



IAPD Report

JASON MOORE GIORDANO

CRD# 3091792

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

JASON MOORE GIORDANO (CRD# 3091792)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **10/06/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	GUARDIAN ASSET ADVISORS, LLC	CRD# 144958	10/13/2017
B	OSAIC WEALTH, INC.	CRD# 23131	06/14/2024

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **4** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	SECURITIES AMERICA, INC.	10205	MECHANICSBURG, PA	10/09/2017 - 06/14/2024
IA	NATIONAL PLANNING CORPORATION ("NPC OF AMERICA" IN FL & NY)	29604	MECHANICSBURG, PA	01/31/2012 - 10/11/2017
B	NATIONAL PLANNING CORPORATION	29604	MECHANICSBURG, PA	01/30/2012 - 10/11/2017

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Criminal	2



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works.

This individual is currently registered with 4 jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **OSAIC WEALTH, INC.**
Main Address: 18700 N. HAYDEN ROAD
SUITE 255
SCOTTSDALE, AZ 85255
Firm ID#: 23131

Regulator	Registration	Status	Date
B FINRA	General Securities Representative	Approved	06/14/2024
B Arizona	Agent	Approved	06/14/2024
B Florida	Agent	Approved	06/14/2024
B Illinois	Agent	Approved	06/14/2024
B Pennsylvania	Agent	Approved	06/14/2024

Branch Office Locations

OSAIC WEALTH, INC.
MECHANICSBURG, PA

Employment 2 of 2

Firm Name: **GUARDIAN ASSET ADVISORS, LLC**
Main Address: 5000 RITTER RD
SUITE 202
MECHANICSBURG, PA 17055
Firm ID#: 144958

Regulator	Registration	Status	Date
IA Pennsylvania	Investment Adviser Representative	Approved	10/13/2017

Branch Office Locations



Qualifications

GUARDIAN ASSET ADVISORS, LLC
MECHANICSBURG, PA



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams


Exam	Category	Date
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No information reported.

General Industry/Product Exams


Exam	Category	Date
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 Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
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 General Securities Representative Examination (S7)	Series 7	08/25/1998
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State Securities Law Exams

Exam	Category	Date
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 Uniform Investment Adviser Law Examination (S65)	Series 65	09/23/1998
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 Uniform Securities Agent State Law Examination (S63)	Series 63	09/04/1998
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PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	10/09/2017 - 06/14/2024	SECURITIES AMERICA, INC.	CRD# 10205	MECHANICSBURG, PA
IA	01/31/2012 - 10/11/2017	NATIONAL PLANNING CORPORATION ("NPC OF AMERICA" IN FL & NY)	CRD# 29604	MECHANICSBURG, PA
B	01/30/2012 - 10/11/2017	NATIONAL PLANNING CORPORATION	CRD# 29604	MECHANICSBURG, PA
B	11/04/2002 - 02/07/2012	LPL FINANCIAL LLC	CRD# 6413	MECHANICSBURG, PA
B	08/26/1998 - 11/05/2002	PRUDENTIAL SECURITIES INCORPORATED	CRD# 7471	NEW YORK, NY

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
06/2024 - Present	OSAIC WEALTH, INC.	Mass Transfer	Y	MECHANICSBURG, PA, United States
10/2017 - Present	GUARDIAN ASSET ADVISORS	INVESTMENT ADVISOR REP	Y	MECHANICSBURG, PA, United States
10/2017 - 06/2024	SECURITIES AMERICA INC.	REGISTERED REP	Y	MECHANICSBURG, PA, United States
02/2012 - 10/2017	NATIONAL PLANNING CORPORATION	INVESTMENT ADVISOR	Y	MECHANICSBURG, PA, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1. GUARDIAN ASSET ADVISORS, LLC- IRIA-INVESTMENT ADVISORY-INVESTMENT RELATED- START DATE: 10/2017- LOCATED AT 6321 CREEKBEND DR, MECHANICSBURG, PA 17050
2. INSURANCE SALES-AGENT-INVESTMENT RELATED-START DATE: 10/2017 LOCATED AT 6321 CREEKBEND DR, MECHANICSBURG, PA 17050
3. Sentinel Integrated Planning -DBA
<https://www.sentinelintegratedplanning.com/>
4. SENTINEL BUSINESS PLANNING



Registration & Employment History



OTHER BUSINESS ACTIVITIES

POSITION: supporting CPA's and Business Owner's **NATURE:** We are Team Based Model Consultants with an FMO (Elite Resource Team). We help CPA's deliver more value to their clients by helping them become more proactive and holistic. This includes helping CPA's communicate more effectively with their current clients to determine areas the client desires service and consultation. We facilitate the CPA to position themselves as a tax and business consultant. This results in better client service, improved client retention, increased revenue, and better time management for the CPA. We help reduce the risk that comes from referring clients to third parties, and expand their "brain trust" by working with some of the country's best specialists.

INVESTMENT RELATED: No **NUMBER OF HOURS:** 15 **SECURITIES TRADING HOURS:** 10 **START DATE:** 03/09/2018

ADDRESS: 6321 CREEKBEND DR, MECHANICSBURG, PA 17050

DESCRIPTION: Helping CPA's bring more holistic and comprehensive planning to their clients. Some of the services to facilitate this planning could include, Fixed Insurance (Approved OBA on file), Business Exit Planning, Captive Insurance, Cost Remediation, Cost Segregation, Multiple Entity Planning, Key Man Insurance, Asset Protection, Charitable LLC's, Social Security Planning and Estate Planning. Most of these services are provided by outside experts (not employed by this OBA). Investment and Retirement Planning services could also be provided, of course all securities business would be placed through our approved DBA Sentinel Integrated Planning (Securities America). Some non-security fees may be shared between outside experts, CPA's, and our selves. **ADDED 10/18/18** - We would like to provide assistance to business owners and their CPA's with business planning. Assist in determining goals and Key Performance Indicators (KPI's) and ensure accountability to the plan. Help implement a process and strategy to try and attain business owners' goals. Engage business owner client and CPA in ongoing coaching. Assist in educating CPA and business owner about exit and succession planning (usually provided by our exit planning specialist). Help and assist business owner thru planning, and outside subject matter experts (usually including clients CPA), to enhance efficient tax planning and increase personal wealth of client. Assist business continuity thru risk planning (un-foreseen event(s), such as death, divorce, disability, etc.). Assist in "life after exiting" plan for financial transition to retirement. (All retirement planning will be conducted thru previously approved outside RIA Guardian Asset Advisors)



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
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Criminal	2
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Criminal

This disclosure event involves a criminal charge against the Investment Adviser Representative that has resulted in a dismissal, plea, acquittal or conviction. The criminal matter may relate to any felony or certain misdemeanor offenses (e.g., bribery, perjury, forgery, counterfeiting, extortion, fraud, wrongful taking of property).

Disclosure 1 of 2

Reporting Source:	Individual
Court Details:	PRUDENTIAL SECURITIES S455938
Charge Date:	03/16/1991
Charge Details:	NJSC.36-2---8-17-90, POSSESSION OF FORGERY DEVICES CHARGE NJ2C21-1A, MANUFACTURING FRAUDULENT IDENTIFICATION, NJSC21-4
Felony?	
Current Status:	Final
Status Date:	07/18/1991
Disposition Details:	IN THE 8-17-90 INCIDENT I DON'T KNOW IF IT WAS A FELONY OR MISDEMEANOR, THE CASE NEVER WENT TO INDICTMENT, THERE WERE NO FINES OR PAYMENT AND NO PROBATION. I AGREED TO 55 HOURS OF COMMUNITY SERVICE AND ALL CHARGES WERE DISMISSED.
Broker Statement	THE INCIDENT ON 8-17-90 WAS FOR MAKING FAKE ID'S. MY FRIENDS AND I MADE FAKE ID'S TO GET INTO BARS WHEN WE WERE 19 YEARS OLD. CHARGES WERE DISMISSED.

Disclosure 2 of 2

Reporting Source:	Individual
Court Details:	PRUDENTIAL SECURITIES S455938
Charge Date:	08/17/1990
Charge Details:	NJSC.36-2---8-17-90, POSSESSION OF FORGERY DEVICES CHARGE NJ2C21-1A, MANUFACTURING FRAUDULENT IDENTIFICATION, NJSC21-4



Felony?

Current Status: Final

Status Date: 07/30/1991

Disposition Details: IN THE 8-17-90 INCIDENT I DON'T KNOW IF IT WAS A FELONY OR MISDEMEANOR, THE CASE NEVER WENT TO INDICTMENT, THERE WERE NO FINES OR PAYMENT AND NO PROBATION. I AGREED TO 55 HOURS OF COMMUNITY SERVICE AND ALL CHARGES WERE DISMISSED.

Broker Statement THE INCIDENT ON 8-17-90 WAS FOR MAKING FAKE ID'S. MY FRIENDS AND I MADE FAKE ID'S TO GET INTO BARS WHEN WE WERE 19 YEARS OLD. CHARGES WERE DISMISSED.



End of Report

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