



IAPD Report

TIMOTHY NOE

CRD# 3072952

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

TIMOTHY NOE (CRD# 3072952)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **02/02/2024**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	WESTPOINT WEALTH MANAGEMENT, LLC	CRD# 310883	01/22/2021
B	MODERN CAPITAL SECURITIES INC.	CRD# 130876	01/29/2021

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **5** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	AMERITAS ADVISORY SERVICES	14869	PARMA HEIGHTS, OH	08/03/2006 - 01/25/2021
B	AMERITAS INVESTMENT COMPANY, LLC	14869	PARMA HEIGHTS, OH	06/30/2006 - 01/25/2021
B	CARILLON INVESTMENTS, INC.	14646	MIDDLEBURG HTS., OH	06/01/2005 - 06/30/2006

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative?

No



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with 5 jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **WESTPOINT WEALTH MANAGEMENT, LLC**
Main Address: 66 FRONT ST
BEREA, OH 44017
Firm ID#: 310883

	Regulator	Registration	Status	Date
IA	Florida	Investment Adviser Representative	Approved - Pending IAR CE	01/01/2026
IA	Ohio	Investment Adviser Representative	Approved	01/22/2021
IA	South Carolina	Investment Adviser Representative	Approved - Pending IAR CE	01/01/2026

Branch Office Locations

WESTPOINT WEALTH MANAGEMENT, LLC
66 FRONT ST
BEREA, OH 44017

Employment 2 of 2

Firm Name: **MODERN CAPITAL SECURITIES INC.**
Main Address: 7780 BRIER CREEK PARKWAY
SUITE 325
RALEIGH, NC 27617
Firm ID#: 130876

	Regulator	Registration	Status	Date
B	FINRA	General Securities Representative	Approved	01/29/2021
B	Florida	Agent	Approved	01/29/2021
B	Missouri	Agent	Approved	01/29/2021



Qualifications

	Regulator	Registration	Status	Date
B	North Carolina	Agent	Approved	01/29/2021
B	Ohio	Agent	Approved	01/29/2021
B	South Carolina	Agent	Approved	11/03/2022

Branch Office Locations

WESTERN EQUITY GROUP, INC.

6929 W 130th Street
Suite 210
Parma Hts, OH 44130

WESTERN EQUITY GROUP, INC.

7155 Pearl Rd
Suite 202
Middleburg Heights, OH 44130



Qualifications



PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 1 state securities law exam.

Principal/Supervisory Exams

Exam	Category	Date
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No information reported.

General Industry/Product Exams

Exam	Category	Date
B Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B General Securities Representative Examination (S7)	Series 7	08/06/1998

State Securities Law Exams

Exam	Category	Date
B Uniform Securities Agent State Law Examination (S63)	Series 63	07/15/1999



PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **1** professional designation(s).

Chartered Financial Consultant

This representative holds or did hold **1** professional designation(s) that may have been used to qualify as an Investment Advisor representative. Please check with the appropriate designation authority for verification that the designation is still in effect. The contact information for these professional designation authorities can be found on the website for the North American Securities Administrators Association at <http://www.nasaa.org>



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	08/03/2006 - 01/25/2021	AMERITAS ADVISORY SERVICES	CRD# 14869	PARMA HEIGHTS, OH
B	06/30/2006 - 01/25/2021	AMERITAS INVESTMENT COMPANY, LLC	CRD# 14869	PARMA HEIGHTS, OH
B	06/01/2005 - 06/30/2006	CARILLON INVESTMENTS, INC.	CRD# 14646	MIDDLEBURG HTS., OH
IA	01/01/2000 - 06/15/2005	LINCOLN FINANCIAL ADVISORS CORPORATION	CRD# 3978	MIDDLEBURG HEIGHTS
B	08/07/1998 - 06/15/2005	LINCOLN FINANCIAL ADVISORS CORPORATION	CRD# 3978	FORT WAYNE, IN
B	08/07/1998 - 06/15/2005	THE LINCOLN NATIONAL LIFE INSURANCE COMPANY	CRD# 2580	FORT WAYNE, IN

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
01/2021 - Present	WESTPOINT WEALTH MANAGEMENT, LLC DBA NOE FINANCIAL SERVICES, INC	INVESTMENT ADVISER REPRESENTATIVE/INSURANCE AGENT	Y	Berea, OH, United States
01/2021 - Present	Western Equity Group, Inc.	Registered Representative	Y	Raleigh, NC, United States
12/2004 - Present	TIM NOE FINANCIAL SERVICES, INC--DBA NOE FINANCIAL SERVICES, INC	PRESIDENT/OWNER	Y	Parma Heights, OH, United States
08/2006 - 01/2021	AMERITAS ADVISORY SERVICES	INVESTMENT ADVISOR REPRESENTATIVE	Y	LINCOLN, NE, United States
06/2006 - 01/2021	AMERITAS INVESTMENT COMPANY, LLC	REGISTERED REPRESENTATIVE	Y	LINCOLN., NE, United States
06/2006 - 01/2021	AMERITAS LIFE INSURANCE CORP	INSURANCE AGENT	Y	LINCOLN, NE, United States



Registration & Employment History



OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

LICENSED AS AN INDEPENDENT INSURANCE AGENT TO SELL FIXED INSURANCE PRODUCTS; *TIM NOE FINANCIAL SERVICES INC--DBA NOE FINANCIAL SERVICES INC; SAME AS BRANCH; INV REL; INSURANCE AGENCY; PRESIDENT/OWNER; START DATE=12/2004; HRS/MO= 200+; TRADING HRS/MO=130; INSURANCE SALES;



End of Report

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