IAPD Report

Juan Manuel Salguero

CRD# 2855462

IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page http://www.nasaa.org/IAPD/IARReports.cfm

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association http://www.nasaa.org

Report Summary

Juan Manuel Salguero (CRD# 2855462)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **04/22/2026**.

CURRENT EMPLOYERS

This individual is not currently registered as an Investment Adviser Representative.

QUALIFICATIONS

This individual is not currently registered as an Investment Adviser Representative.

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.
Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	VECTORGLOBAL IAG, INC.	147129	MIAMI, FL	11/12/2025 - 06/26/2026
IA	JEFFERIES INVESTMENT ADVISERS LLC	325421	Miami, FL	06/06/2023 - 12/06/2024
IA	LEUCADIA ASSET MANAGEMENT LLC	121767	MIAMI, FL	06/22/2018 - 08/14/2023

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Investigation	1
Termination	1

Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works.

This individual is not currently registered as an Investment Adviser Representative.

Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 0 general industry/product exams, and 1 state securities law exam.

Principal/Supervisory Exams

Exam	Category	Date
No information reported.		

General Industry/Product Exams

Exam	Category	Date
No information reported.		

State Securities Law Exams

	Exam	Category	Date
IA B	Uniform Combined State Law Examination (S66)	Series 66	07/26/2010

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.

Only professional designations listed in Question 8 of the Form U4 will appear in this section if the appropriate box is checked and verified by the issuing organization at the time of the filing. Learn more about eligible designations at IARD and NASAA.

Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	11/12/2025 - 06/26/2026	VECTORGLOBAL IAG, INC.	CRD# 147129	MIAMI, FL
IA	06/06/2023 - 12/06/2024	JEFFERIES INVESTMENT ADVISERS LLC	CRD# 325421	Miami, FL
IA	06/22/2018 - 08/14/2023	LEUCADIA ASSET MANAGEMENT LLC	CRD# 121767	MIAMI, FL
IA	10/17/2016 - 06/11/2018	BULLTICK WEALTH MANAGEMENT, LLC	CRD# 141588	MIAMI, FL
IA	12/02/2013 - 08/31/2016	INTERCAM ADVISORS, INC.	CRD# 153799	MIAMI, FL
IA	07/29/2010 - 09/20/2012	CITIGROUP GLOBAL MARKETS INC.	CRD# 7059	MIAMI, FL

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
04/2025 - Present	VectorGlobalWMG	CHIEF COMPLIANCE OFFICER	Y	MIAMI, FL, United States
11/2024 - 03/2025	UNEMPLOYED	UNEMPLOYED	N	MIAMI, FL, United States
06/2018 - 11/2024	JEFFERIES LLC	Vice President	Y	Miami, FL, United States
09/2016 - 06/2018	Bulltick, LLC	VP of Compliance & AMLCO	Y	Miami, FL, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1-Personal holding company named - "Sucursal del Cielo LLC" established owned equally with my wife.
Nature of Business / Activity:
Management consulting services. The entity does not conduct securities-related activity.
Role / Title:
Managing Member

Registration & Employment History

OTHER BUSINESS ACTIVITIES

Time Commitment:
Approximately 0-4 hours per week.
2- Rental & Property Management
Title-Owner
Time Commitment - zero
Address - Blue Lagoon condominium-5091 NW 7 street, Apartment 501, Miami Fl 33126
Details of Business Activity - A real estate agent will manage property rental, and a handyman or contractor/professional will be hired to perform all needed fixes or maintenance.

Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least $5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending, on appeal,* or *final*.
    - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
    - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
    - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated, settled* or *otherwise resolved*.
    - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
    - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
    - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.

DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Investigation	1
Termination	1

Investigation

This disclosure event involves any ongoing formal investigation such as a grand jury investigation, a Securities and Exchange Commission investigation, a formal investigation by a self-regulatory organization (e.g., FINRA), or an action or procedure designated as an investigation by a state or other regulator. Subpoenas, preliminary or routine regulatory inquiries, and general requests by these regulatory bodies for information are not considered investigations and therefore are not required to be reported.

Disclosure 1 of 1

Reporting Source:	Individual
Initiated By:	FINRA
Notice Date:	01/23/2025
Details:	FINRA is investigating to determine if there were any violations of securities laws or SRO rules relating to my termination from my previous employer.
Is Investigation pending?	Yes

Termination

This disclosure event involves a situation where the Investment Adviser Representative voluntarily resigned, was discharged or was permitted to resign after allegations were made that accused the Investment Adviser Representative of violating investment-related statutes, regulations, rules or industry standards of conduct; fraud or the wrongful taking of property; or failure to supervise in connection with investment-related statutes, regulations, rules or industry standards of conduct.

Disclosure 1 of 1

Reporting Source:	Firm
Firm Name:	JEFFERIES LLC
Termination Type:	Discharged
Termination Date:	11/13/2024
Allegations:	DISCHARGED - FACILITATED IMPERMISSIBLE MONEY-WIRE TRANSFERS BY FAILING TO SUPERVISE OR ESCALATE CONCERNS AND ENGAGED IN OFF-CHANNEL BUSINESS COMMUNICATIONS
Product Type:	Other: OTHER - WIRE TRANSFERS

Reporting Source:	Individual
Firm Name:	JEFFERIES LLC
Termination Type:	Discharged
Termination Date:	11/13/2024
Allegations:	FINRA IS INVESTIGATING TO DETERMINE IF THERE WERE ANY VIOLATIONS OF SECURITIES LAWS OR SRO RULES RELATING TO MY TERMINATION FROM MY PREVIOUS EMPLOYER. PER U5 DISCHARGED - FACILITATED IMPERMISSIBLE MONEY-WIRE TRANSFERS BY FAILING TO SUPERVISE OR ESCALATE CONCERNS AND ENGAGED IN OFF-CHANNEL BUSINESS COMMUNICATIONS
Product Type:	Other: NO PRODUCT RELATED, INVESTIGATION PENDING.
Broker Statement	On November 13, 2024, I was discharged by Jefferies LLC after six years of service. I strongly contest the stated reason for termination, which alleges that I facilitated impermissible money-wire transfers by failing to supervise or escalate concerns, and that I engaged in off-channel business communications. These allegations are unfounded and do not reflect my conduct or professional standards. Throughout my tenure, I was known for my integrity and diligence. Initially hired as a business analyst, my role evolved into a hybrid Risk Manager position due to increased third-party wire activity. I was responsible for interfacing with advisors, coordinating with Pershing, and approving or rejecting wire transfers. I consistently ensured compliance with firm policies, meticulously gathered documentation, and escalated concerns when necessary. One notable example of my diligence was the identification and rejection of a high-risk ?300 million bond liquidation wire due to incomplete paperwork and questionable parties. This action was commended by the firm's Chief Compliance Officer. My branch underwent regular audits, all of which resulted in satisfactory reviews with no AML or wire transfer deficiencies. I fully cooperated with the firm's internal investigation, including voluntarily submitting my personal cell phone for forensic analysis. The phone was returned after two days, and I was not contacted again regarding its contents. Regarding off-channel communications, I acknowledged using WhatsApp occasionally for logistical coordination with team members (e.g., arrival times, task delegation). These communications were not intended to conceal information, and

I did not delete any content to avoid detection.
I maintain that my actions were always aligned with the firm's interests and client protection. I am committed to high ethical standards and deeply offended by the accusations. I performed my duties to the best of my ability and never knowingly engaged in any conduct

End of Report

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