User Guidance

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IAPD Report

MANSOOR AHMED

CRD# 2990805

Section Title | **Page(s)**

When communicating online or investing with any professional, make sure you know who you're dealing with. Imposters might link to sites like BrokerCheck from phishing or similar scam websites, or through social media, trying to steal your personal information or your money.

Please contact FINRA with any concerns.

IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page http://www.nasaa.org/IAPD/IARReports.cfm

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association http://www.nasaa.org

Report Summary

MANSOOR AHMED (CRD# 2990805)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **04/28/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	OSAIC WEALTH, INC.	CRD# 23131	01/19/2024
IA	OSAIC WEALTH, INC.	CRD# 23131	01/19/2024

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **12** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.
Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	WOODBURY FINANCIAL SERVICES, INC.	421	DOWNERS GROVE, IL	05/16/2008 - 01/19/2024
B	WOODBURY FINANCIAL SERVICES, INC.	421	DOWNERS GROVE, IL	05/15/2008 - 01/19/2024
IA	METLIFE SECURITIES INC.	14251	DOWNERS GROVE, IL	04/11/2001 - 05/27/2008

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	2

Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works.
This individual is currently registered with **12** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **OSAIC WEALTH, INC.**

Main Address: 18700 N. HAYDEN ROAD
SUITE 255
SCOTTSDALE, AZ 85255

Firm ID#: 23131

	Regulator	Registration	Status	Date
B	FINRA	General Securities Principal	Approved	01/19/2024
B	FINRA	General Securities Representative	Approved	01/19/2024
B	Arizona	Agent	Approved	01/19/2024
B	California	Agent	Approved	01/19/2024
B	Georgia	Agent	Approved	01/19/2024
B	Illinois	Agent	Approved	01/19/2024
IA	Illinois	Investment Adviser Representative	Approved	01/19/2024
B	Indiana	Agent	Approved	01/19/2024
B	Kentucky	Agent	Approved	01/19/2024
B	Michigan	Agent	Approved	01/19/2024
B	Missouri	Agent	Approved	01/19/2024
B	North Carolina	Agent	Approved	01/19/2024
B	Pennsylvania	Agent	Approved	01/19/2024

Qualifications

	Regulator	Registration	Status	Date
B	Texas	Agent	Approved	01/19/2024
IA	Texas	Investment Adviser Representative	Restricted Approval	01/19/2024
B	Virginia	Agent	Approved	01/19/2024

Branch Office Locations

OSAIC WEALTH, INC.
3250 LACEY RD
SUITE 160
DOWNERS GROVE, IL 60515

Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 1 principal/supervisory exam, 2 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

	Exam	Category	Date
B	General Securities Principal Examination (S24)	Series 24	07/21/2005

General Industry/Product Exams

	Exam	Category	Date
B	Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B	General Securities Representative Examination (S7)	Series 7	01/13/1998

State Securities Law Exams

	Exam	Category	Date
IA	Uniform Investment Adviser Law Examination (S65)	Series 65	06/17/1998
B	Uniform Securities Agent State Law Examination (S63)	Series 63	06/16/1998

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.

Only professional designations listed in Question 8 of the Form U4 will appear in this section if the appropriate box is checked and verified by the issuing organization at the time of the filing. Learn more about eligible designations at IARD and NASAA.

Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	05/16/2008 - 01/19/2024	WOODBURY FINANCIAL SERVICES, INC.	CRD# 421	DOWNERS GROVE, IL
B	05/15/2008 - 01/19/2024	WOODBURY FINANCIAL SERVICES, INC.	CRD# 421	DOWNERS GROVE, IL
IA	04/11/2001 - 05/27/2008	METLIFE SECURITIES INC.	CRD# 14251	DOWNERS GROVE, IL
B	05/10/1999 - 05/27/2008	METLIFE SECURITIES INC.	CRD# 14251	DOWNERS GROVE, IL
IA	05/09/2008 - 05/09/2008	WOODBURY FINANCIAL SERVICES, INC.	CRD# 421	DOWNERS GROVE, IL
B	05/10/1999 - 07/09/2007	METROPOLITAN LIFE INSURANCE COMPANY	CRD# 4095	DOWNERS GROVE, IL
B	05/17/1998 - 02/04/1999	PAINEWEBBER INCORPORATED	CRD# 8174	WEEHAWKEN, NJ
B	01/29/1998 - 02/02/1998	PAINEWEBBER INCORPORATED	CRD# 8174	WEEHAWKEN, NJ

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
01/2024 - Present	OSAIC WEALTH, INC.	REGISTERED REPRESENTATIVE	Y	DOWNERS GROVE, IL, United States
05/2008 - 01/2024	WOODBURY FINANCIAL SERVICES	REGISTERED REP	Y	OAKBROOK, IL, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1) PHYSICIANS PLANNING GROUP; NON INV REL; 3250 LACEY RD, SUITE 160, DOWNERS GROVE, IL 60515; SELL HEALTH INS, DISABILITY, TERM LIFE; AGENT; SINCE 05/08/2008; 2HRS/MTH- 0 DURING TRADING HRS; SELLING NON-VAR INS PRODUCTS.

2) DENTAL REVENUE GROUP INC.:POSITION: Owner NATURE: Corporation INVESTMENT RELATED: No NUMBER OF HOURS: 5 SECURITIES TRADING HOURS: 0 START DATE: 09/13/2016; ADDRESS: Downers Grove IL 60515; DESCRIPTION: Dental Practice Management Including Dental Billing.

Registration & Employment History

OTHER BUSINESS ACTIVITIES

3) PASSIONATE CARE MANAGEMENT LLC
POSITION: CEO NATURE: LLCPartnership INVESTMENT RELATED: No NUMBER OF HOURS: 4 SECURITIES TRADING HOURS: 0 START DATE: 04/03/2017
ADDRESS: Downers Grove IL 60515
DESCRIPTION: I own45 Percent of the company. This is to provide non-face to face chronic care management services to the patients.Apart from owning the entity and financing the project and using my relationships with physicians, I dont do much.The program is run by an operations director, whos name is Mumtaz and the clinical side is handled by Kathy who is a CMA and supervisor. Apart from that there are CMA's who call on the patients.
The program is management by David Kray who is employed by the corporation as Director CCM program.

4) REMOTE PATIENT DEVICES LLC
POSITION: Partner NATURE: Partnership INVESTMENT RELATED: No NUMBER OF HOURS: 10 SECURITIES TRADING HOURS: 3 START DATE: 11/05/2019
ADDRESS: Downers Grove IL 60515
DESCRIPTION: Medical Device Company providing medical devices to patients on behalf of the medical doctors and charging fee to the doctor on a monthly basis.

5) PHYSICIANS REVENUE GROUP INC.
POSITION: CEO NATURE: S corporation.
Its a medical Billing Company. Provide billing and coding services to medical practices INVESTMENT RELATED: No NUMBER OF HOURS: 20 SECURITIES TRADING HOURS: 30 START DATE: 05/17/2013
ADDRESS: Downers Grove IL 60515
DESCRIPTION: I own the company. Have vice president, supervisors, medical biller's and medical coders that run the operations.

6) PHYSICIANS PLANNING GROUP LLC
POSITION: owner NATURE: LLC,
Accounting and Book Keeping services. Have a CPA Payal Patel and book keepers who does provide accounting and book keeping services to medical practices. INVESTMENT RELATED: No NUMBER OF HOURS: 1 SECURITIES TRADING HOURS: 0 START DATE: 01/25/2012
ADDRESS: Downers Grove IL 60515
DESCRIPTION: I own the company but don't provide any accounting and payroll services myself.
Have a CPA and book keepers who directly deal with the clients.

7) PHYSICIANS PLANNING GROUP
POSITION: Agent NATURE: DBA-SELL HEALTH INS, DISABILITY, TERM LIFE; AGENT; SINCE 05/08/2008; 2HRS/MTH- 0 DURING TRADING HRS; SELLING NON-VAR INS PRODUCTS. INVESTMENT RELATED: No NUMBER OF HOURS: 5 SECURITIES TRADING HOURS: 2 START DATE: 05/08/2008
ADDRESS: 3250 Lacey Rd., Suite 160, Downers Grove IL 60515
DESCRIPTION: This is my DBASell non securities products, Health Insurance, Disability Insurance, Traditional Life Insurance.

8) TEKBOOX
POSITION: Partner NATURE: PartnershipIts a software development business INVESTMENT RELATED: No NUMBER OF HOURS: 2 SECURITIES TRADING HOURS: 0 START DATE: 10/10/2022
ADDRESS: 3250 Lacey Rd., Suite 160, Downers Grove IL 60515
DESCRIPTION: Its a partnership with my brother who is working on developing health care software for Remote patient devices, my other business that is already disclosed under my OBA.

9) CYRX 360
POSITION: Partner with other 2 partners who are expewrt in this field NATURE: Corporate LLC/Partnership

Registration & Employment History

OTHER BUSINESS ACTIVITIES

Business will primarily provide Computer & System network & cyber security services to medical practices. INVESTMENT RELATED: No NUMBER OF HOURS: 10 SECURITIES TRADING HOURS: 2 START DATE: 12/13/2025
ADDRESS: 3250 Lacey Rd., Suite 200, Downers Grove IL 60515, United States
DESCRIPTION: I will provide the logistic and human resources through my Physicians Revenue Group team
The other 2 partners are well versed in providing cyber security services to health care providers
I own 50% of the company.

Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least $5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending, on appeal,* or *final*.
    - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
    - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
    - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated, settled* or *otherwise resolved*.
    - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
    - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
    - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.

DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	2

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 2

Reporting Source:	Firm
Employing firm when activities occurred which led to the complaint:	METLIFE
Allegations:	CUSTOMERS ALLEGE WHEN THEY PURCHASED VARIABLE ANNUITIES IN DECEMBER 2007 AND JANUARY 2008 THE REPRESENTATIVE MISREPRESENTED THE PROVISIONS OF THE CONTRACTS. NO SPECIFIC COMPENSATORY DAMAGES WERE ALLEGED.
Product Type:	Annuity(ies) - Variable
Alleged Damages:	$0.00

Customer Complaint Information

Date Complaint Received:	11/24/2008
Complaint Pending?	No
Status:	Denied
Status Date:	12/10/2008
Settlement Amount:	
Individual Contribution Amount:	

Reporting Source:	Individual

Employing firm when activities occurred which led to the complaint: METLIFE

Allegations: CUSTOMER ALLEGES WHEN THEY PURCHASED VARIABLE ANNUITIES IN DECEMBER 2007 AND JANUARY 2008 THE REPRESENTATIVE MISREPRESENTED THE PROVISIONS OF THE CONTRACTS. NO SPECIFIC COMPENSATORY DAMAGES WERE ALLEGED.

Product Type: Annuity(ies) - Variable

Alleged Damages: $0.00

Customer Complaint Information

Date Complaint Received: 12/03/2008

Complaint Pending? No

Status: Denied

Status Date: 12/10/2008

Settlement Amount:

Individual Contribution Amount:

Disclosure 2 of 2

Reporting Source: Firm

Employing firm when activities occurred which led to the complaint: METLIFE

Allegations: CUSTOMER ALLEGES THAT WHEN HE PURCHASED A VARIABLE LIFE INSURANCE POLICY IN OCTOBER 2003 THE REPRESENTATIVE ADVISED HE WOULD BE ABLE TO BORROW AGAINST THE FUNDS HE CONTRIBUTED; THEREFORE, HE INCREASED HIS PAYMENTS. WHEN HE SUBSEQUENTLY APPLIED FOR A LOAN HE LEARNED ALL OF HIS CONTRIBUTIONS WERE NOT AVAILABLE FOR WITHDRAWAL. NO SPECIFIC COMPENSATORY DAMAGES WERE ALLEGED.

Product Type: Insurance

Alleged Damages: $0.00

Customer Complaint Information

Date Complaint Received: 08/20/2008

Complaint Pending? No

Status: Withdrawn

Status Date: 09/08/2008

Settlement Amount:

Individual Contribution Amount:

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint:	METLIFE
Allegations:	CUSTOMER ALLEGES THAT WHEN HE PURCHASED A VARIABLE LIFE INSURANCE POLICY IN OCTOBER 2003 THE REPRESENTATIVE ADVISED HE WOULD BE ABLE TO BORROW AGAINST THE FUNDS CONTRIBUTED; THEREFORE HE INCREASED HIS PAYMENTS. WHEN HE SUBSEQUENTLY APPLIED FOR A LOAN HE LEARNED ALL OF HIS CONTRIBUTIONS WERE NOT AVAILABLE FOR WITHDRAWL. NO SPECIFIC COMPENSATORY DAMAGES WERE ALLEGED.
Product Type:	Index Option(s)
Other Product Type(s):	VARIABLE LIFE INSURANCE
Alleged Damages:	$0.00

Customer Complaint Information

Date Complaint Received:	09/05/2008
Complaint Pending?	No
Status:	Withdrawn
Status Date:	09/08/2008
Settlement Amount:	
Individual Contribution Amount:	

End of Report

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