IAPD Report

PAMELA S WISE

CRD# 2904307

Section Title	Page(s)

IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page http://www.nasaa.org/IAPD/IARReports.cfm

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association http://www.nasaa.org

Report Summary

PAMELA S WISE (CRD# 2904307)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **06/22/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	&PARTNERS	CRD# 3767	03/07/2025
IA	&PARTNERS	CRD# 3767	03/07/2025

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **20** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.
Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED	7691	WASHINGTON, DC	06/24/2011 - 03/11/2025
IA	MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED	7691	WASHINGTON, DC	06/24/2011 - 03/11/2025
IA	WELLS FARGO ADVISORS, LLC	19616	WASHINGTON, DC	11/07/2007 - 06/27/2011

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **No**

Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works.
This individual is currently registered with **20** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **&PARTNERS**

Main Address: 40 BURTON HILLS BLVD
SUITE 350
NASHVILLE, TN 37215

Firm ID#: 3767

	Regulator	Registration	Status	Date
B	FINRA	General Securities Representative	Approved	03/07/2025
B	FINRA	General Securities Sales Supervisor	Approved	03/07/2025
B	Arizona	Agent	Approved	03/13/2025
B	California	Agent	Approved	03/07/2025
B	Connecticut	Agent	Approved	04/13/2026
B	District of Columbia	Agent	Approved	03/13/2025
IA	District of Columbia	Investment Adviser Representative	Approved	03/13/2025
B	Florida	Agent	Approved	03/07/2025
B	Georgia	Agent	Approved	06/23/2026
B	Illinois	Agent	Approved	03/17/2025
B	Indiana	Agent	Approved	03/17/2025
B	Iowa	Agent	Approved	02/24/2026
B	Louisiana	Agent	Approved	03/07/2025

Qualifications

	Regulator	Registration	Status	Date
B	Maine	Agent	Approved	03/17/2025
IA	Maine	Investment Adviser Representative	Approved	03/17/2025
B	Maryland	Agent	Approved	03/07/2025
B	Massachusetts	Agent	Approved	03/10/2025
B	New York	Agent	Approved	03/22/2025
B	North Carolina	Agent	Approved	03/19/2025
B	Ohio	Agent	Approved	02/03/2026
B	Pennsylvania	Agent	Approved	03/10/2025
B	South Carolina	Agent	Approved	03/18/2025
B	Texas	Agent	Approved	03/07/2025
IA	Texas	Investment Adviser Representative	Restricted Approval	03/07/2025
B	Virginia	Agent	Approved	03/17/2025

Branch Office Locations

&PARTNERS
1740 N Street, NW
Washington, DC 20036

Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 2 principal/supervisory exams, 2 general industry/product exams, and 3 state securities law exams.

Principal/Supervisory Exams

	Exam	Category	Date
B	General Securities Sales Supervisor - Options Module Examination (S9)	Series 9	04/12/2021
B	General Securities Sales Supervisor - General Module Examination (S10)	Series 10	02/24/2021

General Industry/Product Exams

	Exam	Category	Date
B	Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B	General Securities Representative Examination (S7)	Series 7	09/21/1998

State Securities Law Exams

	Exam	Category	Date
IA B	Uniform Combined State Law Examination (S66)	Series 66	11/06/2007
IA	Uniform Investment Adviser Law Examination (S65)	Series 65	02/12/1999
B	Uniform Securities Agent State Law Examination (S63)	Series 63	09/30/1998

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.

Only professional designations listed in Question 8 of the Form U4 will appear in this section if the appropriate box is checked and verified by the issuing organization at the time of the filing. Learn more about eligible designations at IARD and NASAA.

Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	06/24/2011 - 03/11/2025	MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED	CRD# 7691	WASHINGTON, DC
IA	06/24/2011 - 03/11/2025	MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED	CRD# 7691	WASHINGTON, DC
IA	11/07/2007 - 06/27/2011	WELLS FARGO ADVISORS, LLC	CRD# 19616	WASHINGTON, DC
B	05/15/2007 - 06/27/2011	WELLS FARGO ADVISORS, LLC	CRD# 19616	WASHINGTON, DC
B	11/19/1999 - 01/06/2005	EVERGREEN INVESTMENT SERVICES, INC.	CRD# 487	CHARLOTTE, NC
B	10/01/1999 - 11/16/1999	FIRST UNION SECURITIES, INC.	CRD# 19616	ST. LOUIS, MO
B	09/22/1998 - 10/01/1999	FIRST UNION CAPITAL MARKETS CORP.	CRD# 6124	CHARLOTTE, NC

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
03/2025 - Present	&PARTNERS	Financial Advisor	Y	Nashville, TN, United States
07/2011 - 03/2025	BANK OF AMERICA, N.A.	FINANCIAL ADVISOR	Y	WASHINGTON, DC, United States
06/2011 - 03/2025	MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED	FINANCIAL ADVISOR	Y	WASHINGTON, DC, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

I*438236
For profit or not for profit: Entity For Profit
Name of outside business organization: Owlbat Industries LLC
Investment related: N

Registration & Employment History

OTHER BUSINESS ACTIVITIES

Address of business: Washington, District of Columbia 20007
Nature of business: Limited Liability Company
Position, title, association: Owner
Start date of relationship: 9/11/2019
Number of hours devoted: 10 hrs Mthly
Number of hours devoted during trading hours: 0
Duties: Owner

I*: 2097309
Entity Type: Charitable
Name of OBA: St. David's Vestry
Address: Washington, DC 20016
Investment Related: No
Position, Title, Association: Board Member
Employee Start Date: 01/24/2023
Number of Hours: 3 hrs mthly
Number of Hours during trading: 0
Duties: Administration and Finance Committee

I*: 2097310
Entity Type: Charitable
Name of OBA: St. David's Investment Committee Chair
Address: Washington, DC 20016
Investment Related: No
Position, Title, Association: Committee
Employee Start Date: 11/19/2024
Number of Hours: 2 hrs mthly
Number of Hours during trading: 0
Duties: Organize meetings of the committee, produce reports and participate in decisions about the church endowment.

OAKENHAM LLC
POSITION: Owner, Participant
NATURE: ownership in an LLC that was set up to fund the restoration of a historic home and participate in tax credits
INVESTMENT RELATED: No
NUMBER OF HOURS: 8 SECURITIES TRADING HOURS: 4
START DATE: 07/21/2025
ADDRESS: Saluda, VA 23149, United States
DESCRIPTION: I am the developer

INLAND
POSITION: Chair, Advisory Board
NATURE: Help advise a start-up artist residency with strategy and business planning
INVESTMENT RELATED: No
NUMBER OF HOURS: 2
SECURITIES TRADING HOURS: 2
START DATE: 07/28/2025
ADDRESS: 626 Barnestown Road, Hope, ME
DESCRIPTION: conduct meetings, document strategic planning

OWLBAT LLC DBA SYNDIBAT

Registration & Employment History

OTHER BUSINESS ACTIVITIES

POSITION: Owner
NATURE: Syndibat is a dress making company. I occasionally sell at farmer's markets, fairs, and trunk shows.
INVESTMENT RELATED: No
NUMBER OF HOURS: 2 SECURITIES TRADING HOURS: 0 START DATE: 10/09/2025
ADDRESS: Washington, DC 20009, United States
DESCRIPTION: I design the product and manage the company.

AMERICAN YOUTH FOUNDATION
POSITION: Board Member
NATURE: Board member for a long-standing non-profit serving youth with leadership opportunities at summer camps.
INVESTMENT RELATED: No
NUMBER OF HOURS: 2 SECURITIES TRADING HOURS: 2 START DATE: 03/01/2026
ADDRESS: St. Louis, MO 63117, United States
DESCRIPTION: To advise the organization and serve on one or more committees.

End of Report

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