



IAPD Report

ERIC WILFORD BOND

CRD# 2979826

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Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

ERIC WILFORD BOND (CRD# 2979826)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **05/13/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	OSAIC WEALTH, INC.	CRD# 23131	03/25/2022
IA	NWF ADVISORY SERVICES INC	CRD# 110410	03/25/2022

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **22** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	LPL FINANCIAL LLC	6413	LONG BEACH, CA	11/29/2017 - 05/02/2022
IA	LPL FINANCIAL LLC	6413	LONG BEACH, CA	11/29/2017 - 05/02/2022
IA	NATIONAL PLANNING CORPORATION ("NPC OF AMERICA" IN FL & NY)	29604	LONG BEACH, CA	07/18/2007 - 11/29/2017

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	2



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **22** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **OSAIC WEALTH, INC.**
Main Address: 18700 N. HAYDEN ROAD
SUITE 255
SCOTTSDALE, AZ 85255
Firm ID#: 23131

Regulator	Registration	Status	Date
B FINRA	General Securities Principal	Approved	03/25/2022
B FINRA	General Securities Representative	Approved	03/25/2022
B FINRA	Invest. Co and Variable Contracts	Approved	03/25/2022
B Arizona	Agent	Approved	03/30/2022
B California	Agent	Approved	03/25/2022
B Colorado	Agent	Approved	03/31/2023
B Florida	Agent	Approved	01/13/2023
B Hawaii	Agent	Approved	03/30/2022
B Idaho	Agent	Approved	03/25/2022
B Kentucky	Agent	Approved	03/30/2022
B Maryland	Agent	Approved	05/09/2023
B Minnesota	Agent	Approved	05/03/2022
B Nebraska	Agent	Approved	03/25/2020



Qualifications

Regulator	Registration	Status	Date
B Nevada	Agent	Approved	03/29/2022
B New Jersey	Agent	Approved	03/25/2022
B North Carolina	Agent	Approved	09/07/2022
B North Dakota	Agent	Approved	03/20/2023
B Ohio	Agent	Approved	03/30/2022
B Oregon	Agent	Approved	04/12/2022
B Pennsylvania	Agent	Approved	10/22/2024
B South Carolina	Agent	Approved	07/23/2024
B Tennessee	Agent	Approved	03/28/2022
B Texas	Agent	Approved	09/18/2025
B Utah	Agent	Approved	05/03/2022
B Washington	Agent	Approved	04/01/2022

Branch Office Locations

OSAIC WEALTH, INC.
 5001 AIRPORT PLAZA DR #220
 LONG BEACH, CA 90815

Employment 2 of 2

Firm Name: **NWF ADVISORY SERVICES INC**
 Main Address: 11835 W OLYMPIC BLVD
 STE 1155 E.
 LOS ANGELES, CA 90064
 Firm ID#: 110410



Qualifications

Regulator	Registration	Status	Date
IA California	Investment Adviser Representative	Approved	03/25/2022

Branch Office Locations

NWF ADVISORY SERVICES INC
5001 Airport Plaza Drive Suite 220
Long Beach, CA 90815




Qualifications

PASSED INDUSTRY EXAMS




This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 1 principal/supervisory exam, 3 general industry/product exams, and 3 state securities law exams.





Principal/Supervisory Exams

	Exam	Category	Date
	General Securities Principal Examination (S24)	Series 24	01/03/2013

General Industry/Product Exams

	Exam	Category	Date
	Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
	General Securities Representative Examination (S7)	Series 7	03/30/2004
	Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	12/29/1997

State Securities Law Exams

	Exam	Category	Date
	 Uniform Combined State Law Examination (S66)	Series 66	07/03/2007
	Uniform Investment Adviser Law Examination (S65)	Series 65	12/03/1999
	Uniform Securities Agent State Law Examination (S63)	Series 63	01/28/1998

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	11/29/2017 - 05/02/2022	LPL FINANCIAL LLC	CRD# 6413	LONG BEACH, CA
IA	11/29/2017 - 05/02/2022	LPL FINANCIAL LLC	CRD# 6413	LONG BEACH, CA
IA	07/18/2007 - 11/29/2017	NATIONAL PLANNING CORPORATION ("NPC OF AMERICA" IN FL & NY)	CRD# 29604	LONG BEACH, CA
B	06/01/1999 - 11/29/2017	NATIONAL PLANNING CORPORATION	CRD# 29604	LONG BEACH, CA
B	01/16/1998 - 06/02/1999	SUNAMERICA SECURITIES, INC.	CRD# 20068	PHOENIX, AZ
B	01/01/1998 - 03/19/1998	GREAT WESTERN FINANCIAL SECURITIES CORPORATION	CRD# 14229	NORTHRIDGE, CA

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
03/2022 - Present	NWF ADVISORY SERVICES INC	Investment Adviser Representative	Y	Long Beach, CA, United States
03/2022 - Present	ROYAL ALLIANCE ASSOCIATES, INC.	REGISTERED REPRESENTATIVE	Y	Long Beach, CA, United States
11/2017 - 03/2022	LPL FINANCIAL LLC	Mass Transfer	Y	LONG BEACH, CA, United States
06/1999 - 11/2017	NATIONAL PLANNING CORPORATION	NOT PROVIDED	Y	LONG BEACH, CA, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1. 05/22/2019 - Bond Wealth Management - Investment related - At reported business location(s) - Non-Variable Insurance - start date:05/22/2019 - 2 hrs/mo - 2 hrs during trading.

2. ERIC BOND

POSITION: Owner NATURE: Sole Proprietor INVESTMENT RELATED: No NUMBER OF HOURS: 2 SECURITIES TRADING HOURS: 2 START DATE: 08/01/2015



Registration & Employment History



OTHER BUSINESS ACTIVITIES

ADDRESS: 5460 E EL Jardin, Long Beach CA 90815, United States
DESCRIPTION: Collect rent and call contractors

3. ERIC BOND LANDLORD

POSITION: Owner NATURE: Sole Proprietor INVESTMENT RELATED: No NUMBER OF HOURS: 2 SECURITIES TRADING HOURS: 2 START DATE: 08/01/2015
ADDRESS: 5460 E EL Jardin, Long Beach CA 90815, United States
DESCRIPTION: Collect rent and call contractors

4. BOND WEALTH MANAGEMENT

POSITION: President NATURE: Sell Insurance INVESTMENT RELATED: Yes NUMBER OF HOURS: 5 SECURITIES TRADING HOURS: 5 START DATE: 11/11/1997
ADDRESS: 5100 Airport Plaza Dr., Suite 220, Long Beach CA 90815, United States
DESCRIPTION: I use GBS Insurance and Westland Financial for some of my life insurance sales.

5. NWF ADVISORY SERVICES INC.

POSITION: Investment Advisory Representative NATURE: Corporation INVESTMENT RELATED: Yes NUMBER OF HOURS: 160 SECURITIES TRADING HOURS: 160 START DATE: 03/25/2022
ADDRESS: 5001 Airport Plaza Dr, Suite 220, Long Beach CA 90815, United States
DESCRIPTION: I advise clients on their investment portfolios and charge a fee.



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	2

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 2

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	OSAIC WEALTH, INC.
Allegations:	Client alleges that registered index-linked annuity was misrepresented at the time of purchase.
Product Type:	Other: Registered Index-Linked Annuity
Alleged Damages:	\$5,000.00
Alleged Damages Amount Explanation (if amount not exact):	The firm has made a good faith determination that the damages from the alleged conduct would exceed \$5,000.00.
Is this an oral complaint?	No
Is this a written complaint?	Yes
Is this an arbitration/CFTC reparation or civil litigation?	No

Customer Complaint Information

Date Complaint Received:	01/22/2024
Complaint Pending?	No
Status:	Denied
Status Date:	03/13/2024
Settlement Amount:	

**Individual Contribution Amount:****Disclosure 2 of 2**

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: NATIONAL PLANNING CORPORATION

Allegations: CLAIMANT ALLEGES REPRESENTATIVE RECOMMENDED THE SALE OF VARIOUS MUTUAL FUNDS RESULTING IN CDSC FEES AND REQUESTS TO TERMINATE A FIXED ANNUITY WITH NO SURRENDER CHARGE.

Product Type: Annuity-Fixed
Mutual Fund

Alleged Damages: \$4,720.00

Is this an oral complaint? No

Is this a written complaint? Yes

Is this an arbitration/CFTC reparation or civil litigation? No

Customer Complaint Information

Date Complaint Received: 02/16/2010

Complaint Pending? No

Status: Evolved into Arbitration/CFTC reparation (the individual is a named party)

Status Date: 01/20/2011

Settlement Amount:

Individual Contribution Amount:**Arbitration Information**

Arbitration/CFTC reparation claim filed with (FINRA, AAA, CFTC, etc.): FINRA

Docket/Case #: [10-02986](#)

Date Notice/Process Served: 07/21/2010

Arbitration Pending? No

Disposition: Award to Customer

Disposition Date: 01/20/2011

Monetary Compensation Amount: \$4,720.00

Individual Contribution Amount: \$5,000.00

Broker Statement THE REPRESENTATIVE'S CONTRIBUTION OF \$5,000 TO THIS AWARD WAS AN INSURANCE DEDUCTIBLE, WHICH INCLUDED AWARD PAYMENT AND LEGAL FEES.



End of Report

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