



## IAPD Report

# DANIEL MARTIN WELLS

CRD# 2961853

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



## **IAPD Information About Representatives**

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

### **What is included in a IAPD report?**

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

### **Where did this information come from?**

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

### **How current is this information?**

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

### **Need help interpreting this report?**

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

### **What if I want to check the background of an Individual Broker or Brokerage Firm?**

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

### **Are there other resources I can use to check the background of investment professionals?**

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



## Report Summary

### DANIEL MARTIN WELLS (CRD# 2961853)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **11/17/2025**.

### CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
<b>B</b>	CONTINENTAL INVESTORS SERVICES, INC.	CRD# 29775	12/03/2007
<b>IA</b>	CONTINENTAL INVESTORS SERVICES, INC.	CRD# 29775	05/07/2014

### QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **37** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

**Note:** Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

### REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
<b>IA</b>	CONTINENTAL INVESTORS SERVICES, INC.	29775	CALDWELL, ID	03/30/2001 - 11/29/2007
<b>B</b>	CONTINENTAL INVESTORS SERVICES, INC.	29775	CALDWELL, ID	03/27/2000 - 11/29/2007

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

### DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative?

**Yes**

The following types of events are disclosed about this representative:

Type	Count
Criminal	1
Customer Dispute	1



## Qualifications

### REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **37** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

### Employment 1 of 1

Firm Name: **CONTINENTAL INVESTORS SERVICES, INC.**

Main Address: 1330 BROADWAY ST.  
LONGVIEW, WA 98632

Firm ID#: 29775

	Regulator	Registration	Status	Date
<b>B</b>	FINRA	General Securities Principal	Approved	12/03/2007
<b>B</b>	FINRA	General Securities Representative	Approved	12/03/2007
<b>B</b>	Alabama	Agent	Approved	09/22/2014
<b>B</b>	Alaska	Agent	Approved	01/20/2009
<b>IA</b>	Alaska	Investment Adviser Representative	Approved	04/15/2021
<b>B</b>	Arizona	Agent	Approved	12/03/2007
<b>IA</b>	Arizona	Investment Adviser Representative	Approved	08/14/2018
<b>B</b>	California	Agent	Approved	12/03/2007
<b>IA</b>	California	Investment Adviser Representative	Approved	07/09/2021
<b>B</b>	Colorado	Agent	Approved	08/13/2010
<b>IA</b>	Colorado	Investment Adviser Representative	Approved	04/18/2024
<b>B</b>	Florida	Agent	Approved	09/18/2014
<b>IA</b>	Florida	Investment Adviser Representative	Approved	08/27/2025



## Qualifications

	Regulator	Registration	Status	Date
B	Georgia	Agent	Approved	01/19/2011
IA	Georgia	Investment Adviser Representative	Approved	03/16/2021
B	Hawaii	Agent	Approved	09/15/2014
B	Idaho	Agent	Approved	12/03/2007
IA	Idaho	Investment Adviser Representative	Approved	05/07/2014
B	Illinois	Agent	Approved	12/03/2007
B	Indiana	Agent	Approved	11/27/2019
B	Louisiana	Agent	Approved	09/18/2018
B	Maryland	Agent	Approved	07/02/2020
B	Michigan	Agent	Approved	09/17/2014
B	Minnesota	Agent	Approved	01/09/2012
B	Mississippi	Agent	Approved	03/12/2014
B	Missouri	Agent	Approved	11/16/2012
B	Montana	Agent	Approved	12/03/2007
B	Nebraska	Agent	Approved	09/15/2014
B	Nevada	Agent	Approved	02/13/2008
IA	Nevada	Investment Adviser Representative	Approved	03/25/2021
B	New Jersey	Agent	Approved	09/18/2014
B	New Mexico	Agent	Approved	02/06/2013



## Qualifications

	Regulator	Registration	Status	Date
B	New York	Agent	Approved	10/02/2014
IA	New York	Investment Adviser Representative	Approved	05/29/2021
B	North Carolina	Agent	Approved	01/07/2022
B	North Dakota	Agent	Approved	01/10/2011
B	Ohio	Agent	Approved	09/15/2014
B	Oklahoma	Agent	Approved	03/25/2020
IA	Oklahoma	Investment Adviser Representative	Approved	11/17/2021
B	Oregon	Agent	Approved	12/03/2007
IA	Oregon	Investment Adviser Representative	Approved	08/13/2018
B	South Carolina	Agent	Approved	06/06/2011
B	Tennessee	Agent	Approved	09/16/2024
B	Texas	Agent	Approved	12/03/2007
IA	Texas	Investment Adviser Representative	Approved	10/26/2020
B	Utah	Agent	Approved	12/03/2007
IA	Utah	Investment Adviser Representative	Approved	10/14/2021
B	Virgin Islands	Agent	Approved	11/26/2025
B	Virginia	Agent	Approved	01/06/2014
B	Washington	Agent	Approved	12/03/2007
IA	Washington	Investment Adviser Representative	Approved	08/13/2018



## Qualifications

	Regulator	Registration	Status	Date
B	Wisconsin	Agent	Approved	05/11/2023
B	Wyoming	Agent	Approved	12/03/2007
IA	Wyoming	Investment Adviser Representative	Approved	07/30/2018

## Branch Office Locations

### CONTINENTAL INVESTORS SERVICES, INC.

822 Blaine St.  
CALDWELL, ID 83605



## Qualifications



### PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

**This individual has passed 1 principal/supervisory exam, 3 general industry/product exams, and 3 state securities law exams.**

#### Principal/Supervisory Exams

	Exam	Category	Date
B	General Securities Principal Examination (S24)	Series 24	03/21/2002

#### General Industry/Product Exams

	Exam	Category	Date
B	General Securities Representative Examination (S7TO)	Series 7TO	01/02/2023
B	Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B	General Securities Representative Examination (S7)	Series 7	01/13/1998

#### State Securities Law Exams

	Exam	Category	Date
IA B	Uniform Combined State Law Examination (S66)	Series 66	10/12/2021
IA	Uniform Investment Adviser Law Examination (S65)	Series 65	11/28/2000
B	Uniform Securities Agent State Law Examination (S63)	Series 63	01/24/2000



### PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.





## Registration & Employment History

### PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	03/30/2001 - 11/29/2007	CONTINENTAL INVESTORS SERVICES, INC.	CRD# 29775	CALDWELL, ID
B	03/27/2000 - 11/29/2007	CONTINENTAL INVESTORS SERVICES, INC.	CRD# 29775	CALDWELL, ID

### EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
05/2000 - Present	CONTINENTAL INVESTORS SERVICES, INC	REGISTERED REPRESENTATIVE	Y	LONGVIEW, WA, United States

### OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

DAN MARTIN INVESTMENTS LLC  
HOLDING COMPANY FOR REDLIGHT PHOTOGRAPHY DBA AND SANDSTORM PRODUCTIONS DBA  
POSITION: PRESIDENT/OWNER  
REDLIGHT PHOTOGRAPHY IS A STANDARD PHOTOGRAPHY BUSINESS (PORTRAITS)  
SANDSTORM PRODUCTIONS IS NOT CURRENTLY OPERATING  
BUSINESS STARTED 01/01/2006  
HOURS PER MONTH: 30  
DUTIES: PHOTOGRAPHER/PHOTO-EDITING/MANAGER

A/O 9-12-18 Monarch Properties, LLC, Real Estate Holding Company, Managing Partner/Co-owner. This is the holding company that provides the building that we will have our office in. 10 hours a week. Compensation will be rent received.

Investment Advisor with CIS, 40 hrs. per week which runs concurrently with my current operation at CIS. Paid on a commissions only basis.



## Disclosure Summary

### Disclosure Information

#### What you should know about reported disclosure events:

##### (1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

##### (2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

##### (3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
  - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
  - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
  - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
  - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
  - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
  - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

##### (4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



## DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Criminal	1
Customer Dispute	1

### Criminal

This disclosure event involves a criminal charge against the Investment Adviser Representative that has resulted in a dismissal, plea, acquittal or conviction. The criminal matter may relate to any felony or certain misdemeanor offenses (e.g., bribery, perjury, forgery, counterfeiting, extortion, fraud, wrongful taking of property).

#### Disclosure 1 of 1

<b>Reporting Source:</b>	Individual
<b>Court Details:</b>	STATE OF IDAHO, CITY OF NAMPA, CANYON COUNTY, CASE NO. 197543
<b>Charge Date:</b>	01/12/1995
<b>Charge Details:</b>	AGGRAVATED ASSAULT - 2 COUNTS - FELONY - DROPPED 2ND DEGREE KIDNAPPING - 2 COUNTS - FELONY - DROPPED
<b>Felony?</b>	Yes
<b>Current Status:</b>	Final
<b>Status Date:</b>	12/22/1999
<b>Disposition Details:</b>	AGGRAVATED ASSAULT (A) 1 CHARGE DISMISSED, 1 CHARGE CONVICTED AND REDUCED TO MISDEMEANOR. (B) 8/11/1997 (C) 180 DAYS RETAINED JURISDICTION (D) 180 DAYS R.J. (E) 01/05/1997 2ND DEGREE KIDNAPPING (SAME AS ABOVE)
<b>Broker Statement</b>	01/12/1995 CAUGHT WIFE CHEATING AND FORCED THE GUY TO LEAVE MY HOME WITH MY GUN. PLEAD NO CONTEST UNDER ADVISEMENT OF AN ATTORNEY AND HAVE COMPLETED 180 DAY PROGRAM AND PROBATIONARY PERIOD. BOTH FELONIES WERE REDUCED TO MISDEMEANORS ON 12-22-1999.



## Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

### Disclosure 1 of 1

**Reporting Source:** Individual

**Employing firm when activities occurred which led to the complaint:** CONTINENTAL INVESTORS SERVICES. INC.

**Allegations:** ON 9/25/2008 & 9/29/2008 [CUSTOMER] REQUESTED THAT SIX MUTUAL FUNDS BE TRANSFERRED FROM THE FUNDS TO HER ACCOUNT WITH CIS TO INVEST PROCEEDS INTO UTILITY BONDS TO GIVE CUSTOMER A REGULAR SOURCE OF INCOME. A/O 9/25/2008 THE TOTAL VALUE IN THE SIX MUTUAL FUNDS WAS \$215,655.90. A/O 10/17/2008 THE TOTAL VALUE OF THE SHARES AFTER LIQUIDATION WAS \$164,194.64. OUR FIRM HAS REVIEWED THIS TRANSACTION AND REVIEWED ALL THE PAPERWORK CONCERNING THIS MATTER AND HAS DETERMINED THAT ALL ACTIONS WERE COMPLETED CORRECTLY, IN A TIMELY FASHION AND WITH FULL DISCLOSURE. THE REDUCTION IN THE VALUE OF THIS ACCOUNT WAS DUE TO THE MARKET CONDITIONS AND IN NO WAY WAS CAUSED BY ANY OVERT ACTIONS BY OUR REGISTERED REPRESENTATIVE OR THE FIRM.

**Product Type:** Mutual Fund(s)

**Alleged Damages:** \$5,000.00

## Customer Complaint Information

**Date Complaint Received:** 10/27/2008

**Complaint Pending?** No

**Status:** Closed/No Action

**Status Date:** 12/16/2008

**Settlement Amount:**

**Individual Contribution Amount:**



## End of Report

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