



## IAPD Report

# ERIC ALLEN HEITING

CRD# 3052881

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Please contact FINRA with any concerns.



## IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

### What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

### Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

### How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

### Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

### What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

### Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



## Report Summary

### ERIC ALLEN HEITING (CRD# 3052881)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **02/12/2026**.

### CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
	PMMSF PARTNERS, LLC	CRD# 310316	08/19/2020
	CENTRAL WEALTH MANAGEMENT LLC	CRD# 293172	02/13/2026

### QUALIFICATIONS

This representative is currently registered in **0** SRO(s) and **1** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

**Note:** Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

### REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
	ATLAS	324191	Madison, WI	06/24/2025 - 01/30/2026
	CENTRAL WEALTH MANAGEMENT LLC	293172	MADISON, WI	06/19/2018 - 09/10/2025
	EAGLE STRATEGIES LLC	110826	Marshfield, WI	03/07/2005 - 06/21/2018

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

### DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1



## Qualifications

### REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **1** jurisdiction(s) and **0** SRO(s) through his or her employer(s).

#### Employment 1 of 2

Firm Name: **CENTRAL WEALTH MANAGEMENT LLC**  
Main Address: 1111 DEMING WAY  
SUITE 103  
MADISON, WI 53717  
Firm ID#: 293172

Regulator	Registration	Status	Date
IA Wisconsin	Investment Adviser Representative	Approved	02/13/2026

#### Branch Office Locations

**CENTRAL WEALTH MANAGEMENT LLC**  
1111 DEMING WAY  
SUITE 103  
MADISON, WI 53717

#### Employment 2 of 2

Firm Name: **PMMSF PARTNERS, LLC**  
Main Address: 1111 DEMING WAY  
SUITE 103  
MADISON, WI 53717  
Firm ID#: 310316

Regulator	Registration	Status	Date
IA Wisconsin	Investment Adviser Representative	Approved	08/19/2020

#### Branch Office Locations

**PMMSF PARTNERS, LLC**  
1111 DEMING WAY  
SUITE 103  
MADISON, WI 53717



## Qualifications

### PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

**This individual has passed 0 principal/supervisory exams, 3 general industry/product exams, and 2 state securities law exams.**

#### Principal/Supervisory Exams

Exam	Category	Date
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No information reported.

#### General Industry/Product Exams

Exam	Category	Date
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<b>B</b> Securities Industry Essentials Examination (SIE)	SIE	06/21/2018
<b>B</b> General Securities Representative Examination (S7)	Series 7	01/14/2013
<b>B</b> Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	06/05/1998

#### State Securities Law Exams

Exam	Category	Date
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<b>IA</b> Uniform Investment Adviser Law Examination (S65)	Series 65	12/13/2004
<b>B</b> Uniform Securities Agent State Law Examination (S63)	Series 63	06/18/1998

### PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **2** professional designation(s).

Certified Financial Planner

Chartered Financial Consultant

This representative holds or did hold **2** professional designation(s) that may have been used to qualify as an Investment Advisor representative. Please check with the appropriate designation authority for verification that the designation is still in effect. The contact information for these professional designation authorities can be found on the website for the North American Securities Administrators Association at <http://www.nasaa.org>



## Registration & Employment History

### PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	06/24/2025 - 01/30/2026	ATLAS	CRD# 324191	Madison, WI
IA	06/19/2018 - 09/10/2025	CENTRAL WEALTH MANAGEMENT LLC	CRD# 293172	MADISON, WI
IA	03/07/2005 - 06/21/2018	EAGLE STRATEGIES LLC	CRD# 110826	Marshfield, WI
B	06/08/1998 - 06/21/2018	NYLIFE SECURITIES LLC	CRD# 5167	MARSHFIELD, WI

### EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
02/2026 - Present	CENTRAL WEALTH MANAGEMENT, LLC	FOUNDER / OWNER / INVESTMENT ADVISOR REPRESENTATIVE	Y	MADISON, WI, United States
08/2020 - Present	PMMSF PARTNERS, LLC	MANAGING PRINCIPAL / CCO	Y	MADISON, WI, United States
06/2025 - 02/2026	ATLAS	PRESIDENT OF WEALTH MANAGEMENT	Y	MADISON, WI, United States
06/2018 - 09/2025	CENTRAL WEALTH MANAGEMENT, LLC	FOUNDER / OWNER / INVESTMENT ADVISOR REPRESENTATIVE	Y	MADISON, WI, United States
08/2006 - 06/2018	PHYSICIAN WEALTH STRATEGIES LLC	OWNER	Y	MADISON, WI, United States
03/2005 - 06/2018	EAGLE STRATEGIES LLC	INVESTMENT ADVISOR	Y	MADISON, WI, United States
04/1998 - 06/2018	NEW YORK LIFE INSURANCE COMPANY	AGENT - Agent	N	MADISON, WI, United States
04/1998 - 06/2018	NYLIFE SECURITIES INC.	NOT PROVIDED	Y	MADISON, WI, United States



## Registration & Employment History



### OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

[INSURANCE BROKERING; APPOINTED WITH OUTSIDE CARRIERS FOR THE PURPOSE OF BROKERING NON-REGISTERED INSURANCE PRODUCTS; 5 HRS/MONTH; 1111 DEMING WAY, SUITE 103 MADISON, WI; COMPENSATION: COMMISSIONS]

[SUGARCOAT DESIGN; FAMILY BUSINESS INVOLVED IN GRAPHIC DESIGN; DOES NOT TAKE PART IN ANY DAY TO DAY ACTIVITIES; OWNER; COMPENSATION: FEES]

[Fox Valley Pro Basketball Inc; Oshkosh Arena; Planning to invest my personal money into this private offering investment.; Fox Valley Pro Basketball, Inc. 2370 State Road 44, Suite A, Oshkosh, WI 54904; Start Date 09/2017; Role/Title: Investor; Investment Related; 0 hours per month; 0 hours per month during securities trading hours]

[Vario Equity LLC; Role/Title: Managing Director; Start Date 06/2018; investment related; 5 hours per month during trading hours; private equity firm]

[POLCO, Inc., 8001 Terrace Ave, Middleton, WI; Board Member; offers governance leadership and advisory services to venture capital firm; start date 06/2021; investment related; 3 hours a month; 3 hours during trading hours.]

[CENTRAL WEALTH MANAGEMENT, LLC; MANAGING PRINCIPAL / CCO; 06/2018; INVESTMENT RELATED; 120 HOURS PER MONTH DURING TRADING HOURS]

[PMMSF PARTNERS, LLC; MANAGING PRINCIPAL / CCO; 08/2020; INVESTMENT RELATED; 40 HOURS PER MONTH DURING TRADING HOURS]

[FIRST RESPONDER HOLDING COMPANY; INVESTMENT-RELATED; ARROWHEAD, CA; INVESTOR; 1/14/2022; 0 HRS/MO; PERSONAL INVESTMENT]

[FIVE AND DIME VENTURES, LLC; OWNER & MANAGING DIRECTOR; INVESTMENT-RELATED; MADISON, WI; VENTURE CAPITAL FUND DESIGNED TO INVEST IN POLCO; START DATE 2023; APPROX. 10 HRS/MO DURING BUSINESS HOURS; RAISING MONEY AND COMMUNICATING WITH INVESTORS REGARDING INVESTMENT INFORMATION.]

[AMERICAN PROVENANCE II, INC.; NOT INVESTMENT-RELATED; MOUNT HOREB, WI; BOARD OBSERVER; START DATE: 08/2023; APPROXIMATELY 2 HRS/MO DURING TRADING HOURS; PROVIDE GOVERNANCE AND ADVICE AS BOARD OBSERVER FOR A PERSONAL CARE PRODUCTS AND PRODUCTION/MANUFACTURING FIRM.]

[THE NEUTRAL PROJECT; INVESTMENT RELATED; MADISON, WI; REAL ESTATE DEVELOPER COMPANY; SALARIED CONSULTANT; START DATE: 3/2024; APPROXIMATELY 10 HRS/MO WITH 4-8 HRS DURING TRADING HOURS; PROVIDE BUSINESS ADVISE AND EXPERTISE.]

[REVOPSIS THERAPEUTICS; INVESTMENT RELATED; SPRINGFIELD, IL; VENTURE CAPITAL/BIPHARMACEUTICAL COMPANY; CONSULTANT; START DATE: 2/2024; APPROXIMATELY 1-2 HRS/MO DURING TRADING HOURS; PROVIDE BUSINESS ADVISE AND CONSULTING SERVICES.]

[VARIO AMERICAN CENTER, LLC; INVESTMENT RELATED; MADISON, WI; MANAGING PARTNER; START DATE: 3/2019; APPROXIMATELY 2 HRS/MO DURING TRADING HOURS; REAL ESTATE INVESTMENT COMPANY (PROJECT OF VARIO EQUITY, LLC, GP).]

[VARIO BAKERS PLACE, LLC; INVESTMENT RELATED; MADISON, WI; MANAGING PARTNER; START DATE: 3/2022; APPROXIMATELY 2 HRS/MO DURING TRADING HOURS; REAL ESTATE INVESTMENT COMPANY (PROJECT OF VARIO



## Registration & Employment History



### OTHER BUSINESS ACTIVITIES

EQUITY, LLC, GP).]

[VARIO SPONSOR, LLC; INVESTMENT RELATED; MADISON, WI; MANAGING PARTNER; START DATE: 10/2022; APPROXIMATELY 1 HR/MO DURING TRADING HOURS; REAL ESTATE INVESTMENT COMPANY.]



## Disclosure Summary

### Disclosure Information

#### What you should know about reported disclosure events:

##### (1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

##### (2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

##### (3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
  - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
  - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
  - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
  - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
  - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
  - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

##### (4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



## DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1

### Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

#### Disclosure 1 of 1

<b>Reporting Source:</b>	Individual
<b>Employing firm when activities occurred which led to the complaint:</b>	EAGLE STRATEGIES LLC
<b>Allegations:</b>	CUSTOMER ALLEGES IN OR AROUND SEPTEMBER 2009 THE RR MISREPRESENTED THAT HIS 457(B) PLAN COULD BE ROLLED-OVER INTO AN EXISTING IRA ACCOUNT AND THAT THERE WOULD BE NO TAX LIABILITY WITH THE TRANSACTION.
<b>Product Type:</b>	Mutual Fund
<b>Alleged Damages:</b>	\$34,549.00
<b>Is this an oral complaint?</b>	No
<b>Is this a written complaint?</b>	Yes
<b>Is this an arbitration/CFTC reparation or civil litigation?</b>	No

### Customer Complaint Information

<b>Date Complaint Received:</b>	05/24/2010
<b>Complaint Pending?</b>	No
<b>Status:</b>	Settled
<b>Status Date:</b>	10/14/2010
<b>Settlement Amount:</b>	\$35,549.00
<b>Individual Contribution Amount:</b>	\$0.00



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