



IAPD Report

COREY JAMES SCHMIDT

CRD# 3041860

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

COREY JAMES SCHMIDT (CRD# 3041860)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **05/18/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	THRIVENT ADVISOR NETWORK, LLC	CRD# 304569	09/29/2020
B	THRIVENT INVESTMENT MANAGEMENT INC.	CRD# 18387	08/08/2024
IA	INTENTGEN FINANCIAL PARTNERS	CRD# 341913	05/20/2026

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **25** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	PURSHE KAPLAN STERLING INVESTMENTS	35747	Naperville, IL	09/24/2020 - 08/05/2024
IA	THRIVENT INVESTMENT MANAGEMENT INC.	18387	Naperville, IL	08/05/2002 - 09/24/2020
B	THRIVENT INVESTMENT MANAGEMENT INC.	18387	Naperville, IL	07/01/2002 - 09/24/2020

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Bond	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **25** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 3

Firm Name: **INTENTGEN FINANCIAL PARTNERS**
Main Address: 1460 BOND ST
NAPERVILLE, IL 60563
Firm ID#: 341913

Regulator	Registration	Status	Date
IA Illinois	Investment Adviser Representative	Approved	05/20/2026
IA Texas	Investment Adviser Representative	Restricted Approval	05/20/2026

Branch Office Locations

INTENTGEN FINANCIAL PARTNERS
1460 BOND ST
NAPERVILLE, IL 60563

Employment 2 of 3

Firm Name: **THRIVENT INVESTMENT MANAGEMENT INC.**
Main Address: 600 PORTLAND AVENUE SOUTH
MINNEAPOLIS, MN 55415
Firm ID#: 18387

Regulator	Registration	Status	Date
B FINRA	General Securities Representative	Approved	08/08/2024
B Arizona	Agent	Approved	11/01/2024
B California	Agent	Approved	10/16/2024
B Colorado	Agent	Approved	10/17/2024
B Florida	Agent	Approved	10/17/2024



Qualifications

Regulator	Registration	Status	Date
B Georgia	Agent	Approved	10/18/2024
B Illinois	Agent	Approved	09/06/2024
B Indiana	Agent	Approved	10/16/2024
B Iowa	Agent	Approved	10/17/2024
B Maryland	Agent	Approved	10/29/2024
B Massachusetts	Agent	Approved	10/16/2024
B Michigan	Agent	Approved	10/18/2024
B Minnesota	Agent	Approved	10/16/2024
B Missouri	Agent	Approved	10/17/2024
B New Jersey	Agent	Approved	10/16/2024
B North Carolina	Agent	Approved	10/17/2024
B North Dakota	Agent	Approved	10/21/2024
B Ohio	Agent	Approved	10/16/2024
B Oregon	Agent	Approved	10/24/2024
B Pennsylvania	Agent	Approved	10/17/2024
B South Carolina	Agent	Approved	10/16/2024
B Tennessee	Agent	Approved	10/17/2024
B Texas	Agent	Approved	10/17/2024
B Virginia	Agent	Approved	10/17/2024



Qualifications

Regulator	Registration	Status	Date
B Washington	Agent	Approved	10/16/2024
B Wisconsin	Agent	Approved	10/22/2024

Branch Office Locations

THRIVENT INVESTMENT MANAGEMENT INC.
 1460 Bond St
 Naperville, IL 60563

THRIVENT INVESTMENT MANAGEMENT INC.
 Naperville, IL

Employment 3 of 3

Firm Name: **THRIVENT ADVISOR NETWORK, LLC**
 Main Address: 600 PORTLAND AVENUE SOUTH
 MINNEAPOLIS, MN 55415
 Firm ID#: 304569

Regulator	Registration	Status	Date
IA Illinois	Investment Adviser Representative	Approved	09/29/2020
IA Texas	Investment Adviser Representative	Restricted Approval	08/19/2022

Branch Office Locations

THRIVENT ADVISOR NETWORK, LLC
 1460 Bond St,
 Suite 120
 Naperville, IL 60563



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 1 principal/supervisory exam, 3 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
General Securities Principal Examination (S24)	Series 24	03/28/2003

General Industry/Product Exams

Exam	Category	Date
Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
General Securities Representative Examination (S7)	Series 7	08/10/2001
Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	07/31/1998

State Securities Law Exams

Exam	Category	Date
Uniform Combined State Law Examination (S66)	Series 66	09/21/2001
Uniform Securities Agent State Law Examination (S63)	Series 63	09/26/1998

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **2** professional designation(s).

Certified Financial Planner

Chartered Financial Consultant

This representative holds or did hold **2** professional designation(s) that may have been used to qualify as an Investment Advisor representative. Please check with the appropriate designation authority for verification that the designation is still in effect. The contact information for these professional designation authorities can be found on the website for the North American Securities Administrators Association at <http://www.nasaa.org>



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	09/24/2020 - 08/05/2024	PURSHE KAPLAN STERLING INVESTMENTS	CRD# 35747	Naperville, IL
IA	08/05/2002 - 09/24/2020	THRIVENT INVESTMENT MANAGEMENT INC.	CRD# 18387	Naperville, IL
B	07/01/2002 - 09/24/2020	THRIVENT INVESTMENT MANAGEMENT INC.	CRD# 18387	Naperville, IL
IA	11/07/2001 - 08/05/2002	LUTHERAN BROTHERHOOD SECURITIES CORP	CRD# 4205	DOWNERS GROVE, IL
B	08/03/1998 - 07/01/2002	LUTHERAN BROTHERHOOD SECURITIES CORP.	CRD# 4205	MINNEAPOLIS, MN

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
05/2026 - Present	LNS OPERATIONS LLC DBA INTENTGEN FINANCIAL PARTNERS	CO-FOUNDER & WEALTH ADVISOR	Y	NAPERVILLE, IL, United States
08/2024 - Present	Thrivent Investment Management Inc	Registered Representative	Y	NAPERVILLE, IL, United States
09/2020 - Present	Thrivent Advisor Network, LLC d/b/a Intentgen Financial Partners	Owner, Advisor	Y	Naperville, IL, United States
09/2020 - 07/2024	Purshe Kaplan Sterling Investments, Inc.	Registered Representative	Y	Naperville, IL, United States
07/2002 - 09/2020	THRIVENT FINANCIAL FOR LUTHERANS	FINANCIAL ASSOCIATE	Y	APPLETON, WI, United States
07/2002 - 09/2020	THRIVENT INVESTMENT MANAGEMENT INC.	REGISTERED REPRESENTATIVE	Y	Naperville, IL, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

ALL SAINTS CATHOLIC ACADEMY
POSITION: Board Member NATURE: School Board INVESTMENT RELATED: No NUMBER OF HOURS: 4 SECURITIES



Registration & Employment History



OTHER BUSINESS ACTIVITIES

TRADING HOURS: 0 START DATE: 09/26/2023

ADDRESS: 1155 Aurora Ave, Naperville IL 60540, United States

DESCRIPTION: Discussing opportunities for school calendar, monthly faith initiatives, fundraising events.

PAT MCNAMARA REAL ESTATE

POSITION: Realtor NATURE: Real Estate INVESTMENT RELATED: No NUMBER OF HOURS: 25 SECURITIES TRADING

HOURS: 0 START DATE: 08/01/2018

ADDRESS: 313 Essex Ct, Yorkville IL 60560, United States

DESCRIPTION: Help family and close friend buy/sell homes

SNL PROPERTY HOLDINGS, LLC

POSITION: Owner/Manager NATURE: Own or leases commercial real estate. INVESTMENT RELATED: No NUMBER OF HOURS: 5 SECURITIES TRADING HOURS: 5 START DATE: 11/01/2005

ADDRESS: 1460 Bond St., Suite 120, Naperville IL 60563, United States

DESCRIPTION: Review Financial Statements, Lease agreements, and facilitate bill payments for the commercial properties

LUTHER COLLEGE

POSITION: Member of the Board NATURE: Higher Education oversight INVESTMENT RELATED: No NUMBER OF HOURS: 5

SECURITIES TRADING HOURS: 2 START DATE: 06/05/2019

ADDRESS: 700 College Dr, Decorah IA 52101, United States

DESCRIPTION: Governance of the college, provide oversight on various initiatives including institutional planning, enrollment, budget and development.

CRESS CREEK COUNTRY CLUB BOARD OF DIRECTORS

POSITION: Board member and Vice Chair of Grounds NATURE: I was just elected to the board of directors on 7/28/24. The job of the board is to provide oversight to the General Manager, and to actively be a part of a committee and help make decisions.

INVESTMENT RELATED: No NUMBER OF HOURS: 6 SECURITIES TRADING HOURS: 0 START DATE: 08/01/2024

ADDRESS: 1215 Royal St. George Drive, Naperville IL 60563, United States

DESCRIPTION: We will help make decisions and provide oversight to the general manager. Additionally I will serve as Vice chair of the grounds committee, which deals with the physical maintenance of the golf course and facilities.

FIXED INSURANCE

POSITION: Agent NATURE: Selling and servicing Fixed Insurance contracts. INVESTMENT RELATED: No NUMBER OF HOURS: 40 SECURITIES TRADING HOURS: 40 START DATE: 09/01/2020

ADDRESS: 1460 Bond St., Suite 120, Naperville IL 60563, United States

DESCRIPTION: Sales and servicing of Fixed insurance products

THRIVENT ADVISOR NETWORK, LLC DBA INTENTGEN FINANCIAL PARTNERS

POSITION: Founding Partner, wealth advisor NATURE: Affiliated retail investment advisory firm INVESTMENT RELATED: No

NUMBER OF HOURS: 144 SECURITIES TRADING HOURS: 144 START DATE: 09/25/2020

ADDRESS: 1460 Bond St, suite 120, Naperville IL 60563, United States

DESCRIPTION: Provide investment advisory services to retail clients.

LNS OPERATIONS, LLC

POSITION: Owner NATURE: LLC is established for the expenses of TIMI/TAN business. INVESTMENT RELATED: No NUMBER OF HOURS: 5 SECURITIES TRADING HOURS: 5 START DATE: 11/01/2005

ADDRESS: 1460 Bond St., Suite 120, Naperville IL 60563, United States

DESCRIPTION: Review Financial Statements, Vendor Agreements, Payroll, etc.

INTENTIONAL TRANSITIONS; NO; 1460 BOND ST SUITE 120, NAPERVILLE, IL 60563; REPRESENTATIVE WROTE A BOOK



Registration & Employment History



OTHER BUSINESS ACTIVITIES

HELPING ADVISORS CONTEMPLATING RETIREMENT TO THINK THROUGH THEIR RETIREMENT TRANSITION; WRITER; 03/02/2026; 5; 5; REPRESENTATIVE WILL BE PROMOTING THE BOOK TO OTHER ADVISORS AND POTENTIAL RECRUITS.



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Bond	1

Bond

This disclosure event involves a bond for the Investment Adviser Representative that has been denied, paid, or revoked by a bonding company.

Disclosure 1 of 1

Reporting Source:	Individual
Policy Holder:	THRIVENT INVESTMENT MANAGEMENT INC
Bonding Company Name:	FEDERAL INSURANCE / CONTINENTAL CASUALTY INSURANCE
Disposition:	Revoked
Disposition Date:	03/12/2014
Broker Statement	BOND WAS REINSTATED ON 4/1/2014.



End of Report

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