



IAPD Report

Byron Blaine

CRD# 2816249

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Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

Byron Blaine (CRD# 2816249)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **06/05/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	J.P. MORGAN SECURITIES LLC	CRD# 79	04/01/2025
IA	J.P. MORGAN SECURITIES LLC	CRD# 79	04/01/2025

QUALIFICATIONS

This representative is currently registered in **26** SRO(s) and **53** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	ADVICE AND PLANNING SERVICES	20472	OVERLAND PARK, KS	04/15/2016 - 03/27/2025
B	TIAA-CREF INDIVIDUAL & INSTITUTIONAL SERVICES, LLC	20472	OVERLAND PARK, KS	04/13/2016 - 03/27/2025
B	TRANSAMERICA INVESTORS SECURITIES CORPORATION	32205	ST. PAUL, MN	09/29/2014 - 03/29/2016

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative?

No



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works.

This individual is currently registered with **53** jurisdiction(s) and 26 SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **J.P. MORGAN SECURITIES LLC**

Main Address: 383 MADISON AVE
NEW YORK, NY 10179

Firm ID#: 79

	Regulator	Registration	Status	Date
B	BOX Exchange LLC	General Securities Principal	Approved	04/01/2025
B	BOX Exchange LLC	General Securities Representative	Approved	04/01/2025
B	BOX Exchange LLC	General Securities Sales Supervisor	Approved	05/12/2025
B	Cboe BYX Exchange, Inc.	General Securities Principal	Approved	04/01/2025
B	Cboe BYX Exchange, Inc.	General Securities Representative	Approved	04/01/2025
B	Cboe BZX Exchange, Inc.	General Securities Principal	Approved	04/01/2025
B	Cboe BZX Exchange, Inc.	General Securities Representative	Approved	04/01/2025
B	Cboe C2 Exchange, Inc.	General Securities Principal	Approved	04/01/2025
B	Cboe C2 Exchange, Inc.	General Securities Representative	Approved	04/01/2025
B	Cboe C2 Exchange, Inc.	General Securities Sales Supervisor	Approved	05/12/2025
B	Cboe EDGA Exchange, Inc.	General Securities Principal	Approved	04/01/2025
B	Cboe EDGA Exchange, Inc.	General Securities Representative	Approved	04/01/2025
B	Cboe EDGA Exchange, Inc.	General Securities Sales Supervisor	Approved	05/12/2025



Qualifications

Regulator	Registration	Status	Date
B Cboe EDGX Exchange, Inc.	General Securities Principal	Approved	04/01/2025
B Cboe EDGX Exchange, Inc.	General Securities Representative	Approved	04/01/2025
B Cboe EDGX Exchange, Inc.	General Securities Sales Supervisor	Approved	05/12/2025
B Cboe Exchange, Inc.	General Securities Principal	Approved	04/01/2025
B Cboe Exchange, Inc.	General Securities Representative	Approved	04/01/2025
B Cboe Exchange, Inc.	General Securities Sales Supervisor	Approved	05/12/2025
B FINRA	General Securities Principal	Approved	04/01/2025
B FINRA	General Securities Representative	Approved	04/01/2025
B FINRA	General Securities Sales Supervisor	Approved	05/12/2025
B Investors' Exchange LLC	General Securities Principal	Approved	04/01/2025
B Investors' Exchange LLC	General Securities Representative	Approved	04/01/2025
B Long-Term Stock Exchange, Inc.	General Securities Principal	Approved	04/01/2025
B Long-Term Stock Exchange, Inc.	General Securities Representative	Approved	04/01/2025
B MEMX LLC	General Securities Principal	Approved	04/01/2025
B MEMX LLC	General Securities Representative	Approved	04/01/2025
B MEMX LLC	General Securities Sales Supervisor	Approved	05/12/2025
B MIAX Emerald, LLC	General Securities Principal	Approved	04/01/2025
B MIAX Emerald, LLC	General Securities Representative	Approved	04/01/2025



Qualifications

	Regulator	Registration	Status	Date
B	MIAX Emerald, LLC	General Securities Sales Supervisor	Approved	05/12/2025
B	MIAX PEARL, LLC	General Securities Principal	Approved	04/01/2025
B	MIAX PEARL, LLC	General Securities Representative	Approved	04/01/2025
B	MIAX PEARL, LLC	General Securities Sales Supervisor	Approved	05/12/2025
B	MIAX Sapphire	General Securities Principal	Approved	04/01/2025
B	MIAX Sapphire	General Securities Representative	Approved	04/01/2025
B	MIAX Sapphire	General Securities Sales Supervisor	Approved	05/12/2025
B	Miami International Securities Exchange, LLC	General Securities Principal	Approved	04/01/2025
B	Miami International Securities Exchange, LLC	General Securities Representative	Approved	04/01/2025
B	Miami International Securities Exchange, LLC	General Securities Sales Supervisor	Approved	05/12/2025
B	NYSE American LLC	General Securities Principal	Approved	04/01/2025
B	NYSE American LLC	General Securities Representative	Approved	04/01/2025
B	NYSE American LLC	General Securities Sales Supervisor	Approved	05/12/2025
B	NYSE Arca, Inc.	General Securities Principal	Approved	04/01/2025
B	NYSE Arca, Inc.	General Securities Representative	Approved	04/01/2025
B	NYSE Arca, Inc.	General Securities Sales Supervisor	Approved	05/12/2025
B	NYSE National, Inc.	General Securities Principal	Approved	04/01/2025
B	NYSE National, Inc.	General Securities Representative	Approved	04/01/2025



Qualifications

Regulator	Registration	Status	Date
B NYSE National, Inc.	General Securities Sales Supervisor	Approved	05/12/2025
B NYSE Texas, Inc.	General Securities Principal	Approved	04/01/2025
B NYSE Texas, Inc.	General Securities Representative	Approved	04/01/2025
B NYSE Texas, Inc.	General Securities Sales Supervisor	Approved	05/12/2025
B Nasdaq BX, Inc.	General Securities Principal	Approved	04/01/2025
B Nasdaq BX, Inc.	General Securities Representative	Approved	04/01/2025
B Nasdaq BX, Inc.	General Securities Sales Supervisor	Approved	05/12/2025
B Nasdaq GEMX, LLC	General Securities Principal	Approved	04/01/2025
B Nasdaq GEMX, LLC	General Securities Representative	Approved	04/01/2025
B Nasdaq GEMX, LLC	General Securities Sales Supervisor	Approved	05/12/2025
B Nasdaq ISE, LLC	General Securities Principal	Approved	04/01/2025
B Nasdaq ISE, LLC	General Securities Representative	Approved	04/01/2025
B Nasdaq ISE, LLC	General Securities Sales Supervisor	Approved	05/12/2025
B Nasdaq MRX, LLC	General Securities Principal	Approved	04/01/2025
B Nasdaq MRX, LLC	General Securities Representative	Approved	04/01/2025
B Nasdaq MRX, LLC	General Securities Sales Supervisor	Approved	05/12/2025
B Nasdaq PHLX LLC	General Securities Principal	Approved	04/01/2025
B Nasdaq PHLX LLC	General Securities Representative	Approved	04/01/2025
B Nasdaq PHLX LLC	General Securities Sales Supervisor	Approved	05/12/2025



Qualifications

Regulator	Registration	Status	Date
B Nasdaq Stock Market	General Securities Principal	Approved	04/01/2025
B Nasdaq Stock Market	General Securities Representative	Approved	04/01/2025
B Nasdaq Stock Market	General Securities Sales Supervisor	Approved	05/12/2025
B New York Stock Exchange	General Securities Principal	Approved	04/01/2025
B New York Stock Exchange	General Securities Representative	Approved	04/01/2025
B New York Stock Exchange	General Securities Sales Supervisor	Approved	05/12/2025
B Alabama	Agent	Approved	04/01/2025
B Alaska	Agent	Approved	04/01/2025
B Arizona	Agent	Approved	04/01/2025
B Arkansas	Agent	Approved	04/01/2025
B California	Agent	Approved	04/01/2025
B Colorado	Agent	Approved	04/01/2025
B Connecticut	Agent	Approved	04/01/2025
B Delaware	Agent	Approved	04/01/2025
B District of Columbia	Agent	Approved	04/01/2025
B Florida	Agent	Approved	04/01/2025
B Georgia	Agent	Approved	04/01/2025
B Hawaii	Agent	Approved	04/01/2025
B Idaho	Agent	Approved	04/01/2025



Qualifications

	Regulator	Registration	Status	Date
B	Illinois	Agent	Approved	04/01/2025
B	Indiana	Agent	Approved	04/01/2025
B	Iowa	Agent	Approved	04/01/2025
B	Kansas	Agent	Approved	04/01/2025
IA	Kansas	Investment Adviser Representative	Approved	04/01/2025
B	Kentucky	Agent	Approved	04/01/2025
B	Louisiana	Agent	Approved	04/01/2025
B	Maine	Agent	Approved	04/01/2025
B	Maryland	Agent	Approved	04/01/2025
B	Massachusetts	Agent	Approved	04/01/2025
B	Michigan	Agent	Approved	04/01/2025
B	Minnesota	Agent	Approved	04/01/2025
B	Mississippi	Agent	Approved	04/01/2025
B	Missouri	Agent	Approved	04/01/2025
B	Montana	Agent	Approved	04/01/2025
B	Nebraska	Agent	Approved	04/01/2025
B	Nevada	Agent	Approved	04/01/2025
B	New Hampshire	Agent	Approved	04/01/2025
B	New Jersey	Agent	Approved	04/01/2025



Qualifications

	Regulator	Registration	Status	Date
B	New Mexico	Agent	Approved	04/01/2025
B	New York	Agent	Approved	04/01/2025
B	North Carolina	Agent	Approved	04/01/2025
B	North Dakota	Agent	Approved	04/01/2025
B	Ohio	Agent	Approved	04/01/2025
B	Oklahoma	Agent	Approved	04/01/2025
B	Oregon	Agent	Approved	04/01/2025
B	Pennsylvania	Agent	Approved	04/01/2025
B	Puerto Rico	Agent	Approved	04/01/2025
B	Rhode Island	Agent	Approved	04/01/2025
B	South Carolina	Agent	Approved	04/01/2025
B	South Dakota	Agent	Approved	04/01/2025
B	Tennessee	Agent	Approved	04/01/2025
B	Texas	Agent	Approved	04/01/2025
IA	Texas	Investment Adviser Representative	Restricted Approval	04/01/2025
B	Utah	Agent	Approved	04/01/2025
B	Vermont	Agent	Approved	04/01/2025
B	Virgin Islands	Agent	Approved	04/01/2025



Qualifications

Regulator	Registration	Status	Date
B Virginia	Agent	Approved	04/01/2025
B Washington	Agent	Approved	04/01/2025
B West Virginia	Agent	Approved	04/01/2025
B Wisconsin	Agent	Approved	04/01/2025
B Wyoming	Agent	Approved	04/01/2025

Branch Office Locations

J.P. MORGAN SECURITIES LLC
4700 W 119th St
Leawood, KS 66209

J.P. MORGAN SECURITIES LLC
7801 W 159th St
Overland Park, KS 66223

J.P. MORGAN SECURITIES LLC
9500 Mission Rd
Leawood, KS 66206

J.P. MORGAN SECURITIES LLC
1100 E Armour Blvd
Kansas City, MO 64109

J.P. MORGAN SECURITIES LLC
16201 W 87th Street Pkwy
Lenexa, KS 66219

J.P. MORGAN SECURITIES LLC
105 S Mullen Rd
Belton, MO 64012

J.P. MORGAN SECURITIES LLC
9000 NW 45 Hwy
Parkville, MO 64152

J.P. MORGAN SECURITIES LLC
2814 Shawnee Mission Pkwy
Fairway, KS 66205

J.P. MORGAN SECURITIES LLC
11780 W 95th St
Overland Park, KS 66214

J.P. MORGAN SECURITIES LLC
815 SW State Route 7

J.P. MORGAN SECURITIES LLC
4700 W 119th St
Leawood , KS 66209

J.P. MORGAN SECURITIES LLC
2101 N Rock Rd
Derby, KS 67037

J.P. MORGAN SECURITIES LLC
8435 N Booth Ave
Kansas City, MO 64158

J.P. MORGAN SECURITIES LLC
900 NW Pryor Rd
Lees Summit, MO 64081

J.P. MORGAN SECURITIES LLC
7701 State Line Rd
Kansas City, MO 64114

J.P. MORGAN SECURITIES LLC
3341 S Glenstone Ave
Springfield, MO 65804

J.P. MORGAN SECURITIES LLC
4181 Sterling Ave
Kansas City, MO 64133

J.P. MORGAN SECURITIES LLC
890 E Langsford Rd
Lees Summit, MO 64063

J.P. MORGAN SECURITIES LLC
15440 Shawnee Mission Pkwy
Shawnee, KS 66217



Qualifications

Blue Springs, MO 64014

J.P. MORGAN SECURITIES LLC

14802 W 117th St
Olathe, KS 66062-8602

J.P. MORGAN SECURITIES LLC

4911 N Oak TRFY
Kansas City, MO 64118

J.P. MORGAN SECURITIES LLC

17820 E 39th St S
Independence, MO 64055

J.P. MORGAN SECURITIES LLC

2210 N Rock Rd
Wichita, KS 67226

J.P. MORGAN SECURITIES LLC

1467 Main St
Kansas City, MO 64105

J.P. MORGAN SECURITIES LLC

8400 N Boardwalk Ave
Kansas City, MO 64154

J.P. MORGAN SECURITIES LLC

115 W 63rd St
Kansas City, MO 64113

J.P. MORGAN SECURITIES LLC

623 N. Manhattan Ave.
Manhattan, KS 66502






Qualifications

PASSED INDUSTRY EXAMS



This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 3 principal/supervisory exams, 2 general industry/product exams, and 2 state securities law exams.




Principal/Supervisory Exams

	Exam	Category	Date
	General Securities Sales Supervisor - General Module Examination (S10)	Series 10	05/12/2025
	General Securities Sales Supervisor - Options Module Examination (S9)	Series 9	04/21/2025
	General Securities Principal Examination (S24)	Series 24	08/27/2012

General Industry/Product Exams

	Exam	Category	Date
	Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
	General Securities Representative Examination (S7)	Series 7	06/17/2004

State Securities Law Exams

	Exam	Category	Date
	 Uniform Combined State Law Examination (S66)	Series 66	07/02/2004
	 Uniform Securities Agent State Law Examination (S63)	Series 63	12/10/1996

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	04/15/2016 - 03/27/2025	ADVICE AND PLANNING SERVICES	CRD# 20472	OVERLAND PARK, KS
B	04/13/2016 - 03/27/2025	TIAA-CREF INDIVIDUAL & INSTITUTIONAL SERVICES, LLC	CRD# 20472	OVERLAND PARK, KS
B	09/29/2014 - 03/29/2016	TRANSAMERICA INVESTORS SECURITIES CORPORATION	CRD# 32205	ST. PAUL, MN
IA	09/29/2014 - 03/29/2016	TRANSAMERICA RETIREMENT ADVISORS, LLC	CRD# 107319	ST. PAUL, MN
IA	09/11/2012 - 09/22/2014	AMERIPRISE FINANCIAL SERVICES, INC.	CRD# 6363	MINNEAPOLIS, MN
B	08/02/2012 - 09/22/2014	AMERIPRISE FINANCIAL SERVICES, INC.	CRD# 6363	MINNEAPOLIS, MN
B	11/14/2007 - 08/02/2012	RIVERSOURCE DISTRIBUTORS, INC.	CRD# 139135	MINNEAPOLIS, MN
B	06/18/2004 - 11/09/2007	AMERIPRISE FINANCIAL SERVICES, INC.	CRD# 6363	MINNEAPOLIS, MN
IA	10/04/2006 - 09/24/2007	AMERIPRISE FINANCIAL SERVICES, INC.	CRD# 6363	MINNEAPOLIS, MN
B	06/18/2004 - 06/20/2006	IDS LIFE INSURANCE COMPANY	CRD# 6321	MINNEAPOLIS, MN
B	04/07/1997 - 10/30/1997	AMERICAN ENTERPRISE INVESTMENT SERVICES INC.	CRD# 26506	MINNEAPOLIS, MN
B	12/09/1996 - 10/30/1997	AMERICAN EXPRESS SERVICE CORPORATION	CRD# 10518	MINNEAPOLIS, MN

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
03/2025 - Present	J.P. MORGAN SECURITIES LLC	REGISTERED REPRESENTATIVE	Y	Leawood, KS, United States
04/2016 - 02/2025	TIAA-CREF INDIVIDUAL & INSTITUTIONAL SERVICES, LLC	Registered Rep	Y	Overland Park, KS, United States



Registration & Employment History



EMPLOYMENT HISTORY

Employment Dates	Employer Name	Position	Investment Related	Employer Location
09/2014 - 02/2025	TIAA/Transamerica	Wealth Management	Y	Overland Park, KS, United States
09/2014 - 03/2016	TRANSAMERICA INVESTORS SECURITIES CORPORATION	Registered Rep	Y	St Paul, MN, United States
09/2014 - 03/2016	TRANSAMERICA RETIREMENT ADVISORS, LLC	Registered Rep	Y	ST. PAUL, MN, United States



OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

No information reported.



End of Report

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