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IAPD Report

DANIEL V WILSON

CRD# 2915046

IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page http://www.nasaa.org/IAPD/IARReports.cfm

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association http://www.nasaa.org

Report Summary

DANIEL V WILSON (CRD# 2915046)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **05/29/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	OSAIC WEALTH, INC.	CRD# 23131	06/14/2024
IA	OSAIC WEALTH, INC.	CRD# 23131	06/14/2024

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **27** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.
Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	SECURITIES AMERICA ADVISORS, INC.	110518	Somerville, NJ	02/06/2017 - 06/14/2024
B	SECURITIES AMERICA, INC.	10205	Somerville, NJ	02/06/2017 - 06/14/2024
IA	PARK AVENUE SECURITIES LLC	46173	SOMERVILLE, NJ	09/26/2006 - 02/23/2017

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	2

Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works.
This individual is currently registered with **27** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **OSAIC WEALTH, INC.**

Main Address: 18700 N. HAYDEN ROAD
SUITE 255
SCOTTSDALE, AZ 85255

Firm ID#: 23131

	Regulator	Registration	Status	Date
B	FINRA	General Securities Representative	Approved	06/14/2024
B	FINRA	Invest. Co and Variable Contracts	Approved	06/14/2024
B	Alabama	Agent	Approved	06/14/2024
B	Arizona	Agent	Approved	06/14/2024
B	California	Agent	Approved	06/14/2024
B	Colorado	Agent	Approved	06/14/2024
B	Connecticut	Agent	Approved	06/14/2024
B	Delaware	Agent	Approved	06/14/2024
B	Florida	Agent	Approved	06/14/2024
IA	Florida	Investment Adviser Representative	Approved	09/27/2024
B	Georgia	Agent	Approved	06/14/2024
B	Illinois	Agent	Approved	06/14/2024
B	Indiana	Agent	Approved	06/14/2024

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Qualifications

	Regulator	Registration	Status	Date
B	Kansas	Agent	Approved	08/22/2025
B	Kentucky	Agent	Approved	06/14/2024
B	Maine	Agent	Approved	06/14/2024
B	Maryland	Agent	Approved	06/14/2024
B	Massachusetts	Agent	Approved	06/14/2024
B	Nevada	Agent	Approved	06/14/2024
B	New Jersey	Agent	Approved	06/14/2024
IA	New Jersey	Investment Adviser Representative	Approved	06/14/2024
B	New York	Agent	Approved	06/14/2024
B	North Carolina	Agent	Approved	06/14/2024
B	Pennsylvania	Agent	Approved	06/14/2024
B	Rhode Island	Agent	Approved	06/14/2024
B	South Carolina	Agent	Approved	06/14/2024
B	Tennessee	Agent	Approved	06/14/2024
IA	Texas	Investment Adviser Representative	Approved	06/14/2024
B	Texas	Agent	Approved	08/12/2025
B	Vermont	Agent	Approved	06/14/2024
B	Virginia	Agent	Approved	06/14/2024
B	West Virginia	Agent	Approved	06/14/2024

 Report about DANIEL V WILSON.

Qualifications

Regulator	Registration	Status	Date

Branch Office Locations

OSAIC WEALTH, INC.
92 East Main Street
Ste. 406
Somerville, NJ 08876

Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 3 general industry/product exams, and 1 state securities law exam.

Principal/Supervisory Exams

Exam	Category	Date
No information reported.		

General Industry/Product Exams

	Exam	Category	Date
B	Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B	General Securities Representative Examination (S7)	Series 7	03/10/1999
B	Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	07/19/1997

State Securities Law Exams

	Exam	Category	Date
B	Uniform Securities Agent State Law Examination (S63)	Series 63	07/02/1998

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **1** professional designation(s).

Certified Financial Planner

Only professional designations listed in Question 8 of the Form U4 will appear in this section if the appropriate box is checked and verified by the issuing organization at the time of the filing. Learn more about eligible designations at IARD and NASAA.

Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	02/06/2017 - 06/14/2024	SECURITIES AMERICA ADVISORS, INC.	CRD# 110518	Somerville, NJ
B	02/06/2017 - 06/14/2024	SECURITIES AMERICA, INC.	CRD# 10205	Somerville, NJ
IA	09/26/2006 - 02/23/2017	PARK AVENUE SECURITIES LLC	CRD# 46173	SOMERVILLE, NJ
B	09/19/2000 - 02/23/2017	PARK AVENUE SECURITIES LLC	CRD# 46173	SOMERVILLE, NJ
B	07/21/1997 - 08/31/2000	SIGNATOR INVESTORS, INC.	CRD# 468	BOSTON, MA

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
06/2024 - Present	OSAIC WEALTH, INC.	REGISTERED REP	Y	Somerville, NJ, United States
06/2014 - Present	GUARDIAN LIFE INSURANCE CO OF AMERICA	AGENT	Y	SOMERVILLE, NJ, United States
06/2014 - Present	PARK AVENUE SECURITIES LLC	REGISTERED REP	Y	SOMERVILLE, NJ, United States
07/2009 - Present	NORTHEAST WEALTH PLANNERS	SALES	Y	HILLSBOROUGH, NJ, United States
01/2017 - 06/2024	SECURITIES AMERICA ADVISORS	Investment Advisor Rep	Y	Somerville, NJ, United States
01/2017 - 06/2024	SECURITIES AMERICA INC.	Registered Rep	Y	Somerville, NJ, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1. Northeast Wealth Planners-DBA
Position: insurance producer Nature: Insurance Products Solicited Investment Related: No-Start Date: 08/15/2000
Address: 92 E Main St, Suite 406, Somerville NJ 08876

Registration & Employment History

OTHER BUSINESS ACTIVITIES

Description: I sell fixed insurance products with several carriers

2. K2W LLC
Position: Partner Nature: Rental Real Estate Investment Related: No Start Date: 05/30/2009
Address: 12 Amerman Lane, Belle Mead NJ 08502
Description: With my own personal funds, I invest in rental real estate.

3. Green Light Capital
Position: General Partner Nature: Green Light Capital Investment Related: No-Start Date: 02/01/2012
Address: 12 Amerman Lane, Belle Mead NJ 08502
Description: Similar to rental real estate, I have a friend and we invest in some side projects. Examples of such investments have been passive partners in a restaurant, rental real estate, and a specialty pharmacy. None of which are publicly traded or solicited by me or to others I know...I am also aware that I am not able to invest in any projects that relate to finance or financial services - such as banks or life settlement companies.

4. EO - ENTREPRENUERS ORGANIZATION
POSITION: Passive Member NATURE: Passive member of a non-profit (Entrepreneurs Organization), in the past I was on the board but no longer on the board...Just a member of the organization INVESTMENT RELATED: No NUMBER OF HOURS: 5 SECURITIES TRADING HOURS: 0 START DATE: 09/30/2020
ADDRESS: 500 Montgomery St., Alexandria VA 22314
DESCRIPTION: I am a passive member of the organization...No longer on the board

5. RIDGE FORE LLC
POSITION: Member/Partner NATURE: Ridge Fore is an LLC with 4 members (myself and 3 others)...We are all members of a golf club and looking to buy a cottage at another golf course in GA...The other 3 members are NOT clients and this is more for a recreational purchase... INVESTMENT RELATED: Yes NUMBER OF HOURS: 5 SECURITIES TRADING HOURS: 0 START DATE: 01/01/2026
ADDRESS: 12 Amerman Lane, Belle Mead NJ 08502, United States
DESCRIPTION: I will be a passive investor in a rental cottage at the Champions Retreat Golf Club in GA...Goal of the group is to rent out the cottage to breakeven on the carry costs and have it available to us for 12 weeks a year so we can go play and enjoy with friends and family...The club will handle the rentals and maintenance of the property...

Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least $5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending, on appeal,* or *final*.
  - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
  - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
  - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated, settled* or *otherwise resolved*.
  - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
  - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
  - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.

DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	2

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 2

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	SECURITIES AMERICA, INC.
Allegations:	Client alleges representative made unauthorized trades in his investment account
Product Type:	Other: Advisory Account
Alleged Damages:	$5,000.00
Alleged Damages Amount Explanation (if amount not exact):	The Firm has made a good faith determination that the alleged damages could exceed $5,000
Is this an oral complaint?	No
Is this a written complaint?	Yes
Is this an arbitration/CFTC reparation or civil litigation?	No

Customer Complaint Information

Date Complaint Received:	08/03/2022
Complaint Pending?	No
Status:	Denied
Status Date:	05/23/2023
Settlement Amount:	
Individual Contribution	

Amount:

Disclosure 2 of 2

Reporting Source:	Firm
Employing firm when activities occurred which led to the complaint:	Park Avenue Securities LLC
Allegations:	The Customer alleges he received an email from the registered representative's assistant on April 9, 2013 requesting his signature on a signature page of an incomplete withdrawal form to pay taxes owed by the Dorothy Bracco trust. The Customer, who is one of the trustee's, acknowledges signing the signature page but alleges he did not become aware of the purpose of the withdrawal until four years later in April 2017, and now disputes the purpose of the withdrawal.
Product Type:	Annuity-Variable
Alleged Damages:	$12,000.00
Is this an oral complaint?	No
Is this a written complaint?	Yes
Is this an arbitration/CFTC reparation or civil litigation?	No

Customer Complaint Information

Date Complaint Received:	08/30/2018
Complaint Pending?	No
Status:	Closed/No Action
Status Date:	09/17/2018
Settlement Amount:	
Individual Contribution Amount:	

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	Park Avenue Securities LLC
Allegations:	The customer alleges he received an email frm the registered representative's assistant on April 9, 2013 requesting his signature on a signature page of an incomplete withdrawal form to pay taxes owed by the trust on which he was a co-trustee. The customer acknowledges signing the withdrawal form but alleges he did not become aware of the the reason for the withdrawal until April 2017 and he now disputes the purpose of the withdrawal.
Product Type:	Annuity-Variable
Alleged Damages:	$12,000.00
Is this an oral complaint?	No
Is this a written complaint?	Yes
Is this an arbitration/CFTC reparation or civil litigation?	No

Customer Complaint Information

Date Complaint Received:	08/30/2018
Complaint Pending?	No
Status:	Closed/No Action
Status Date:	09/17/2018
Settlement Amount:	
Individual Contribution Amount:	
Broker Statement	The claimant was the son of a longtime client and later became a trustee along with his mother on a trust account. My client took multiple withdrawals from her investments (including those from the Trust) in which both my client and the claimant needed to sign forms to process. According to my client the reasons for these withdrawals included payments to restore my client's home due to Hurricane Sandy damage, paying taxes, and supporting claimant. For the transaction in question, my client needed money to pay for 2012 Federal Taxes. Both my client and the claimant signed the withdrawal request and Guardian issued a first party check made payable to my client and sent it to her at her daughter's house where she was living due to the damages to her home from Sandy. At no time were my client and the claimant ever provided with blank withdrawal forms for signature. The Claimant's allegations are baseless and arise only after the claimant's sister asked me to testify against the claimant in a family civil dispute. Based on the fact that the allegations do not appear to involve a sales practice violation, I dispute this matter being reported on my Form U5 by Park Avenue Securities.

End of Report

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