



IAPD Report

BRYAN CARSON WOODELL SR

CRD# 2974597

<u>Section Title</u>	<u>Page(s)</u>
Report Summary	1
Qualifications	2 - 4
Registration and Employment History	5 - 6
Disclosure Information	7

i When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.
Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

BRYAN CARSON WOODELL SR (CRD# 2974597)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **01/23/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	MAPLEWOOD INVESTMENTS, INC.	CRD# 103723	01/23/2026
IA	BLUEPRINT FINANCIAL ADVISORS	CRD# 315570	01/23/2026

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **2** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	HORNOR, TOWNSEND & KENT, LLC	4031	CHARLOTTE, NC	11/02/2018 - 01/23/2026
B	HORNOR, TOWNSEND & KENT, LLC	4031	CHARLOTTE, NC	09/12/2018 - 01/23/2026
IA	PRUDENTIAL FINANCIAL PLANNING SERVICES	5685	CHARLOTTE, NC	05/30/2018 - 08/23/2018

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	2



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with 2 jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **MAPLEWOOD INVESTMENTS, INC.**
Main Address: 12222 MERIT DR
SUITE 1390
DALLAS, TX 75251
Firm ID#: 103723

Regulator	Registration	Status	Date
B FINRA	General Securities Principal	Approved	01/23/2026
B FINRA	General Securities Representative	Approved	01/23/2026
B North Carolina	Agent	Approved	01/23/2026
B South Carolina	Agent	Approved	01/23/2026

Branch Office Locations

1100 Kenilworth Ave, Suite 210
Charlotte, NC 28204

Employment 2 of 2

Firm Name: **BLUEPRINT FINANCIAL ADVISORS**
Main Address: 1100 KENILWORTH AVE
SUITE 210
CHARLOTTE, NC 28204
Firm ID#: 315570

Regulator	Registration	Status	Date
IA North Carolina	Investment Adviser Representative	Approved	01/23/2026

Branch Office Locations

BLUEPRINT FINANCIAL ADVISORS



Qualifications

1100 KENILWORTH AVE, SUITE 210
CHARLOTTE, NC 28204




Qualifications

PASSED INDUSTRY EXAMS



This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 1 principal/supervisory exam, 3 general industry/product exams, and 2 state securities law exams.




Principal/Supervisory Exams

	Exam	Category	Date
	General Securities Principal Examination (S24)	Series 24	10/02/2020

General Industry/Product Exams

	Exam	Category	Date
	Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
	General Securities Representative Examination (S7)	Series 7	04/17/2018
	Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	01/27/1998

State Securities Law Exams

	Exam	Category	Date
	 Uniform Combined State Law Examination (S66)	Series 66	05/11/2018
	Uniform Securities Agent State Law Examination (S63)	Series 63	01/28/1998

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	11/02/2018 - 01/23/2026	HORNOR, TOWNSEND & KENT, LLC	CRD# 4031	CHARLOTTE, NC
B	09/12/2018 - 01/23/2026	HORNOR, TOWNSEND & KENT, LLC	CRD# 4031	CHARLOTTE, NC
IA	05/30/2018 - 08/23/2018	PRUDENTIAL FINANCIAL PLANNING SERVICES	CRD# 5685	CHARLOTTE, NC
B	04/17/2018 - 08/23/2018	PRUCO SECURITIES, LLC.	CRD# 5685	CHARLOTTE, NC
IA	12/19/2007 - 05/18/2011	METLIFE SECURITIES INC.	CRD# 14251	MINT HILL, NC
B	09/11/2002 - 05/18/2011	METLIFE SECURITIES INC.	CRD# 14251	MINT HILL, NC
B	09/11/2002 - 07/09/2007	METROPOLITAN LIFE INSURANCE COMPANY	CRD# 4095	CHARLOTTE, NC
B	01/29/1998 - 09/07/2002	NYLIFE SECURITIES INC.	CRD# 5167	NEW YORK, NY

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
01/2026 - Present	Blueprint Financial Advisors LLC	Investment Adviser Representative	Y	Charlotte, NC, United States
01/2026 - Present	Maplewood Investments Inc	Registered Representative	Y	Dallas, TX, United States
09/2018 - 01/2026	HORNOR TOWNSEND & KENT INC	REGISTERED REPRESENTATIVE	Y	HORSHAM, PA, United States
09/2018 - 01/2026	PENN MUTUAL LIFE INSURANCE CO	AGENT	Y	HORSHAM, PA, United States
02/2018 - 08/2018	Pruco Securities, LLC	Registered Representative	Y	CHARLOTTE,, NC, United States
04/2017 - 02/2018	Rodan+Fields	sales	N	CHARLOTTE, NC, United States
10/2016 - 04/2017	United Painting	Business Development	N	Indian Trail, NC, United States
08/2012 - 02/2017	Fellowship of Christian Atheletes	Area Director	N	Charlotte, NC, United States



Registration & Employment History



OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1. DBA NAME: EMERALD FINANCIAL GROUP| INVESTMENT RELATED: YES|ADDRESS: CHARLOTTE, NC | POSITION HELD:PROPRIETOR/OWNER| START DATE: 6/1/2016 |NATURE OF ACTIVITY: MULTI-LINE INSURANCE, ADVISORY SERVICES AND BROKERAGE | APPROX HRS PER MTH: OVER 140 HRS | APPROX TRADING HRS PER MTH: OVER 140 HRS | DESCRIPTION OF DUTIES: SALES & SERVICE OF BROKERAGE; AND LIFE, ACCIDENT, HEALTH/DI/LTC AND ANNUITY ACCOUNTS AND ADVISORS PROVIDE CLIENTS FINANCIAL PLANNING SERVICES AND OTHER INVESTMENT ADVISORY SERVICES AND INVESTMENT PRODUCTS THROUGH BLUEPRINT FINANCIAL ADVISORS AND MAPLEWOOD INVESTMENTS, INC., BROKER/DEALER, MEMBER FINRA/SIPC.



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	2

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 2

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: METLIFE

Allegations: CUSTOMER ALLEGES THAT WHEN HE PURCHASED A VARIABLE LIFE INSURANCE POLICY IN JUNE 2007 THE REPRESENTATIVE ADVISED ANY MONEY RECEIVED IN EXCESS OF THE PREMIUM, WOULD BE PLACED IN A SEPARATE ACCOUNT FOR THE CUSTOMER'S FUTURE USE. WHEN THE CUSTOMER RECEIVED HIS YEARLY STATEMENT HE FOUND OUT ALL MONEY HAD BEEN APPLIED AS A PREMIUM PAYMENT AND THERE IS NO CASH ACCOUNT FOR HIS USE. NO SPECIFIC COMPENSATORY DAMAGES WERE ALLEGED.

Product Type: Insurance

Alleged Damages: \$0.00

Customer Complaint Information

Date Complaint Received: 07/24/2008

Complaint Pending? No

Status: Denied

Status Date: 08/01/2008

Settlement Amount:

Individual Contribution Amount:

**Disclosure 2 of 2**

Reporting Source: Firm

Employing firm when activities occurred which led to the complaint: NYLIFE SECURITIES INC.

Allegations: WITH REGARD TO A VARIABLE UNIVERSAL LIFE POLICY PURCHASED IN FEBRUARY 1999, THE PLAINTIFF ALLEGES THAT MR. WOODELL ADVISED HER TO MISREPRESENT HER ANNUAL INCOME IN ORDER TO SECURE THE POLICY AND CLAIMS THAT THE POLICY WAS UNSUITABLE DUE TO HER INCOME. THE CUSTOMER FURTHER ALLEGES THAT MR. WOODELL DID NOT ADVISE HER ABOUT INVESTMENT ALTERNATIVES.

Product Type: Insurance

Other Product Type(s): VARIABLE LIFE

Alleged Damages: \$7,900.00

Customer Complaint Information

Date Complaint Received: 12/03/2002

Complaint Pending? No

Status: Litigation

Status Date: 12/03/2002

Settlement Amount:

Individual Contribution Amount:

Civil Litigation Information

Court Details: MECKLENBURG COUNTY, GENERAL COURT OF JUSTICE, DISTRICT COURT DIVISION, STATE OF NORTH CAROLINA, DOCKET #02CVD21604

Date Notice/Process Served: 12/03/2002

Litigation Pending? No

Disposition: Settled

Disposition Date: 04/29/2003

Monetary Compensation Amount: \$5,207.91

Individual Contribution Amount: \$0.00

Firm Statement THE PLAINTIFF AGREED TO SETTLE THE MATTER FOR \$5,207.91. THE REGISTERED REPRESENTATIVE'S ERRORS & OMISSIONS CARRIER PAID THE FULL SETTLEMENT AMOUNT.

.....

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: NYLIFE SECURITIES

Allegations: CLIENT ALLEGES MR. WOODALL ADVISED HER TO MISREPRESENT HER ANNUAL INCOME TO SECURE THE POLICY, THAT THE POLICY WAS UNSUITABLE, AND THAT SHE WAS NOT ADVISED ON ALTERNATE



INVESTMENTS AVAILABLE TO HER.

Product Type: Insurance

Alleged Damages: \$7,900.00

Customer Complaint Information

Date Complaint Received: 12/03/2002

Complaint Pending? No

Status: Settled

Status Date: 12/03/2002

Settlement Amount: \$5,207.91

Individual Contribution Amount: \$0.00

Civil Litigation Information

Disposition: Settled

Disposition Date: 04/29/2003

Broker Statement CLIENT ACCEPTED SETTLEMENT OF \$5207.91 WHICH WAS PAID BY THE AGENT'S E&O INSURANCE



End of Report

This page is intentionally left blank.