IAPD Report

ANTHONY VIGNALI

CRD# 2867943

Section Title | **Page(s)**

When communicating online or investing with any professional, make sure you know who you're dealing with. Imposters might link to sites like BrokerCheck from phishing or similar scam websites, or through social media, trying to steal your personal information or your money.

Please contact FINRA with any concerns.

IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page http://www.nasaa.org/IAPD/IARReports.cfm

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association http://www.nasaa.org

Report Summary

ANTHONY VIGNALI (CRD# 2867943)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **07/24/2023**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	TRUIST INVESTMENT SERVICES, INC.	CRD# 17499	04/06/2023
IA	TRUIST ADVISORY SERVICES, INC.	CRD# 283390	04/16/2023

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and 1 jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.
Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	WELLS FARGO CLEARING SERVICES, LLC	19616	JACKSONVILLE BEACH, FL	10/21/2016 - 04/12/2023
B	WELLS FARGO CLEARING SERVICES, LLC	19616	JACKSONVILLE BEACH, FL	10/23/2015 - 04/12/2023
B	HSBC SECURITIES (USA) INC.	19585	PARSIPPANY, NJ	03/27/2013 - 05/08/2014

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **No**

Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works.
This individual is currently registered with **1** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **TRUIST ADVISORY SERVICES, INC.**

Main Address: 303 PEACHTREE STREET
2ND FLOOR
ATLANTA, GA 30303

Firm ID#: 283390

	Regulator	Registration	Status	Date
IA	Florida	Investment Adviser Representative	Approved	04/16/2023

Branch Office Locations

TRUIST ADVISORY SERVICES, INC.
1850 US HIGHWAY 1 S
SAINT AUGUSTINE, FL 32084

Employment 2 of 2

Firm Name: **TRUIST INVESTMENT SERVICES, INC.**

Main Address: 303 PEACHTREE STREET
2ND FLOOR
ATLANTA, GA 30303

Firm ID#: 17499

	Regulator	Registration	Status	Date
B	FINRA	General Securities Representative	Approved	04/06/2023
B	FINRA	Invest. Co and Variable Contracts	Approved	04/06/2023
B	Florida	Agent	Approved	04/06/2023

Branch Office Locations

SUNTRUST INVESTMENT SERVICES, INC.
1850 US HIGHWAY 1 S
WINTER HAVEN, FL 32084

Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 1 principal/supervisory exam, 4 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

	Exam	Category	Date
B	General Securities Principal Examination (S24)	Series 24	02/23/2000

General Industry/Product Exams

	Exam	Category	Date
B	Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B	General Securities Representative Examination (S7)	Series 7	08/09/2016
B	Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	03/25/2010
B	Limited Representative-Equity Trader Exam (S55)	Series 55	10/16/2000

State Securities Law Exams

	Exam	Category	Date
IA B	Uniform Combined State Law Examination (S66)	Series 66	10/19/2016
B	Uniform Securities Agent State Law Examination (S63)	Series 63	04/18/1997

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.

Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	10/21/2016 - 04/12/2023	WELLS FARGO CLEARING SERVICES, LLC	CRD# 19616	JACKSONVILLE BEACH
B	10/23/2015 - 04/12/2023	WELLS FARGO CLEARING SERVICES, LLC	CRD# 19616	JACKSONVILLE BEACH
B	03/27/2013 - 05/08/2014	HSBC SECURITIES (USA) INC.	CRD# 19585	PARSIPPANY, NJ
B	03/26/2010 - 06/15/2011	METLIFE SECURITIES INC.	CRD# 14251	CRANFORD, NJ
B	12/03/2001 - 04/22/2002	SONIC TECHNOLOGIES LLC	CRD# 104249	ISELIN, NJ
B	10/24/2000 - 01/02/2002	LIBERTY DISCOUNT BROKER, INC.	CRD# 103954	EDISON, NJ
B	09/22/1998 - 05/17/2001	U.S. SECURITIES & FUTURES CORP.	CRD# 36045	NEW YORK, NY
B	01/08/1998 - 07/09/1998	DEAN WITTER REYNOLDS INC.	CRD# 7556	PURCHASE, NY
B	05/29/1997 - 08/04/1997	EARNHARDT CO., INC.	CRD# 36209	WESTFIELD, NJ

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
04/2023 - Present	TRUIST ADVISORY SERVICES INC.	VIRTUAL PREMIER BANKER II	Y	ST. AUGUSTINE, FL, United States
04/2023 - Present	TRUIST ADVISORY SERVICES INC.	VIRTUAL PREMIER BANKER II	Y	ST. AUGUSTINE, FL, United States
11/2016 - 03/2023	WELLS FARGO CLEARING SERVICES, LLC	REGISTERED REP	Y	METUCHEN, NJ, United States
11/2014 - 03/2023	WELLS FARGO BANK, NA	PERSONAL BANKER	Y	METUCHEN, NJ, United States
10/2015 - 11/2016	WELLS FARGO ADVISORS, LLC	REGISTERED REP	Y	METUCHEN, NJ, United States

Registration & Employment History

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

No information reported.

End of Report

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