IAPD Report

Bryan P Cunningham

CRD# 2930671

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IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page http://www.nasaa.org/IAPD/IARReports.cfm

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association http://www.nasaa.org

User Guidance

www.adviserinfo.sec.gov

Report Summary

Bryan P Cunningham (CRD# 2930671)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **07/07/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	LPL FINANCIAL LLC	CRD# 6413	07/02/2026
IA	LPL FINANCIAL LLC	CRD# 6413	07/03/2026

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **2** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.
Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	EQUITABLE ADVISORS, LLC	6627	WOODLAND HILLS, CA	03/04/2025 - 06/02/2026
B	EQUITABLE ADVISORS, LLC	6627	WOODLAND HILLS, CA	03/03/2025 - 06/02/2026
IA	CETERA INVESTMENT ADVISERS LLC	105644	Valencia, CA	03/21/2024 - 11/18/2024

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	2

Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works.
This individual is currently registered with **2** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **LPL FINANCIAL LLC**

Main Address: 1055 LPL WAY
FORT MILL, SC 29715

Firm ID#: 6413

	Regulator	Registration	Status	Date
B	FINRA	General Securities Principal	Approved	07/02/2026
B	FINRA	General Securities Representative	Approved	07/02/2026
B	FINRA	Invest. Co and Variable Contracts	Approved	07/02/2026
B	California	Agent	Approved	07/03/2026
IA	California	Investment Adviser Representative	Approved	07/03/2026
B	Nevada	Agent	Approved	07/06/2026

Branch Office Locations

LPL FINANCIAL LLC
1794 SOUTH VICTORIA AVE STE B
VENTURA, CA 93003

Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 3 principal/supervisory exams, 3 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

	Exam	Category	Date
B	General Securities Principal Examination (S24)	Series 24	08/24/2018
B	Municipal Fund Securities Principal Examination (S51)	Series 51	09/30/2003
B	Investment Company Products/Variable Contracts Principal Examination (S26)	Series 26	05/22/1999

General Industry/Product Exams

	Exam	Category	Date
B	Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B	General Securities Representative Examination (S7)	Series 7	04/13/2000
B	Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	08/11/1997

State Securities Law Exams

	Exam	Category	Date
IA B	Uniform Combined State Law Examination (S66)	Series 66	02/15/2022
B	Uniform Securities Agent State Law Examination (S63)	Series 63	08/15/1997

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **1** professional designation(s).

Chartered Financial Consultant

Only professional designations listed in Question 8 of the Form U4 will appear in this section if the appropriate box is checked and verified by the issuing organization at the time of the filing. Learn more about eligible designations at IARD and NASAA.

Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	03/04/2025 - 06/02/2026	EQUITABLE ADVISORS, LLC	CRD# 6627	WOODLAND HILLS, CA
B	03/03/2025 - 06/02/2026	EQUITABLE ADVISORS, LLC	CRD# 6627	WOODLAND HILLS, CA
IA	03/21/2024 - 11/18/2024	CETERA INVESTMENT ADVISERS LLC	CRD# 105644	Valencia, CA
B	02/09/2023 - 11/18/2024	CETERA ADVISORS LLC	CRD# 10299	GLENDORA, CA
IA	02/09/2023 - 03/21/2024	CETERA ADVISORS LLC	CRD# 10299	GLENDORA, CA
IA	02/17/2022 - 02/16/2023	ONEAMERICA SECURITIES, INC.	CRD# 4173	Valencia, CA
B	02/12/2020 - 02/16/2023	ONEAMERICA SECURITIES, INC.	CRD# 4173	Valencia, CA
B	12/17/2008 - 01/10/2020	NYLIFE SECURITIES LLC	CRD# 5167	WOODLAND HILLS, CA
IA	11/13/2007 - 11/25/2008	MML INVESTORS SERVICES, INC.	CRD# 10409	WESTLAKE VILLAGE, C
B	11/12/2007 - 11/25/2008	MML INVESTORS SERVICES, INC.	CRD# 10409	WESTLAKE VILLAGE, C
B	10/31/2005 - 11/08/2007	AIG FINANCIAL ADVISORS, INC.	CRD# 133763	VALENCIA, CA
IA	06/21/2002 - 11/07/2007	INDEPENDENT CAPITAL MANAGEMENT	CRD# 121354	SAN DIEGO, CA
B	08/15/1997 - 10/31/2005	SUNAMERICA SECURITIES, INC.	CRD# 20068	PHOENIX, AZ
IA	06/21/2002 - 01/30/1998	INDEPENDENT CAPITAL MANAGEMENT	CRD# 121354	WOODLAND HILLS, CA

Registration & Employment History

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
07/2026 - Present	LPL Financial LLC	Registered Representative	Y	Ventura, CA, United States
07/2026 - Present	Premier America CU	Employee / Contractor	Y	Ventura, CA, United States
03/2025 - 06/2026	Equitable Advisors, LLC	Registered Representative	Y	New York City, NY, United States
12/2024 - 02/2025	Unemployed	Unemployed	N	Castaic, CA, United States
03/2024 - 11/2024	CETERA INVESTMENT ADVISERS LLC	INVESTMENT ADVISOR REPRESENTATIVE	Y	SCHAUMBURG, IL, United States
02/2023 - 11/2024	CETERA ADVISORS LLC	REGISTERED REPRESENTATIVE	Y	ST CLOUD, MN, United States
02/2020 - 02/2023	OneAmerica Securities	Registered Rep	Y	Valencia, CA, United States
10/2019 - 02/2023	American United Life	Agent	Y	Valencia, CA, United States
12/2008 - 01/2020	NYLIFE SECURITIES LLC	REGISTERED REP	Y	WOODLAND HILLS, CA, United States
11/2008 - 01/2020	NEW YORK LIFE INSURANCE	REGISTERED REP	Y	WOODLAND HILLS, CA, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1. Investment products and services are offered through LPL Financial LLC using the DBA/trade name Premier America Wealth Management Group - Investment Related - At Reported Business Location(s) - Start Date: 06/2026

Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least $5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending, on appeal,* or *final*.
    - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
    - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
    - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated, settled* or *otherwise resolved*.
    - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
    - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
    - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.

DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	2

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 2

Reporting Source:	Firm
Employing firm when activities occurred which led to the complaint:	MML INVESTORS SERVICES, LLC
Allegations:	COMPLAINANT ALLEGES THAT BEGINNING IN OR AROUND 2005, THE REPRESENTATIVE IMPROPERLY RECOMMENDED THAT THE COMPLAINANT REFINANCE HER $149,000 15 YEAR FIXED MORTGAGE FOR A $300,000 30 YEAR ARM AND USE APPROXIMATELY $100,000 OF THE PROCEEDS TO PURCHASE SECURITIES, WHICH THE REPRESENTATIVE WOULD MANAGE.
Product Type:	Equity Listed (Common & Preferred Stock) Mutual Fund
Alleged Damages:	$0.00
Alleged Damages Amount Explanation (if amount not exact):	NO SPECIFIC DAMAGE AMOUNT WAS ALLEGED AND THE FIRM WAS UNABLE TO MAKE A GOOD FAITH DETERMINATION THAT DAMAGES WOULD BE UNDER $5000.

Customer Complaint Information

Date Complaint Received:	
Complaint Pending?	No
Status:	Evolved into Civil litigation (the individual is a named party)
Status Date:	09/22/2011
Settlement Amount:	
Individual Contribution Amount:	

Civil Litigation Information

Type of Court:	State Court
Name of Court:	LOS ANGELES SUPERIOR COURT
Location of Court:	LOS ANGELES, CA
Docket/Case #:	BC467990
Date Notice/Process Served:	09/22/2011
Litigation Pending?	No
Disposition:	Settled
Disposition Date:	06/28/2012
Monetary Compensation Amount:	$150,000.00
Individual Contribution Amount:	$135,001.00
Firm Statement	INTERNAL CASE #201115856.

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	NYLIFE SECURITIES LLC
Allegations:	THE PLAINTIFF ALLEGES AMONG OTHER THINGS THAT BETWEEN JANUARY 2005 AND SEPTEMBER 2009, SHE PURCHASED INSURANCE AND SECURITIES PRODUCTS, WHICH WERE UNSUITABLE.
Product Type:	Insurance Mutual Fund
Alleged Damages:	$0.00
Alleged Damages Amount Explanation (if amount not exact):	BASED ON THE COMPANY'S GOOD FAITH ESTIMATE IT WAS DETERMINED THAT THE COMPENSATORY DAMAGES COULD BE MORE THAN $5,000.

Civil Litigation Information

Type of Court:	State Court
Name of Court:	SUPERIOR COURT OF THE STATE OF CALIFORNIA
Location of Court:	COUNTY OF LOS ANGELES - CENTRAL DISTRICT, CA
Docket/Case #:	BC467990
Date Notice/Process Served:	09/21/2011
Litigation Pending?	No
Disposition:	Settled
Disposition Date:	06/28/2012
Monetary Compensation Amount:	$150,000.00
Individual Contribution Amount:	$0.00

Broker Statement	MR. CUNNINGHAM DENIES THE ALLEGATIONS MADE IN THE ACTION AND THE AGREEMENT WAS ENTERED SOLELY TO AVOID FURTHER LITIGATION OF THE ACTION.

Disclosure 2 of 2

Reporting Source:	Firm
Employing firm when activities occurred which led to the complaint:	MML INVESTORS SERVICES, INC.
Allegations:	ALLEGES THAT THE REPRESENTATIVE MISREPRESENTED A LIFE INSURANCE POLICY IN 2008 AND ENTICED HIM TO USE MONEY FROM HIS MUTUAL FUNDS TO PAY THE PREMIUMS. FURTHER ALLEGES THAT THE REPRESENTATIVE PUT THE PROCEEDS FROM SEVERAL MUTUAL FUNDS SALES INTO A MONEY MARKET ACCOUNT WITHOUT HIS AUTHORIZATION IN 2010.
Product Type:	Insurance Mutual Fund
Alleged Damages:	$0.00
Alleged Damages Amount Explanation (if amount not exact):	NO SPECIFIC DAMAGE AMOUNT WAS ALLEGED.
Is this an oral complaint?	No
Is this a written complaint?	Yes
Is this an arbitration/CFTC reparation or civil litigation?	No

Customer Complaint Information

Date Complaint Received:	06/19/2009
Complaint Pending?	No
Status:	Settled
Status Date:	12/08/2010
Settlement Amount:	$25,000.00
Individual Contribution Amount:	$0.00

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	MML INVESTORS SERVICES, INC.
Allegations:	ALLEGES THAT THE REPRESENTATIVE MISREPRESENTED A LIFE INSURANCE POLICY IN 2008 AND ENTICED HIM TO USE MONEY FROM HIS MUTUAL FUNDS TO PAY THE PREMIUMS. FURTHER ALLEGES THAT THE REPRESENTATIVE PUT THE PROCEEDS FROM SEVERAL MUTUAL FUNDS SALES INTO A MONEY MARKET ACCOUNT WITHOUT HIS AUTHORIZATION IN 2010.
Product Type:	Insurance

	Mutual Fund
Alleged Damages:	$0.00
Alleged Damages Amount Explanation (if amount not exact):	NO SPECIFIC DAMAGE AMOUNT WAS ALLEGED.
Is this an oral complaint?	No
Is this a written complaint?	Yes
Is this an arbitration/CFTC reparation or civil litigation?	No

Customer Complaint Information

Date Complaint Received:	06/19/2009
Complaint Pending?	No
Status:	Settled
Status Date:	12/08/2010
Settlement Amount:	$25,000.00
Individual Contribution Amount:	$0.00

End of Report

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