



IAPD Report

PAUL A DOSSANTOS

CRD# 2822667

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IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

PAUL A DOSSANTOS (CRD# 2822667)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **06/22/2021**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	MILAGRE WEALTH MANAGEMENT, INC.	CRD# 309185	06/01/2020

QUALIFICATIONS

This representative is currently registered in **0** SRO(s) and **4** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration. Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	JSK ASSOCIATES INC.	104955	WHITE PLAINS, NY	01/14/2016 - 08/12/2020
B	INTERNATIONAL EQUITY SERVICES, INC.	104203	WHITE PLAINS NEW YORK, NY	10/03/2000 - 08/12/2020
IA	JSK ASSOCIATES INC.	104955	WHITE PLAINS, NY	04/02/2003 - 12/31/2015

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **4** jurisdiction(s) and **0** SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **MILAGRE WEALTH MANAGEMENT, INC.**
Main Address: 120 BLOOMINGDALE ROAD, SUITE 3400
WHITE PLAINS, NY 10605
Firm ID#: 309185

	Regulator	Registration	Status	Date
	Florida	Investment Adviser Representative	Approved	06/11/2020
	New York	Investment Adviser Representative	Approved	07/06/2021
	Ohio	Investment Adviser Representative	Approved	06/01/2020
	Texas	Investment Adviser Representative	Approved	06/01/2020

Branch Office Locations

MILAGRE WEALTH MANAGEMENT, INC.
120 BLOOMINGDALE ROAD, SUITE 3400
WHITE PLAINS, NY 10605



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 3 principal/supervisory exams, 2 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
Registered Options Principal Examination (S4)	Series 4	05/11/2005
Financial and Operations Principal Examination (S27)	Series 27	02/14/2000
General Securities Principal Examination (S24)	Series 24	07/29/1999

General Industry/Product Exams

Exam	Category	Date
Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
General Securities Representative Examination (S7)	Series 7	11/07/1996

State Securities Law Exams

Exam	Category	Date
Uniform Investment Adviser Law Examination (S65)	Series 65	09/20/1999
Uniform Securities Agent State Law Examination (S63)	Series 63	11/20/1996

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	01/14/2016 - 08/12/2020	JSK ASSOCIATES INC.	CRD# 104955	WHITE PLAINS, NY
B	10/03/2000 - 08/12/2020	INTERNATIONAL EQUITY SERVICES, INC.	CRD# 104203	WHITE PLAINS NEW YC NY
IA	04/02/2003 - 12/31/2015	JSK ASSOCIATES INC.	CRD# 104955	WHITE PLAINS, NY
B	11/08/1996 - 10/06/2000	SECURITIES RESOURCE GROUP, LTD.	CRD# 6037	PHOENIX, AZ

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
04/2020 - Present	Milagre Wealth Management, Inc.	Chief Executive Officer	Y	Stormville, NY, United States
04/2000 - 08/2020	International Equity Services, Inc	President, CCO	Y	White Plains, NY, United States
03/1983 - 08/2020	JSK ASSOCIATES	VICE PRESIDENT	Y	WHITE PLAINS, NY, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1. Rental property, non-investment related, located at 2340 Piccadilly Circus, Naples, FL 34112, owner, started April 2018, approximately ten non-trading hours per month.



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	1

Regulatory Event

This disclosure event may include a final, formal proceeding initiated by a regulatory authority (e.g., a state securities agency, a federal regulator such as the Securities and Exchange Commission or the Commodities Futures Trading Commission, or a foreign financial regulatory body) for a violation of investment-related rules or regulations. This disclosure event may also include a revocation or suspension of an Investment Adviser Representative's authority to act as an attorney, accountant or federal contractor.

Disclosure 1 of 1

Reporting Source: Regulator

Regulatory Action Initiated By: UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Sanction(s) Sought: Cease and Desist

Date Initiated: 03/14/2011

Docket/Case Number: 3-14296

Employing firm when activity occurred which led to the regulatory action: JSK ASSOCIATES, INC.; INTERNATIONAL EQUITY SERVICES, INC.

Product Type: No Product

Allegations: SEC ADMIN IA RELEASE 3175, MARCH 14, 2011: THE SECURITIES AND EXCHANGE COMMISSION ("COMMISSION") DEEMS IT APPROPRIATE AND IN THE PUBLIC INTEREST THAT PUBLIC ADMINISTRATIVE AND CEASE-AND-DESIST PROCEEDINGS BE INSTITUTED PURSUANT TO SECTIONS 203(E), 203(F) AND 203(K) OF THE INVESTMENT ADVISERS ACT OF 1940 ("ADVISERS ACT") AGAINST PAUL DOS SANTOS ("DOS SANTOS" OR "RESPONDENT").

THE COMMISSION FOUND THAT:

DOS SANTOS IS A FIFTY PERCENT OWNER OF A REGISTERED INVESTMENT AND A REGISTERED BROKER-DEALER.

DOS SANTOS' INVESTMENT ADVISER ("IA") PROVIDED NON-DISCRETIONARY INVESTMENT ADVISORY AND FINANCIAL PLANNING SERVICES TO INDIVIDUALS AND ENTITIES. THE IA CHARGED CLIENTS A STANDARD FEE BASED ON ASSETS UNDER MANAGEMENT. THE IA



EXECUTED ITS CLIENTS' SECURITIES TRANSACTIONS THROUGH AN AFFILIATED INTRODUCING BROKER.

THE IA FAILED TO INFORM ADVISORY CLIENTS ABOUT FINANCIAL BENEFITS THAT ITS AFFILIATED BROKER-DEALER DERIVED IN THE FORM OF PAYMENTS RECEIVED AS A RESULT OF THE CASH HOLDINGS IN ADVISORY CLIENT ACCOUNTS. THE IA, THROUGH DOS SANTOS' BROKER ("B-D"), ENGAGED IN HUNDREDS OF FIXED-INCOME TRANSACTIONS ON A RISKLESS PRINCIPAL BASIS WITH ADVISORY CLIENTS WITHOUT PROVIDING PRIOR WRITTEN DISCLOSURE TO, OR OBTAINING CONSENT FROM, CLIENTS. THE IA FAILED TO ADOPT WRITTEN COMPLIANCE POLICIES AND PROCEDURES REASONABLY DESIGNED TO PREVENT VIOLATIONS OF THE ADVISERS ACT, AND FAILED TO ESTABLISH, MAINTAIN AND ENFORCE AN ADEQUATE WRITTEN CODE OF ETHICS.

THE B-D RECEIVED PAYMENTS FOR THE IA'S ADVISORY CLIENTS' CREDIT BALANCES AND UNINVESTED CASH MAINTAINED IN THEIR BROKERAGE ACCOUNTS, WHICH THE IA FAILED TO ADEQUATELY DISCLOSE TO ITS CLIENTS. PURSUANT TO THE B-D'S CLEARING ARRANGEMENTS, THE B-D WAS ENTITLED TO RECEIVE PAYMENTS FROM THE CLEARING FIRM EQUAL TO 0.25% OF (A) THE AVERAGE CREDIT BALANCES FOR THE IA'S ADVISORY CLIENT ACCOUNTS THAT WERE INVESTED IN A MONEY MARKET FUND; AND (B) THE AVERAGE BALANCE OF UNINVESTED CASH IN THE IA'S ADVISORY CLIENT'S BROKERAGE ACCOUNTS. THE B-D RECEIVED \$60,350 FROM THE CLEARING FIRM BETWEEN JANUARY 1, 2006 AND DECEMBER 31, 2009.

WHILE THE IA DISCLOSED THAT ITS BROKER MIGHT RECEIVE FORMS OF COMPENSATION SUCH AS "CLEARING AND PROCESSING FEE'S [SIC], TRAILER COMMISSIONS, AND OTHER REVENUES WHICH BROKER DEALERS NORMALLY RECEIVE IN THE COURSE OF DOING BUSINESS," THE IA FAILED TO DISCLOSE IN ITS FORM ADV (OR OTHERWISE) THAT THE B-D WOULD RECEIVE COMPENSATION BASED ON THE IA'S ADVISORY CLIENTS' UNINVESTED CASH AND CERTAIN MONEY MARKET FUND BALANCES.

THE IA, THROUGH THE B-D, ENGAGED IN HUNDREDS OF FIXED-INCOME TRANSACTIONS INVOLVING MARK-UPS AND MARK-DOWNS WITH ADVISORY CLIENTS WITHOUT PROVIDING PRIOR WRITTEN DISCLOSURE THAT IT WOULD EFFECT THE TRADES ON A PRINCIPAL BASIS TO, OR OBTAINING CONSENT FROM, CLIENTS.

THE IA DID NOT DISCLOSE IN ITS FORM ADV (OR OTHERWISE) THAT THE IA, OR THE B-D, WOULD EFFECT PRINCIPAL TRADES FOR ADVISORY CLIENTS.

THE IA'S OPERATIONAL PROCEDURES MANUAL, PRIOR TO ITS REVISION IN JULY 2009, WAS PRIMARILY FOCUSED ON BROKER-DEALER ACTIVITIES AND DID NOT ADDRESS THE ADVISERS ACT EXCEPT IN THE FINAL CODE OF ETHICS SECTION AND DID NOT DISCUSS THE IA'S FORM ADV OR THE TYPES OF POTENTIAL CONFLICTS OF INTEREST SPECIFIC TO AN INVESTMENT ADVISER.

THE IA DID NOT ADOPT WRITTEN COMPLIANCE POLICIES AND PROCEDURES REASONABLY DESIGNED TO PREVENT VIOLATIONS OF THE ADVISERS ACT AND DID NOT REVIEW ITS POLICIES AND PROCEDURES FOR APPROXIMATELY TWO YEARS TO DETERMINE THEIR ADEQUACY AND THE EFFECTIVENESS OF THEIR IMPLEMENTATION, INCLUDING WHETHER CHANGES IN THE ADVISERS ACT OR APPLICABLE REGULATIONS MIGHT REQUIRE CHANGES TO ITS POLICIES OR PROCEDURES.



THE IA'S CODE OF ETHICS IN EFFECT FROM AT LEAST JULY 2007 UNTIL JULY 2009 DID NOT CONTAIN ALL OF THE ELEMENTS REQUIRED BY SECTION 204 OF THE ADVISERS ACT AND RULE 204A-1 THEREUNDER. [ALLEGATIONS CONTINUED IN COMMENTS]

Current Status: Final

Resolution: Order

Does the order constitute a final order based on violations of any laws or regulations that prohibit fraudulent, manipulative, or deceptive conduct? Yes

Resolution Date: 03/14/2011

Sanctions Ordered: Cease and Desist
Censure
Civil and Administrative Penalty(ies)/Fine(s)
Disgorgement
Monetary Penalty other than Fines

If the regulator is the SEC, CFTC, or an SRO, did the action result in a finding of a willful violation or failure to supervise? Yes

(1) willfully violated any provision of the Securities Act of 1933, the Securities Exchange Act of 1934, the Investment Advisers Act of 1940, the Investment Company Act of 1940, the Commodity Exchange Act, or any rule or regulation under any of such Acts, or any of the rules of the Municipal Securities Rulemaking Board, or to have been unable to comply with any provision of such Act, rule or regulation? No



(2) willfully aided, abetted, counseled, commanded, induced, or procured the violation by any person of any provision of the Securities Act of 1933, the Securities Exchange Act of 1934, the Investment Advisers Act of 1940, the Investment Company Act of 1940, the Commodity Exchange Act, or any rule or regulation under any of such Acts, or any of the rules of the Municipal Securities Rulemaking Board? or

Yes

(3) failed reasonably to supervise another person subject to your supervision, with a view to preventing the violation by such person of any provision of the Securities Act of 1933, the Securities Exchange Act of 1934, the Investment Advisers Act of 1940, the Investment Company Act of 1940, the Commodity Exchange Act, or any rule or regulation under any such Acts, or any of the rules of the Municipal Securities Rulemaking Board?

No

Monetary Sanction 1 of 3

Monetary Related Sanction: Civil and Administrative Penalty(ies)/Fine(s)

Total Amount: \$10,000.00

Portion Levied against individual: \$10,000.00

Payment Plan:

Is Payment Plan Current:

Date Paid by individual:

Was any portion of penalty waived? No

Amount Waived:

Monetary Sanction 2 of 3

Monetary Related Sanction: Monetary Penalty other than Fines

Total Amount: \$3,805.00

Portion Levied against individual: \$3,805.00

**Payment Plan:****Is Payment Plan Current:****Date Paid by individual:****Was any portion of penalty waived?** No**Amount Waived:****Monetary Sanction 3 of 3****Monetary Related Sanction:** Disgorgement**Total Amount:** \$60,350.00**Portion Levied against individual:** \$60,350.00**Payment Plan:****Is Payment Plan Current:****Date Paid by individual:****Was any portion of penalty waived?** No**Amount Waived:****Regulator Statement**

[ALLEGATIONS CONTINUED]

FURTHER, THE IA DID NOT ENFORCE ALL THE POLICIES AND PROCEDURES IN ITS JULY 2009 REVISED CODE OF ETHICS.

DOS SANTOS WILLFULLY AIDED AND ABETTED AND CAUSED THE IA'S VIOLATIONS OF SECTION 206(3) OF THE ADVISERS ACT.

DOS SANTOS WILLFULLY AIDED AND ABETTED AND CAUSED THE IA'S VIOLATIONS OF SECTIONS 204, 204A, 206(4) AND 207 OF THE ADVISERS ACT AND RULES 204-1(A)(2), 204A-1 AND 206(4)-7 THEREUNDER.

IN ANTICIPATION OF THE INSTITUTION OF THE PROCEEDINGS, RESPONDENT SUBMITTED AN OFFER OF SETTLEMENT ("OFFERS"), WHICH THE COMMISSION HAS DETERMINED TO ACCEPT. SOLELY FOR THE PURPOSE OF THE PROCEEDINGS AND ANY OTHER PROCEEDINGS BROUGHT BY OR ON BEHALF OF THE COMMISSION, OR TO WHICH THE COMMISSION IS A PARTY, AND WITHOUT ADMITTING OR DENYING THE FINDINGS, EXCEPT AS TO THE COMMISSION'S JURISDICTION OVER HIM AND OVER THE SUBJECT MATTER OF THE PROCEEDINGS, WHICH ARE ADMITTED, RESPONDENT CONSENTED TO THE ENTRY OF THE ORDER INSTITUTING ADMINISTRATIVE AND CEASE-AND-DESIST PROCEEDINGS PURSUANT TO SECTIONS 203(E), 203(F) AND 203(K) OF THE INVESTMENT ADVISERS ACT OF 1940, MAKING FINDINGS, AND IMPOSING REMEDIAL SANCTIONS AND A CEASE-AND-DESIST ORDER ("ORDER").

THE COMMISSION FOUND THAT DOS SANTOS WILLFULLY AIDED AND ABETTED AND CAUSED THE IA'S VIOLATIONS OF SECTIONS 204, 204A, 206(3), 206(4) AND 207 OF THE ADVISERS ACT AND RULES 204-1(A)(2), 204A-1 AND 206(4)-7 THEREUNDER.

THE COMMISSION DEEMS IT APPROPRIATE AND IN THE PUBLIC INTEREST TO IMPOSE THE SANCTIONS AGREED TO IN RESPONDENT DOS SANTOS' OFFER.



THE COMMISSION ORDERED THAT:

A. PURSUANT TO SECTION 203(K) OF THE ADVISERS ACT, DOS SANTOS SHALL CEASE AND DESIST FROM COMMITTING OR CAUSING ANY VIOLATIONS AND ANY FUTURE VIOLATIONS OF SECTIONS 204, 204A, 206(2), 206(3), 206(4) AND 207 OF THE ADVISERS ACT AND RULES 204-1(A)(2), 204A-1 AND 206(4)-7 THEREUNDER.

B. PURSUANT TO SECTION 203(F) OF THE ADVISERS ACT, DOS SANTOS IS CENSURED.

C. DOS SANTOS SHALL, WITHIN TEN DAYS OF THE ENTRY OF THE ORDER, PAY A CIVIL MONEY PENALTY IN THE AMOUNT OF \$10,000 TO THE UNITED STATES TREASURY.

D. DOS SANTOS SHALL, WITHIN TEN DAYS OF THE ENTRY OF THE ORDER, PAY DISGORGEMENT, ON A JOINT AND SEVERAL BASIS, OF \$60,350 AND PREJUDGMENT INTEREST OF \$3,805 TO THE UNITED STATES TREASURY.

Reporting Source:	Individual
Regulatory Action Initiated By:	UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Sanction(s) Sought:	Cease and Desist Censure Civil and Administrative Penalty(ies)/Fine(s) Disgorgement Monetary Penalty other than Fines
Date Initiated:	10/07/2009
Docket/Case Number:	3-14296
Employing firm when activity occurred which led to the regulatory action:	JSK ASSOCIATES INC
Product Type:	Debt-Corporate Money Market Fund
Allegations:	WILLFULLY VIOLATED SECTION 206(2) OF THE ADVISORS ACT, AND WILLFULLY AIDED AND ABETTED AND CAUSED JSK ASSOCIATES INC TO VIOLATE SECTIONS 206(3) AND SECTIONS 204,204A,206(4),207(4) AND 207 OF THE ADVISORS ACT AND RULES 204-1(A)(2),204A-1 AND 206(4)-7
Current Status:	Final
Resolution:	Decision
Does the order constitute a final order based on violations of any laws or regulations that prohibit fraudulent, manipulative, or deceptive conduct?	Yes
Resolution Date:	03/14/2011
Sanctions Ordered:	Cease and Desist Censure



Civil and Administrative Penalty(ies)/Fine(s)
Disgorgement

Monetary Sanction 1 of 1

Monetary Related Sanction: Civil and Administrative Penalty(ies)/Fine(s)

Total Amount: \$10,000.00

Portion Levied against individual: \$10,000.00

Payment Plan: NONE

Is Payment Plan Current: No

Date Paid by individual: 03/15/2011

Was any portion of penalty waived? No

Amount Waived:



End of Report

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