



IAPD Report

Dean Jonathan Landsman

CRD# 2868515

<u>Section Title</u>	<u>Page(s)</u>
Report Summary	1
Qualifications	2 - 5
Registration and Employment History	6
Disclosure Information	7



When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

Dean Jonathan Landsman (CRD# 2868515)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **07/26/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	EDWARD JONES	CRD# 250	11/09/1999
IA	EDWARD JONES	CRD# 250	10/03/2006

QUALIFICATIONS

This representative is currently registered in **4** SRO(s) and **37** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	WITTNER SECURITIES, INC.	45039	BOCA RATON, FL	12/16/1998 - 10/29/1999
B	THE LEADERS GROUP, INC.	37157	SUMMIT, NJ	04/16/1997 - 09/17/1999

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative?

Yes

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **37** jurisdiction(s) and 4 SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **EDWARD JONES**
Main Address: 12555 MANCHESTER RD
ST. LOUIS, MO 63131
Firm ID#: 250

	Regulator	Registration	Status	Date
B	FINRA	General Securities Representative	Approved	11/09/1999
B	FINRA	Invest. Co and Variable Contracts	Approved	07/14/2020
B	NYSE American LLC	General Securities Representative	Approved	09/13/2011
B	Nasdaq Stock Market	General Securities Representative	Approved	07/12/2006
B	New York Stock Exchange	General Securities Representative	Approved	11/09/1999
B	Alabama	Agent	Approved	08/02/2013
B	California	Agent	Approved	07/17/2000
B	Colorado	Agent	Approved	01/24/2005
B	Connecticut	Agent	Approved	01/11/2002
B	District of Columbia	Agent	Approved	02/01/2016
B	Florida	Agent	Approved	11/29/1999
IA	Florida	Investment Adviser Representative	Approved	10/03/2006
B	Georgia	Agent	Approved	03/13/2000



Qualifications

	Regulator	Registration	Status	Date
B	Illinois	Agent	Approved	05/10/2005
B	Kansas	Agent	Approved	03/19/2024
B	Louisiana	Agent	Approved	03/01/2024
B	Maryland	Agent	Approved	03/08/2000
B	Massachusetts	Agent	Approved	08/04/2008
B	Michigan	Agent	Approved	05/20/2014
B	Minnesota	Agent	Approved	08/05/2010
B	Missouri	Agent	Approved	03/29/2005
B	Nebraska	Agent	Approved	09/22/2003
B	Nevada	Agent	Approved	10/18/2016
B	New Hampshire	Agent	Approved	10/20/2022
B	New Jersey	Agent	Approved	07/26/2004
B	New Mexico	Agent	Approved	10/30/2012
B	New York	Agent	Approved	03/20/2000
B	North Carolina	Agent	Approved	04/16/2002
B	North Dakota	Agent	Approved	12/16/2024
B	Ohio	Agent	Approved	05/12/2025
B	Oklahoma	Agent	Approved	01/02/2026
B	Oregon	Agent	Approved	08/23/2012



Qualifications

	Regulator	Registration	Status	Date
B	Pennsylvania	Agent	Approved	05/13/2004
B	South Carolina	Agent	Approved	02/14/2007
B	Tennessee	Agent	Approved	06/29/2006
B	Texas	Agent	Approved	07/27/2011
IA	Texas	Investment Adviser Representative	Approved	08/19/2014
B	Utah	Agent	Approved	03/20/2017
B	Vermont	Agent	Approved	05/05/2021
B	Virginia	Agent	Approved	04/30/2024
B	Washington	Agent	Approved	07/10/2012
B	West Virginia	Agent	Approved	01/03/2007
B	Wisconsin	Agent	Approved	09/14/2004
B	Wyoming	Agent	Approved	03/22/2013

Branch Office Locations

EDWARD JONES
450 CARILLON PKWY SUITE 120
ST PETERSBURG, FL 33716

EDWARD JONES
Tampa, FL



Qualifications



PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 1 principal/supervisory exam, 3 general industry/product exams, and 1 state securities law exam.

Principal/Supervisory Exams

Exam	Category	Date
B Investment Company Products/Variable Contracts Principal Examination (S26)	Series 26	10/03/1997

General Industry/Product Exams

Exam	Category	Date
B Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B General Securities Representative Examination (S7)	Series 7	11/08/1999
B Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	04/12/1997

State Securities Law Exams

Exam	Category	Date
B Uniform Securities Agent State Law Examination (S63)	Series 63	01/10/2000



PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **2** professional designation(s).

Certified Financial Planner

Chartered Financial Consultant

Only professional designations listed in Question 8 of the Form U4 will appear in this section if the appropriate box is checked and verified by the issuing organization at the time of the filing. Learn more about eligible designations at [IARD](#) and [NASAA](#).



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	12/16/1998 - 10/29/1999	WITTNER SECURITIES, INC.	CRD# 45039	BOCA RATON, FL
B	04/16/1997 - 09/17/1999	THE LEADERS GROUP, INC.	CRD# 37157	SUMMIT, NJ

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
09/1999 - Present	EDWARD JONES	INVESTMENT REPRESENTATIVE	Y	ST. LOUIS, MO, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

Cannonfire Properties, LLC
Type of business: Real Estate
Tampa, FL
Start date: 2/1/2021
President
Hours per week: 1
Hours during trading: 0
Maintain property

Kik N Sass
Type of business: Musician
Tampa, FL
Start date: 4/1/2021
Musician
Hours per week: 4
Hours during trading: 0
Part time musician playing at local clubs



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 1

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: EDWARD JONES

Allegations: 10/13/08; CLIENT CLAIMS HE INSTRUCTED THE FA TO BUY SHARES OF SSO ON THE MORNING OF 10/13/08 AND CONTACTED HIM BACK AT 1:30 P.M. TO SELL IT TO MAKE A QUICK PROFIT. CLIENT CLAIMS THE FA ADVISED HIM HE WOULD NOT MAKE ANY MONEY AND HE SHOULD AT LEAST WAIT TO SELL AT THE END OF THE DAY. CLIENT CLAIMS HE GOT ANXIOUS BASED ON WHAT FA ADVISED AND DIRECTED THAT THE ORDERS TO SELL SHOULD BE PLACED RIGHT AWAY. HE LATER CALLED TO INSTRUCT TO NOT SELL AND WAIT UNTIL THE END OF THE DAY AS FA ADVISED. HE WAS ADVISED THE SELL ORDERS WERE ALREADY PLACED AND HE HAD LOST MONEY. CLIENT CLAIMS FA CONTACTED HIM AT THE END OF THE DAY AND SAID HE WAS GOING TO WASH ALL OF THESE TRADES AND HE WOULD INCUR THE LOSS. CLIENT QUESTIONED WHY HE DIDN'T MAKE ANY MONEY ON THIS OPTION FUND AND THE INCREASE OF THE MARKET ON THAT DATE AND HE BELIEVES HE SHOULD HAVE MADE APPROX. \$10,000 ON HIS INVESTMENT. HE FEELS "SLIGHTED" ON FA'S ADVICE AND VAGUE INFORMATION HE RECEIVED.

Product Type: Mutual Fund(s)

Alleged Damages: \$10,000.00

Customer Complaint Information

Date Complaint Received: 10/20/2008

Complaint Pending? No



Status: Denied

Status Date: 01/12/2009

Settlement Amount:

Individual Contribution Amount:

Broker Statement

CLIENT CONTACTED FA ON OCT 6TH REGARDING LIQUIDATING STOCKS & MOVING MUTUAL FDS IN ACCOUNTS. FA STATED THE CHANGES WERE FACILITATED AS REQUESTED. FA INDICATED CLIENT CONTACTED HIM AGAIN REGARDING CD RATES & QUESTIONED THE COMMISSIONS ON THE OCT 6TH TRADES. FA PROVIDED THE REQUESTED INFORMATION & REVIEWED COMMISSION CHARGES AS WELL AS LIMITS REGARDING DISCOUNTING COMMISSIONS. HE STATED CLIENT INQUIRED INTO FORECLOSURE COMPANIES HE HAD HEARD ABOUT & HE DID MAKE SOME RECOMMENDATIONS FOR SMALL QUANTITIES OF MONEY (LEAVING LONG-TERM FUNDS ALONE). ON OCT 13TH, FA INDICATED CLIENT CONTACTED HIM PRIOR TO MKT OPEN TO PURCHASE SHARES OF SSO. ACCORDING TO FA, HE NEVER RECOMMENDED THIS PARTICULAR SECURITY & AT THAT TIME HAS NO REASON TO BELIEVE THIS WAS GOING TO BE A SHORT-TERM/ONE DAY TRANSACTION. ORDERS WERE PLACED AS REQUESTED AND PURCHASES EXECUTED AT PRICE OF \$33.00 PER SHARE. CLIENT LATER CALLED WANTING TO LIQUIDATE STOCK & CLIENT WAS INFORMED HE WOULD NOT HAVE A GAIN. AS INSTRUCTED FA BEGAN PLACING LIQUIDATION ORDERS WHEN CLIENT CONTACTED HIS BRANCH AGAIN TO HOLD OFF. UNFORTUNATELY, ONE OF THE LIQUIDATIONS HAD ALREADY BEEN PLACED AND EXECUTED AT THE PRICE OF \$32.722. CLIENT WAS INFORMED THIS ORDER HAD ALREADY EXECUTED AND THE REMAINING ORDERS WERE CANCELLED. CLIENT RECEIVED TRADE CONFIRMATIONS. IT APPEARS FA WAS PLACING THE REQUESTED TRADES AS INSTRUCTED; HOWEVER, IT APPEARS CLIENT CONTINUED TO CALL AND CHANGE PREVIOUS INSTRUCTIONS. TRADE INSTRUCTIONS WERE ENTERED TIMELY, CONSIDERED RECEIVED IN GOOD ORDER AND EXECUTED. CLIENT REPRESENTED HIMSELF AS SERIES 7 LICENSED AND WOULD, THEREFORE, HAVE AN UNDERSTANDING REGARDING EXECUTION AND SETTLEMENT OF TRADES. IT WOULD APPEAR THE ORDERS WERE HANDLED PROPERLY. CLAIM DENIED.



End of Report

This page is intentionally left blank.