporting Source:	Individual
Court Details:	CITY OF COCOA BEACH POLICE DEPT. 88-34600QRTT
Charge Date:	08/09/1988
Charge Details:	(1) ONE UNLAWFUL USE OF LICENSE (2) FLEEING AND ATTEMPTING TO ALLUDE A POLICE OFFICER,MISDEMEANOR. (3)PLED NO CONTEST. NOT INVESTMENT RELATED, STUDENT AT THE TIME
Felony?	No
Current Status:	Final
Status Date:	09/23/1988
Disposition Details:	PLED NO CONTEST TO MISDEMEANOR RESULTING IN 6 MONTHS PROBATION AND 50 HRS COMMUNITY SERVICE. 2ND CHARGE WAS DISMISSED.
Broker Statement	NOT PROVIDED



Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 2

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	IFP SECURITIES, LLC
Allegations:	Customer alleges recommendations into speculative investments when customer indicated he didn't want to risk the loss and a possible breach of fiduciary duty through material misrepresentations and omissions while recommending highly speculative unsuitable investments in large concentrations.
Product Type:	Debt-Corporate
Alleged Damages:	\$400,000.00
Is this an oral complaint?	No
Is this a written complaint?	Yes
Is this an arbitration/CFTC reparation or civil litigation?	No

Customer Complaint Information

Date Complaint Received:	04/18/2022
Complaint Pending?	No
Status:	Settled
Status Date:	10/17/2023
Settlement Amount:	\$118,750.00
Individual Contribution Amount:	\$0.00

Arbitration Information

Disclosure 2 of 2

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	IFP SECURITIES, LLC
Allegations:	Respondent promoted GWG as carrying minimal risk and materially misrepresented the actual risks of these investments including, but not limited to: the strength of the underlying collateral; the risk of loss of principal; and that investors such as Claimants were not being properly compensated for investing in high-risk debt securities in exchange for just a limited upside return.
Product Type:	Debt-Corporate
Alleged Damages:	\$250,000.00



Is this an oral complaint? No
Is this a written complaint? No
Is this an arbitration/CFTC reparation or civil litigation? Yes
Arbitration/Reparation forum or court name and location: FINRA
Docket/Case #: 24-02196
Filing date of arbitration/CFTC reparation or civil litigation: 10/14/2024

Customer Complaint Information

Date Complaint Received: 10/14/2024
Complaint Pending? No
Status: Settled
Status Date: 04/16/2025
Settlement Amount: \$130,000.00
Individual Contribution Amount: \$0.00

Broker Statement The matter was settled to avoid the additional expense, burden, and uncertainty associated with arbitration proceedings. The settlement was made without any admission of liability or wrongdoing by the advisor.



End of Report

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