User Guidance

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IAPD Report

JAMES FRANKLIN DAVENPORT

CRD# 2872276

IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page http://www.nasaa.org/IAPD/IARReports.cfm

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association http://www.nasaa.org

Report Summary

JAMES FRANKLIN DAVENPORT (CRD# 2872276)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **12/03/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	CREWE ADVISORS	CRD# 173920	02/07/2024

QUALIFICATIONS

This representative is currently registered in **0** SRO(s) and **1** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.
Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	OSAIC WEALTH, INC.	23131	SOUTH JORDAN, UT	04/02/2013 - 02/06/2024
B	OSAIC WEALTH, INC.	23131	SOUTH JORDAN, UT	03/07/2013 - 02/06/2024
IA	LINCOLN FINANCIAL ADVISORS CORPORATION	3978	SALT LAKE CITY, UT	08/02/1999 - 03/06/2013

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Termination	1

Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works.
This individual is currently registered with **1** jurisdiction(s) and **0** SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **CREWE ADVISORS**

Main Address: 650 S. MAIN STREET
SUITE 700
SALT LAKE CITY, UT 84101

Firm ID#: 173920

	Regulator	Registration	Status	Date
IA	Utah	Investment Adviser Representative	Approved	02/07/2024

Branch Office Locations

CREWE ADVISORS
650 S. MAIN STREET
SUITE 700
SALT LAKE CITY, UT 84101

Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 3 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

	Exam	Category	Date
	No information reported.		

General Industry/Product Exams

	Exam	Category	Date
B	Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B	General Securities Representative Examination (S7)	Series 7	01/18/2000
B	Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	06/19/1997

State Securities Law Exams

	Exam	Category	Date
IA	Uniform Investment Adviser Law Examination (S65)	Series 65	08/22/1997
B	Uniform Securities Agent State Law Examination (S63)	Series 63	06/16/1997

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **1** professional designation(s).

Certified Financial Planner

This representative holds or did hold **1** professional designation(s) that may have been used to qualify as an Investment Advisor representative. Please check with the appropriate designation authority for verification that the designation is still in effect. The contact information for these professional designation authorities can be found on the website for the North American Securities Administrators Association at http://www.nasaa.org

Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	04/02/2013 - 02/06/2024	OSAIC WEALTH, INC.	CRD# 23131	SOUTH JORDAN, UT
B	03/07/2013 - 02/06/2024	OSAIC WEALTH, INC.	CRD# 23131	SOUTH JORDAN, UT
IA	08/02/1999 - 03/06/2013	LINCOLN FINANCIAL ADVISORS CORPORATION	CRD# 3978	SALT LAKE CITY, UT
B	07/14/1999 - 03/06/2013	LINCOLN FINANCIAL ADVISORS CORPORATION	CRD# 3978	SALT LAKE CITY, UT
B	07/14/1999 - 05/31/2006	THE LINCOLN NATIONAL LIFE INSURANCE COMPANY	CRD# 2580	SALT LAKE CITY, UT
B	06/20/1997 - 09/03/1999	SIGNATOR INVESTORS, INC.	CRD# 468	BOSTON, MA

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
02/2024 - Present	Crewe Advisors, LLC	Partner, Financial Advisor	Y	Salt Lake City, UT, United States
02/2013 - 02/2024	OSAIC WEALTH, INC.	REGISTERED REP	Y	SOUTH JORDAN, UT, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1. HIGH STREET VENTURES, LLC
POSITION: Owner - NATURE: Limited Liability Company - INVESTMENT RELATED: Yes HOURS: 4 SECURITIES TRADING HOURS: 4 START DATE: 03/01/2004
ADDRESS: 6335 Colleton Cir, SLC UT 84121
DESCRIPTION: This holds my personal investment accounts

2. INTEGRATED 106, LLC
POSITION: Manager NATURE: This is an LLC that owns interest in the building that we have our business located in INVESTMENT RELATED: No HOURS: 1 SECURITIES TRADING HOURS: 1 START DATE: 10/27/2017
ADDRESS: 10610 South Jordan Gateway, Ste. 210, South Jordan UT 84095
DESCRIPTION: Yearly tax return

Registration & Employment History

OTHER BUSINESS ACTIVITIES

3. JAMES F. DAVENPORT
POSITION: Statutory Agent - NATURE: Insurance Agency - INVESTMENT RELATED: Yes HOURS: 10 SECURITIES TRADING HOURS: 10 START DATE: 06/01/1997
ADDRESS: 10610 South Jordan Gateway, Ste. 210, South Jordan UT 84095
DESCRIPTION: Outside fixed insurance, life insurance, health insurance, and disability

4. J-DAVENPORT CONSULTING, INC.
POSITION: President NATURE: J-Davenport Consulting, Inc. This is a management company for my interest in Integrated Financial Group, LLC, and High Street Ventures, LLC.
ADDRESS: 436 North Farmhouse Lane, Midway UT, 84049
DESCRIPTION: Paying bills.

5. SB 6600, LLC
POSITION: Manager / Member NATURE: This owns a condo INVESTMENT RELATED: No HOURS: 2 SECURITIES TRADING HOURS: 0 START DATE: 11/01/2020
ADDRESS: 6335 Colleton Cir, Salt Lake City UT 84121
DESCRIPTION: Managing the LLC, Collecting rent, paying for expenses

6. EP2021, LLC
POSITION: Member NATURE: LLC INVESTMENT RELATED: No HOURS: 1 SECURITIES TRADING HOURS: 1 START DATE: 02/15/2021
ADDRESS: 112 North Curry Street, Carson City NV 89703
DESCRIPTION: Looking over reports, giving opinions about directions the company should take. Advisory Board

7. DUTCH FARM, LLC
POSITION: Owner NATURE: This LLC owns personal property / land currently in Midway, UT. We will be building a home on this land. INVESTMENT RELATED: Yes NUMBER OF HOURS: 0 SECURITIES TRADING HOURS: 0 START DATE: 06/01/2021
ADDRESS: 6335 Colleton Cir, Salt Lake City UT 84121, United States
DESCRIPTION: Land owner

8. Crewe Insurance, LLC
Position: Agent: This LLC handles fixed insurance and fixed indexed annuities. Number of hours 1; 0 securities trading; start date 02/07/2024
ADDRESS: 650 So. Main Street, Ste. 700, Salt Lake City, UT 84101

Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least $5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending, on appeal,* or *final*.
    - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
    - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
    - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated, settled* or *otherwise resolved*.
    - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
    - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
    - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.

DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Termination	1

Termination

This disclosure event involves a situation where the Investment Adviser Representative voluntarily resigned, was discharged or was permitted to resign after allegations were made that accused the Investment Adviser Representative of violating investment-related statutes, regulations, rules or industry standards of conduct; fraud or the wrongful taking of property; or failure to supervise in connection with investment-related statutes, regulations, rules or industry standards of conduct.

Disclosure 1 of 1

Reporting Source:	Firm
Firm Name:	LINCOLN FINANCIAL ADVISORS CORPORATION
Termination Type:	Discharged
Termination Date:	02/21/2013
Allegations:	REGISTERED REPRESENTATIVE WAS TERMINATED FOR VIOLATIONS OF FIRM POLICY REGARDING COMMUNICATIONS WITH THE PUBLIC INCLUDING A VIOLATION OF FIRM POLICY RELATED TO CLIENT COMMUNICATIONS INVOLVING THE SOLICITATION OF PRIVATE PLACEMENTS.
Product Type:	Other: PRIVATE PLACEMENT

Reporting Source:	Individual
Firm Name:	LINCOLN FINANCIAL ADVISORS
Termination Type:	Discharged
Termination Date:	02/21/2013
Allegations:	LINCOLN FINANCIAL ALLEGED THAT I WAS TERMINATED FOR VIOLATIONS OF FIRM POLICY REGARDING COMMUNICATIONS WITH THE PUBLIC INVOLVING THE SOLICITATION OF PRIVATE PLACEMENTS.
Product Type:	Other: PRIVATE PLACEMENT
Broker Statement	JAMES RESIGNED FROM LINCOLN FINANCIAL ON 2/14/2013 TO CHANGE BROKER DEALERS. ON 2/21/13 LINCOLN STATED "TERMINTED FOR CAUSE FOR VIOLATIING ITS POLICIES REGARDING COMMUNICATIONS WITH THE PUBLIC." HOWEVER, AFTER REPEATED REQUESTS BY JAMES; AS WELLS AS HIS ATTORNEYS, LINCOLN HAS NEVER PROVIDED A COPY OF THE

CLIENT COMMUNICATION THAT ALLEGEDLEY FORMED THE BASIS OF THE " TERMIANTION". THE ASSUMPTION MUST BE MADE IT WAS AN ATTEMPT TO CAUSE HARM BECAUSE JAMES DECIDED TO LEAVE LINCOLN.

End of Report

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