



IAPD Report

ANTHONY LAMBERT CHRISTENSEN

CRD# 3015594

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Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

ANTHONY LAMBERT CHRISTENSEN (CRD# 3015594)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **03/31/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	PRIVATE CLIENT SERVICES, LLC	CRD# 120222	10/19/2018
IA	ACCESS WEALTH MANAGEMENT, LLC	CRD# 339285	03/10/2026

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **18** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	ACCESS WEALTH MANAGEMENT, INC.	281616	LOUISVILLE, KY	12/10/2015 - 03/31/2026
B	PURSHE KAPLAN STERLING INVESTMENTS	35747	Louisville, KY	11/12/2015 - 10/19/2018
IA	ACCESS WEALTH MANAGEMENT, INC.	281616	LOUISVILLE, KY	11/17/2015 - 12/10/2015

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Criminal	1
Customer Dispute	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **18** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **ACCESS WEALTH MANAGEMENT, LLC**
Main Address: 4360 BROWNSBORO ROAD
STE .101
LOUISVILLE, KY 40207
Firm ID#: 339285

Regulator	Registration	Status	Date
IA Kentucky	Investment Adviser Representative	Approved	03/10/2026

Branch Office Locations

ACCESS WEALTH MANAGEMENT, LLC
4360 BROWNSBORO ROAD
STE .101
LOUISVILLE, KY 40207

Employment 2 of 2

Firm Name: **PRIVATE CLIENT SERVICES, LLC**
Main Address: 2225 LEXINGTON ROAD
LOUISVILLE, KY 40206
Firm ID#: 120222

Regulator	Registration	Status	Date
B FINRA	General Securities Principal	Approved	10/19/2018
B FINRA	General Securities Representative	Approved	10/19/2018
B Arizona	Agent	Approved	10/19/2018
B California	Agent	Approved	10/19/2018
B Florida	Agent	Approved	10/19/2018
B Georgia	Agent	Approved	05/10/2024



Qualifications

Regulator	Registration	Status	Date
B Hawaii	Agent	Approved	03/09/2022
B Illinois	Agent	Approved	10/19/2018
B Indiana	Agent	Approved	10/19/2018
B Iowa	Agent	Approved	10/19/2018
B Kentucky	Agent	Approved	10/19/2018
B Maryland	Agent	Approved	10/19/2021
B Minnesota	Agent	Approved	05/04/2021
B Missouri	Agent	Approved	05/03/2021
B New York	Agent	Approved	10/19/2018
B North Carolina	Agent	Approved	09/09/2019
B Ohio	Agent	Approved	10/19/2018
B Oregon	Agent	Approved	10/19/2018
B Pennsylvania	Agent	Approved	05/10/2019
B Tennessee	Agent	Approved	10/19/2018

Branch Office Locations

PRIVATE CLIENT SERVICES, LLC
4360 BROWNSBORO ROAD
SUITE 101
LOUISVILLE, KY 40207




Qualifications

PASSED INDUSTRY EXAMS




This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 1 principal/supervisory exam, 3 general industry/product exams, and 1 state securities law exam.



Principal/Supervisory Exams

Exam	Category	Date
 General Securities Principal Examination (S24)	Series 24	03/15/2005

General Industry/Product Exams

Exam	Category	Date
 Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
 Futures Managed Funds Examination (S31)	Series 31	04/17/2003
 General Securities Representative Examination (S7)	Series 7	08/27/1998

State Securities Law Exams

Exam	Category	Date
  Uniform Combined State Law Examination (S66)	Series 66	02/13/2001

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	12/10/2015 - 03/31/2026	ACCESS WEALTH MANAGEMENT, INC.	CRD# 281616	LOUISVILLE, KY
B	11/12/2015 - 10/19/2018	PURSHE KAPLAN STERLING INVESTMENTS	CRD# 35747	Louisville, KY
IA	11/17/2015 - 12/10/2015	ACCESS WEALTH MANAGEMENT, INC.	CRD# 281616	LOUISVILLE, KY
B	01/21/2005 - 11/13/2015	WELLS FARGO ADVISORS FINANCIAL NETWORK, LLC	CRD# 11025	LOUISVILLE, KY
IA	01/21/2005 - 11/13/2015	WELLS FARGO ADVISORS FINANCIAL NETWORK, LLC	CRD# 11025	LOUISVILLE, KY
IA	12/04/2002 - 01/24/2005	MORGAN STANLEY	CRD# 7556	LOUISVILLE, KY
B	12/03/2002 - 01/24/2005	MORGAN STANLEY DW INC.	CRD# 7556	PURCHASE, NY
B	02/16/2001 - 12/04/2002	J.J.B. HILLIARD, W.L. LYONS, INC.	CRD# 453	LOUISVILLE, KY
B	08/29/1998 - 03/30/1999	ARM SECURITIES CORPORATION	CRD# 932	MINOT, ND

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
02/2026 - Present	ACCESS Wealth Management, LLC	Owner/President/CCO	Y	Louisville, KY, United States
10/2018 - Present	Private Client Services	Registered Rep	Y	Louisville, KY, United States
01/2005 - Present	Access Wealth Management, Inc.	Owner/President/CCO	Y	Louisville, KY, United States
11/2015 - 10/2018	Purshe Kaplan Sterling Investments	Registered Rep	Y	Albany, NY, United States



Registration & Employment History

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

- 1) ACCESS FAMILY OFFICE SERVICES. 4360 BROWNSBORO RD, STE 101, LOUISVILLE, KY 40207. FAMILY OFFICE SERVICES TO RIA CLIENTS. BOOK KEEPING, BILL PMT, TAX SERVICES. 160 HOURS/MO; 160 DURING TRADING HOURS.
- 2) ACCESS WEALTH MGMT/ACCESS REAL ESTATE MGMT. 4360 BROWNSBORO RD, STE 101, LOUISVILLE, KY 40207. MGMT AND/OR OWNERSHIP OF REAL ESTATE HOLDING COMPANIES. MANAGER/PROVIDES REAL ESTATE MANAGEMENT SERVICES. < 5 HOURS/MO; < 5 DURING TRADING HOURS.
- 3) ACCESS WEALTH MANAGEMENT. 4360 BROWNSBORO RD, STE 101, LOUISVILLE, KY 40207. RIA. ADVISOR, PRESIDENT. 160 HOURS/MO; 160 DURING TRADING HOURS.
- 4) JDRF BOARD. 153 THIERMAN LANE, LOUISVILLE, KY 40207. JUVENILE DIABETES RESEARCH FOUNDATION. BOARD MEMBER. 2 HOURS/MO; 2 DURING TRADING HOURS.
- 5) NICK LACHEY FOUNDATION. 4360 BROWNSBORO RD, #101, LOUISVILLE, KY 40207. CHARITABLE FOUNDATION. BOARD SECRETARY. 2 HOURS/MO; 2 DURING TRADING HOURS.
- 6) WENDY NOVAK PEDIATRIC DIABETES CARE CENTER. 4350 BROWNSBORO RD, STE 110, LOUISVILLE, KY 40207. CHILDRENS CHARITY. ADVISORY BOARD MEMBER. 2 HOURS/MO; 2 DURING TRADING HOURS



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Criminal	1
Customer Dispute	1

Criminal

This disclosure event involves a criminal charge against the Investment Adviser Representative that has resulted in a dismissal, plea, acquittal or conviction. The criminal matter may relate to any felony or certain misdemeanor offenses (e.g., bribery, perjury, forgery, counterfeiting, extortion, fraud, wrongful taking of property).

Disclosure 1 of 1

Reporting Source:	Individual
Court Details:	JEFFERSON COUNTY COURT 93F005515
Charge Date:	05/28/1993
Charge Details:	POSSESSION OF ALCOHOL BY A MINOR POSSESSION OF A FORGED INSTRUMENT (S)
Felony?	No
Current Status:	Final
Status Date:	07/14/1993
Disposition Details:	THE POSSESSION OF AN ALTERED DRIVERS LICENSE WAS COMPLETELY DISMISSED. I PLEAD GUILTY TO POSSESSION OF ALCOHOL BY A MINOR VIOLATION. I PAID A FINE OF \$50.00 AND ALSO PAID COURT COSTS OF \$52.50 FOR A TOTAL OF \$102.50. THAT WAS THE EXTENT OF ANY AND ALL PENALTIES.
Broker Statement	I CHANGED THAT BIRTH DATE ON THE ID'S TO MAKE MYSELF APPEAR TO BE 21 YEARS OF AGE INSTEAD OF MY ACTUAL AGE OF 18. THE PURPOSE OF THIS WAS TO ATTEMPT TO PURCHASE BEER FOR AFTER MY HIGH SCHOOL GRADUATION. THIS WAS MOST CERTAINLY A FOOLISH AND IMMATURE ACT. AT THE TIME I DID NOT REALIZE HOW MUCH TROUBLE THAT ACT WOULD CAUSE ME. I STRONGLY WISH THAT I WAS MATURE ENOUGH AT THE TIME NOT TO DO SUCH A THING. PLEASE FEEL FREE TO CONTACT ME IF YOU NEED ANYTHING FURTHER. (PHONE #502-241-5755)



Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 1

Reporting Source:	Firm
Employing firm when activities occurred which led to the complaint:	WELLS FARGO ADVISORS FINANCIAL NETWORK, LLC
Allegations:	Claimants alleges that from August 2012 through the end of 2021, the financial advisor misrepresented a non-traded fund.
Product Type:	Other: Non-Traded Fund
Alleged Damages:	\$0.00
Alleged Damages Amount Explanation (if amount not exact):	Claimant is seeking an unspecified amount in damages.
Is this an oral complaint?	No
Is this a written complaint?	No
Is this an arbitration/CFTC reparation or civil litigation?	Yes
Arbitration/Reparation forum or court name and location:	FINRA
Docket/Case #:	22-02540
Filing date of arbitration/CFTC reparation or civil litigation:	11/09/2022

Customer Complaint Information

Date Complaint Received:	11/02/2022
Complaint Pending?	No
Status:	Settled
Status Date:	03/01/2024
Settlement Amount:	\$350,000.00
Individual Contribution Amount:	\$0.00
Firm Statement	Without admitting any liability, the Firm settled the matter for \$350,000.00 to avoid further arbitration

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Reporting Source:	Individual
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Employing firm when activities occurred which led to the complaint:	WELLS FARGO ADVISORS FINANCIAL NETWORK, LLC
Allegations:	Claimants allege that from August 2012 through the end of 2021, the financial advisor misrepresented a non-traded fund.
Product Type:	Oil & Gas Other: Non-traded fund
Alleged Damages:	\$0.00
Alleged Damages Amount Explanation (if amount not exact):	Claimants are seeking an unspecified amount in damages.
Is this an oral complaint?	No
Is this a written complaint?	No
Is this an arbitration/CFTC reparation or civil litigation?	Yes
Arbitration/Reparation forum or court name and location:	FINRA
Docket/Case #:	22-02540
Filing date of arbitration/CFTC reparation or civil litigation:	11/09/2022
Customer Complaint Information	
Date Complaint Received:	11/02/2022
Complaint Pending?	No
Status:	Settled
Status Date:	03/01/2024
Settlement Amount:	\$350,000.00
Individual Contribution Amount:	\$0.00
Broker Statement	Without admitting any liability, Wells Fargo settled the matter for \$350,000.00 to avoid further arbitration.



End of Report

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