IAPD Report

ALLAHYAR SHOKOUHI NEJAD

CRD# 2901534

IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page http://www.nasaa.org/IAPD/IARReports.cfm

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association http://www.nasaa.org

User Guidance

www.adviserinfo.sec.gov

Report Summary

ALLAHYAR SHOKOUHI NEJAD (CRD# 2901534)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **11/13/2024**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	NEWEDGE ADVISORS	CRD# 171351	07/07/2023

QUALIFICATIONS

This representative is currently registered in **0** SRO(s) and **1** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.
Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	LPL FINANCIAL LLC	6413	JACKSONVILLE, FL	07/06/2023 - 02/28/2024
IA	TRUIST ADVISORY SERVICES, INC.	283390	PONTE VEDRA, FL	10/27/2016 - 07/06/2023
B	TRUIST INVESTMENT SERVICES, INC.	17499	PONTE VEDRA BEACH, FL	09/07/2016 - 07/06/2023

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Criminal	3

Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works.
This individual is currently registered with **1** jurisdiction(s) and **0** SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **NEWEDGE ADVISORS**

Main Address: 858 CAMP STREET
NEW ORLEANS, LA 70130

Firm ID#: 171351

	Regulator	Registration	Status	Date
IA	Florida	Investment Adviser Representative	Approved	07/18/2023

Branch Office Locations

NEWEDGE ADVISORS
13475 Atlantic Blvd.
Suite M831 Unit 8
Jacksonville, FL 32125

Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

	Exam	Category	Date
	No information reported.		

General Industry/Product Exams

	Exam	Category	Date
B	Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B	General Securities Representative Examination (S7)	Series 7	12/22/1997

State Securities Law Exams

	Exam	Category	Date
IA	Uniform Investment Adviser Law Examination (S65)	Series 65	04/01/2010
B	Uniform Securities Agent State Law Examination (S63)	Series 63	08/05/1997

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.

Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	07/06/2023 - 02/28/2024	LPL FINANCIAL LLC	CRD# 6413	JACKSONVILLE, FL
IA	10/27/2016 - 07/06/2023	TRUIST ADVISORY SERVICES, INC.	CRD# 283390	PONTE VEDRA, FL
B	09/07/2016 - 07/06/2023	TRUIST INVESTMENT SERVICES, INC.	CRD# 17499	PONTE VEDRA BEACH,
IA	09/16/2016 - 12/31/2016	SUNTRUST INVESTMENT SERVICES, INC.	CRD# 17499	PONTE VEDRA BEACH,
IA	01/05/2011 - 09/12/2016	SYNOVUS SECURITIES, INC.	CRD# 14023	FERNANDINA BEACH, F
B	03/10/2004 - 09/12/2016	SYNOVUS SECURITIES, INC.	CRD# 14023	FERNANDINA BEACH, F
IA	02/10/2003 - 02/11/2004	RAYMOND JAMES FINANCIAL SERVICES	CRD# 6694	LLAKE CITY , FL
B	01/11/2003 - 02/11/2004	RAYMOND JAMES FINANCIAL SERVICES, INC.	CRD# 6694	ST. PETERSBURG, FL
IA	04/16/2001 - 07/02/2002	WACHOVIA SECURITIES, INC.	CRD# 19616	JACKSONVILLE, FL
B	04/04/2001 - 07/02/2002	WACHOVIA SECURITIES, INC.	CRD# 19616	ST. LOUIS, MO
B	02/24/1998 - 01/16/2001	AMERICAN EXPRESS FINANCIAL ADVISORS INC.	CRD# 6363	MINNEAPOLIS, MN
B	02/24/1998 - 01/16/2001	IDS LIFE INSURANCE COMPANY	CRD# 6321	MINNEAPOLIS, MN

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
07/2023 - Present	LPL Financial	Registered Representative	Y	Jacksonville, FL, United States
07/2023 - Present	NewEdge Advisors, LLC.	Investment Advisor Representative	Y	New Orleans, LA, United States
09/2016 - 07/2023	SUNTRUST ADVISORY SERVICES LLC	ADVISOR	Y	ATLANTA, GA, United States

Registration & Employment History

EMPLOYMENT HISTORY

Employment Dates	Employer Name	Position	Investment Related	Employer Location
09/2016 - 06/2023	SUNTRUST INVESTMENT SERVICES, INC.	FINANCIAL ADVISOR	Y	ATLANTA, FL, United States
01/2007 - 09/2016	SYNOVUS BANK OF JACKSONVILLE	PVT CLIENT REL MGR I	Y	JACKSONVILLE, FL, United States
03/2004 - 09/2016	SYNOVUS SECURITIES, INC	FINANCIAL CONSULTANT	Y	JACKSONVILLE, FL, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1) 07/06/2023 - Accelerated Wealth - DBA for LPL Business (entity for LPL business) - Inv Rel - At Reported Business Location(s) - Start: 06/2023 - 200 Hr/Mth
2) 09/06/2023 - Accelerated Wealth - DBA for LPL Business (entity for LPL business) - Investment Related - At Reported Business Location(s) - Start Date 07/06/2023 - 160 Hours Per Month/ 8 Hours During Trading

Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least $5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending, on appeal,* or *final*.
  - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
  - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
  - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated, settled* or *otherwise resolved*.
  - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
  - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
  - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.

DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Criminal	3

Criminal

This disclosure event involves a criminal charge against the Investment Adviser Representative that has resulted in a dismissal, plea, acquittal or conviction. The criminal matter may relate to any felony or certain misdemeanor offenses (e.g., bribery, perjury, forgery, counterfeiting, extortion, fraud, wrongful taking of property).

Disclosure 1 of 3

Reporting Source:	Individual
Formal Charges were brought in:	State Court
Name of Court:	State of Florida Leon County Courthouse
Location of Court:	Leon County, Florida
Docket/Case #:	90 - 10461
Charge Date:	09/11/1991
Charge(s) 1 of 1	
Formal Charge(s)/Description:	Charged with misdemeanor - for insufficient funds check disposition. $34.99 check amount for this specific incident. Plead no contest, adjudication was withheld and was placed on probation for one month along with 2 days of sheriff work program.
No of Counts:	1
Felony or Misdemeanor:	Misdemeanor
Plea for each charge:	No Contest
Disposition of charge:	Deferred Adjudication
Current Status:	Final
Status Date:	01/03/1992
Disposition Date:	09/11/1991
Sentence/Penalty:	Charged with misdemeanor - for insufficient funds check disposition. $34.99 check amount for this specific incident. Plead no contest, adjudication was withheld and was placed on probation for one month 10/03/1991 - 11/05/1991 along with 2 days of sheriff work program. Paid $75 in court costs.
Broker Statement	I WAS AWARE OF THE OUTSTANDING CHECK AND I PAID THE CHECK BEFORE I HAD TO APPEAR IN COURT. I WAS UNAWARE THAT THE STATE

	WANTED TO TAKE LEGAL ACTION AGAINST ME. UPON DISCOVERY OF THIS NEWS I TURNED MYSELF IN AT LEON COUNTY SHERRIFF'S OFFICE. I PLEAD NO CONTEST AND AJUDICATION WAS WITHHELD. I WAS PLACED ON PROBATION FOR ONE MONTH WITH 2 DAYS OF SHERRIFF WORK PROGRAM.

Disclosure 2 of 3

Reporting Source:	Individual
Formal Charges were brought in:	State Court
Name of Court:	State of Florida Leon County Courthouse
Location of Court:	Leon County, Florida
Docket/Case #:	91 - 02044
Charge Date:	09/11/1991
Charge(s) 1 of 1	
Formal Charge(s)/Description:	Charged with misdemeanor - for insufficient funds check disposition. $54.83 check amount for this specific incident. Plead no contest, adjudication was withheld and was placed on probation for one month along with 2 days of sheriff work program. Paid $75 in court costs.
No of Counts:	1
Felony or Misdemeanor:	Misdemeanor
Plea for each charge:	no contest
Disposition of charge:	Deferred Adjudication
Current Status:	Final
Status Date:	01/03/1992
Disposition Date:	09/11/1991
Sentence/Penalty:	Charged with misdemeanor - for insufficient funds check disposition. $54.83 check amount for this specific incident. Plead no contest, adjudication was withheld and was placed on probation for one month 10/03/1991 - 11/05/1991 along with 2 days of sheriff work program. Paid $75 in court costs.
Broker Statement	I WAS AWARE OF THE OUTSTANDING CHECK AND I HAD PAYED FOR THE CHECK BEFORE I APPEARD IN COURT. I WAS UNAWARE THAT THE STATE WANTED TO TAKE LEGAL ACTIONS AGAINST ME AND I TURNED MYSELF IN AT THE LEON COUNTY SHERRIFFS OFFICE. BEING UNAWARE OF THE LAWS, I PLEAD NO CONTEST AND AJUDICATION WAS WITHHELD AND AS RESULT WAS PLACED ON ONE MONTH PROBATION WITH 2 DAYS OF SHERRIFF WORK PROGRAM PROBATION WITH 6 DAYS OF SHERIFFS PROGRAM.

Disclosure 3 of 3

Reporting Source:	Individual
Formal Charges were brought in:	State Court
Name of Court:	State of Florida Leon County Courthouse
Location of Court:	Leon County, Florida

Docket/Case #:	91 - 7346
Charge Date:	09/11/1991
Charge(s) 1 of 1	
Formal Charge(s)/Description:	Charged with misdemeanor - for insufficient funds check disposition. $19.00 check amount for this specific incident. Plead no contest, adjudication was withheld and was placed on probation for one month along with 2 days of sheriff work program.
No of Counts:	1
Felony or Misdemeanor:	Misdemeanor
Plea for each charge:	No Contest
Disposition of charge:	Deferred Adjudication
Current Status:	Final
Status Date:	01/03/1992
Disposition Date:	09/11/1991
Sentence/Penalty:	Charged with misdemeanor - for insufficient funds check disposition. $19.00 check amount for this specific incident. Plead no contest, adjudication was withheld and was placed on probation for one month 10/03/1991 - 11/05/1991 along with 2 days of sheriff work program. Paid $75 in court costs.
Broker Statement	I WAS AWARE OF THE OUTSTANDING CHECK AND I HAD PAID FOR THE CHECK BEFORE I APPEARD IN COURT. I WAS UNAWARE THAT THE STATE WANTED TO TAKE LEGAL ACTION AGAINST ME AND I TURNED MYSELF IN AT THE SHERRIFF'S OFFICE. BEING UNAWARE OF MY LEGAL RIGHTS I PLEAD NO CONTEST AND AJUDICATION WAS WITHHELD. I WAS PLACED ON ONE MONTH PROBATION WITH 2 DAYS OF SHERRIFF WORK PROGRAM.

End of Report

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