



IAPD Report

MATTHEW BLAISE WHITE

CRD# 3039904

<u>Section Title</u>	<u>Page(s)</u>
Report Summary	1
Qualifications	2 - 5
Registration and Employment History	6 - 7
Disclosure Information	8

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Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

MATTHEW BLAISE WHITE (CRD# 3039904)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **05/26/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	CETERA WEALTH SERVICES, LLC	CRD# 13572	07/21/2025
IA	CETERA INVESTMENT ADVISERS LLC	CRD# 105644	07/21/2025

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **20** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	AVANTAX ADVISORY SERVICES	104556	BAYTOWN, TX	07/20/2000 - 09/08/2025
B	AVANTAX INVESTMENT SERVICES, INC.	13686	Baytown, TX	01/07/2000 - 09/08/2025

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	2



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **20** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **CETERA WEALTH SERVICES, LLC**
Main Address: 2301 ROSECRANS AVE #5100
EL SEGUNDO, CA 90245
Firm ID#: 13572

	Regulator	Registration	Status	Date
B	FINRA	General Securities Principal	Approved	07/21/2025
B	FINRA	General Securities Representative	Approved	07/21/2025
B	California	Agent	Approved	07/21/2025
B	Colorado	Agent	Approved	07/21/2025
B	Florida	Agent	Approved	07/21/2025
B	Georgia	Agent	Approved	07/21/2025
B	Illinois	Agent	Approved	07/28/2025
B	Indiana	Agent	Approved	07/21/2025
B	Louisiana	Agent	Approved	07/21/2025
B	Minnesota	Agent	Approved	07/21/2025
B	Mississippi	Agent	Approved	07/21/2025
B	Nebraska	Agent	Approved	11/18/2025
B	Nevada	Agent	Approved	09/08/2025



Qualifications

Regulator	Registration	Status	Date
B New Jersey	Agent	Approved	07/21/2025
B North Carolina	Agent	Approved	09/09/2025
B Ohio	Agent	Approved	07/22/2025
B Oklahoma	Agent	Approved	07/21/2025
B Pennsylvania	Agent	Approved	09/08/2025
B Texas	Agent	Approved	07/21/2025
B Utah	Agent	Approved	09/08/2025
B Virginia	Agent	Approved	09/08/2025
B Washington	Agent	Approved	07/21/2025

Branch Office Locations

CETERA ADVISOR NETWORKS LLC
Dallas, TX

CETERA ADVISOR NETWORKS LLC
6615 Snider Plaza Suite 210C
Dallas, TX 75205

CETERA ADVISOR NETWORKS LLC
1300 Rollingbrook Drive Suite 603
Baytown, TX 77521

CETERA ADVISOR NETWORKS LLC
Baytown, TX

CETERA ADVISOR NETWORKS LLC
6615 Snider Plaza
Suite 210C
Dallas, TX 75205

Employment 2 of 2

Firm Name: **CETERA INVESTMENT ADVISERS LLC**
Main Address: 1450 AMERICAN LANE
6TH FLOOR, SUITE 650
SCHAUMBURG, IL 60173-2096
Firm ID#: 105644



Qualifications

Regulator	Registration	Status	Date
IA Texas	Investment Adviser Representative	Approved	07/21/2025

Branch Office Locations

CETERA INVESTMENT ADVISERS LLC
1300 Rollingbrook Drive
Suite 603
Baytown, TX 77521

CETERA INVESTMENT ADVISERS LLC
6615 Snider Plaza
Suite 201C
Dallas, TX 75205

CETERA INVESTMENT ADVISERS LLC
Dallas, TX




Qualifications

PASSED INDUSTRY EXAMS



This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 1 principal/supervisory exam, 2 general industry/product exams, and 1 state securities law exam.



Principal/Supervisory Exams

Exam	Category	Date
 General Securities Principal Examination (S24)	Series 24	07/24/2002

General Industry/Product Exams

Exam	Category	Date
 Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
 General Securities Representative Examination (S7)	Series 7	01/06/2000

State Securities Law Exams

Exam	Category	Date
  Uniform Combined State Law Examination (S66)	Series 66	11/22/1999

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported 1 professional designation(s).

Personal Financial Specialist

This representative holds or did hold 1 professional designation(s) that may have been used to qualify as an Investment Advisor representative. Please check with the appropriate designation authority for verification that the designation is still in effect. The contact information for these professional designation authorities can be found on the website for the North American Securities Administrators Association at <http://www.nasaa.org>



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	07/20/2000 - 09/08/2025	AVANTAX ADVISORY SERVICES	CRD# 104556	BAYTOWN, TX
B	01/07/2000 - 09/08/2025	AVANTAX INVESTMENT SERVICES, INC.	CRD# 13686	Baytown, TX

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
07/2025 - Present	CETERA INVESTMENT ADVISERS LLC	INVESTMENT ADVISOR REPRESENTATIVE	Y	SCHAUMBURG, IL, United States
07/2025 - Present	CETERA WEALTH SERVICES, LLC	REGISTERED REPRESENTATIVE	Y	EL SEGUNDO, CA, United States
05/2025 - Present	True North Private Wealth Partners, LLC	Member/Owner	Y	Baytown, TX, United States
05/1991 - Present	SELF EMPLOYED	TAX PREP/CONSULTING	Y	BAYTOWN, TX, United States
05/2017 - 09/2025	Avantax Insurance Agency, LLC	Insurance Agent	Y	Baytown, TX, United States
04/1998 - 09/2025	Avantax Investment Services, Inc.	Registered Representative	Y	Baytown, TX, United States
08/1987 - 12/2020	PRAIRIE OAKS PARTNERS, LTD.	BOOKKEEPING AND TAX WORK	N	BAYTOWN, TX, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

- 1) Tiller & Company PC, CPAs 300 Rollingbrook Drive, Suite 603 Baytown TX 77521 Tax Preparation/Accounting Other 1/1/1993 10hrs~10hrs Prepare and review a limited number of tax returns. Meet with clients, provide tax consulting services to firm clients, provide technical tax assistance to firm's employees.
- 2) M. White Financial Services 1300 Rollingbrook Drive, Suite 603 Baytown TX 77521 Tax Preparation/Accounting Owner/Sole Proprietor 6/25/2003 2hrs~0hrs Tax preparation
- 3) Baytown Christian Foundation 1300 Rollingbrook Drive, Suite 603 Baytown TX 77521 Other Vice President 1/1/2007 1hrs~0hrs Charitable Foundation supporting Christian Education



Registration & Employment History



OTHER BUSINESS ACTIVITIES

4) Matthew White 1300 Rollingbrook Drive, Suite 603 Baytown TX 77521 Independent Insurance Agent Insurance Sales Agent
5/24/2005 1hrs~1hrs sale of insurance based products

5) WHITE SUPPLY CO, LLC

POSITION: Owner NATURE: Entity formed for the purpose of buying direct from manufacturers for items related to home renovation and potentially for future rental property. INVESTMENT RELATED: No NUMBER OF HOURS: 1 SECURITIES TRADING HOURS: 1 START DATE: 02/01/2018; ADDRESS: 3702 Binkley Avenue, Dallas TX 75205, United States; DESCRIPTION: Owner.

6) TRUE NORTH PRIVATE WEALTH PARTNERS, LLC

POSITION: Member/Owner NATURE: The entity, M. White Financial Services, LLC, is undergoing a name change. All Avantax related activity will be conducted through this entity. INVESTMENT RELATED: Yes NUMBER OF HOURS: 160 SECURITIES TRADING HOURS: 130 START DATE: 05/01/2025
ADDRESS: 1300 Rollingbrook Drive, Suite 603, Baytown TX 77521, United States
DESCRIPTION: Responsible for managing client relationships and operation activity. Same as currently doing for M. White Financial Services. This is only to report a name change.

7) NAME OF OTHER BUSINESS: SMU ALUMNI BOARD;

INVESTMENT RELATED: NO

ADDRESS: 6425 BOAZ LANE, DALLAS, TX 75205;

NATURE OF BUSINESS: BOARD;

START DATE: 6/1/2026;

POSITION/TITLE/RELATIONSHIP: BOARD MEMBER;

APX NUMBER OF HOURS PER WEEK: 1;

APX NUMBER OF HOURS DURING TRADING HOURS: 1;

BRIEF DESCRIPTION OF DUTIES: VOLUNTEER AT VARIOUS EVENTS;



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	2

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 2

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	AVANTAX INVESTMENT SERVICES, INC.
Allegations:	Claimant alleges unsuitable recommendations and breach of fiduciary duties.
Product Type:	Oil & Gas
Alleged Damages:	\$5,000.00
Alleged Damages Amount Explanation (if amount not exact):	Unspecified but believed to be over \$5,000
Is this an oral complaint?	No
Is this a written complaint?	No
Is this an arbitration/CFTC reparation or civil litigation?	Yes
Arbitration/Reparation forum or court name and location:	FINRA
Docket/Case #:	26-00572
Filing date of arbitration/CFTC reparation or civil litigation:	03/12/2026

Customer Complaint Information

Date Complaint Received:	03/17/2026
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Complaint Pending? Yes

Settlement Amount:

Individual Contribution Amount:

Disclosure 2 of 2

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: H.D. VEST

Allegations: CLIENT ALLEGED THAT THE MONEY MANAGERS HAVE MISMANAGED AND CHURNED HIS ACCOUNTS.

Product Type: Other: WRAP ACCOUNTS, OUTSIDE MONEY MANAGER

Alleged Damages: \$0.00

Alleged Damages Amount Explanation (if amount not exact): COMPLAINT DID NOT REFLECT DAMAGES, BUT THE FIRM MADE A GOOD FAITH DETERMINATION THAT THE DAMAGES FROM THE ALLEGED CONDUCT WOULD BE MORE THAN \$5,000.

Is this an oral complaint? No

Is this a written complaint? Yes

Is this an arbitration/CFTC reparation or civil litigation? No

Customer Complaint Information

Date Complaint Received: 03/02/2009

Complaint Pending? No

Status: Denied

Status Date: 06/16/2009

Settlement Amount:

Individual Contribution Amount:

Broker Statement BASED ON INITIAL INFORMATION REVIEWED, NO WRONG DOING WAS FOUND ON THE PART OF THE REPRESENTATIVE.



End of Report

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