

IAPD Report ANTHONY WAYNE WIELT

CRD# 3025131

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When communicating online or investing with any professional, make sure you know who you're dealing with. <u>Imposters</u> might link to sites like BrokerCheck from <u>phishing</u> or similar scam websites, or through <u>social media</u>, trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page http://www.nasaa.org/IAPD/IARReports.cfm

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association http://www.nasaa.org



Report Summary

ANTHONY WAYNE WIELT (CRD# 3025131)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **02/13/2025**.

CURRENT EMPLOYERS

| | Firm | CRD# | Registered Since |
|----|---|------------|------------------|
| В | STATE FARM VP MANAGEMENT CORP. | CRD# 43036 | 12/12/2002 |
| IA | STATE FARM INVESTMENT MANAGEMENT CORP. | CRD# 3487 | 12/03/2018 |

QUALIFICATIONS

This representative is currently registered in 1 SRO(s) and 3 jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? No

Note: Not all jurisdictions require IAR registration or may have an exemption from registration. Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

| | FIRM | CRD# | LOCATION | REGISTRATION DATES |
|---|------------------------------|-------|----------------|-------------------------|
| В | A. G. EDWARDS & SONS, INC. | 4 | ST. LOUIS, MO | 01/05/2001 - 11/15/2002 |
| В | FIFTH THIRD SECURITIES, INC. | 628 | CINCINNATI, OH | 02/25/2000 - 01/09/2001 |
| B | WEDGEWOOD PARTNERS, INC. | 21923 | ST. LOUIS, MO | 04/09/1998 - 02/25/2000 |

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative?

No



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with 3 jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

| Firm Name: | STATE FARM INVESTMENT MANAGEMENT CORP. |
|---------------|---|
| Main Address: | ONE STATE FARM PLAZA B-2 BLOOMINGTON, IL 61710-0001 |
| Firm ID#: | 3487 |

| | Regulator | Registration | Status | Date |
|----|-----------|-----------------------------------|----------|------------|
| IA | Illinois | Investment Adviser Representative | Approved | 12/03/2018 |
| IA | Indiana | Investment Adviser Representative | Approved | 10/03/2024 |
| IA | Missouri | Investment Adviser Representative | Approved | 10/03/2024 |

Branch Office Locations

STATE FARM INVESTMENT MANAGEMENT CORP.

4121 S Water Tower PI Mount Vernon, IL 62864

Employment 2 of 2

Firm Name: STATE FARM VP MANAGEMENT CORP. Main Address: ONE STATE FARM PLAZA BLOOMINGTON, IL 61710-0001 _. . --43036

| Firm I | D#: |
|--------|-----|
|--------|-----|

| | Regulator | Registration | Status | Date |
|---|-----------|-----------------------------------|----------|------------|
| B | FINRA | Invest. Co and Variable Contracts | Approved | 12/12/2002 |
| В | Illinois | Agent | Approved | 12/12/2002 |
| В | Indiana | Agent | Approved | 09/13/2021 |
| В | Missouri | Agent | Approved | 09/13/2021 |



Qualifications

Branch Office Locations

4121 S Water Tower Pl Mount Vernon, IL 62864-6565

Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 3 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

| Exam | Category | Date |
|--------------------------|----------|------|
| No information reported. | | |

General Industry/Product Exams

| Exam | Category | Date |
|---|------------|------------|
| B Investment Company Products/Variable Contracts Representative Examination (S6TO) | Series 6TO | 01/02/2023 |
| B Securities Industry Essentials Examination (SIE) | SIE | 10/01/2018 |
| B General Securities Representative Examination (S7) | Series 7 | 03/23/1998 |

State Securities Law Exams

| | Exam | Category | Date |
|----|--|-----------|------------|
| IA | Uniform Investment Adviser Law Examination (S65) | Series 65 | 12/03/2018 |
| В | Uniform Securities Agent State Law Examination (S63) | Series 63 | 03/27/1998 |

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

| | Registration Dates | Firm Name | ID# | Branch Location |
|---|---------------------------|------------------------------|---------------|-----------------|
| В | 01/05/2001 - 11/15/2002 | A. G. EDWARDS & SONS, INC. | CRD# 4 | ST. LOUIS, MO |
| B | 02/25/2000 - 01/09/2001 | FIFTH THIRD SECURITIES, INC. | CRD# 628 | CINCINNATI, OH |
| B | 04/09/1998 - 02/25/2000 | WEDGEWOOD PARTNERS, INC. | CRD# 21923 | ST. LOUIS, MO |

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

| Employment Dates | Employer Name | Position | Investment Related | Employer Location |
|-------------------|--|--------------------------------------|--------------------|------------------------------------|
| 08/2018 - Present | State Farm Investment Management Corp | Investment Advisor Representative | Y | Mount Vernon, IL, United States |
| 09/2008 - Present | ANTHONY WIELT INSURANCE AGENCY | OWNER/OPERATOR | Y | MT. VERNON, IL, United States |
| 12/2002 - Present | SATE FARM VP MANAGEMENT CORP | REGISTERED REPRESENTATIVE | Y | MT. VERNON, IL, United States |

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

(1) CENTRAL CHRISTIAN CHURCH; NON-INVESTMENT RELATED; 301 N 10TH ST MT VERNON IL 62864; CHURCH; CHAIRMAN; 01/01/2015; 2HR/MO; 0HR/MO; MEMBER OF A FINANCE COMMITTEE, FINANCE TEAM IS ONLY BUDGET OVERSIGHT. WE SEE NO MONEY OR TITHES AND WE ONLY MAKE RECOMENDATIONS TO ELDERS FOR BUDGETARY ISSUES

(2) State Farm Federal PAC - IL Advisory Committee; non-investment; 1 State Farm Plaza, Bloomington, IL 61704; association/committee/council; director; 2/1/2022; 1; 0; none,

(3) Mt. Vernon City Schools District 80; non-investment; 2710 North St, Mount Vernon, IL 62864; education; employee; 5/1/2022; 10; 0; reconcile bank statements, authorize the movement of funds from one bank account to another - all within District 80 Funds.

(4) Anthony Wielt's Insurance Agency; Insurance Agency; Investment-related; 4121 S Water Tower PI Mount Vernon, IL 62864-6565; Insurance (State Farm Mutual Automobile Insurance Company and its affiliates); Owner; Agent; 09/01/2008; 80; 80; Service customers and supervise employees

(5) Rend Lake College Trustee; non-investment related; 468 North Ken Gray Parkway Ina, IL 62846; Education; Trustee; none; 9/11/2023; 2; 0; local community college in the area, We are involved in the vision of the college and future project planning. We would also provide the evaluation of the President of the college.

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Registration & Employment History

OTHER BUSINESS ACTIVITIES

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End of Report

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