IAPD Report

NATHANIEL HVID RUNNING

CRD# 3100767

When communicating online or investing with any professional, make sure you know who you're dealing with. Imposters might link to sites like BrokerCheck from phishing or similar scam websites, or through social media, trying to steal your personal information or your money.

Please contact FINRA with any concerns.

IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page http://www.nasaa.org/IAPD/IARReports.cfm

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association http://www.nasaa.org

Report Summary

NATHANIEL HVID RUNNING (CRD# 3100767)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **02/18/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	LPL FINANCIAL LLC	CRD# 6413	08/02/2016
IA	STRATEGIC WEALTH GROUP	CRD# 284430	08/11/2016

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **49** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.
Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	LPL FINANCIAL LLC	6413	MINNETONKA, MN	08/29/2017 - 10/06/2020
B	CETERA ADVISORS LLC	10299	MINNETONKA, MN	08/10/2012 - 08/11/2016
IA	CETERA ADVISORS LLC	10299	MINNETONKA, MN	08/10/2012 - 08/11/2016

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Criminal	1

Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works.
This individual is currently registered with **49** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **LPL FINANCIAL LLC**

Main Address: 1055 LPL WAY
FORT MILL, SC 29715

Firm ID#: 6413

	Regulator	Registration	Status	Date
B	FINRA	General Securities Principal	Approved	08/02/2016
B	FINRA	General Securities Representative	Approved	08/02/2016
B	FINRA	Invest. Co and Variable Contracts	Approved	08/02/2016
B	FINRA	Investment Co./Variable Contracts Prin	Approved	08/02/2016
B	Alabama	Agent	Approved	08/24/2017
B	Alaska	Agent	Approved	08/23/2017
B	Arizona	Agent	Approved	08/02/2016
B	Arkansas	Agent	Approved	08/30/2017
B	California	Agent	Approved	08/02/2016
B	Colorado	Agent	Approved	08/02/2016
B	Connecticut	Agent	Approved	05/16/2019
B	Delaware	Agent	Approved	02/03/2022
B	District of Columbia	Agent	Approved	08/02/2016

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Qualifications

	Regulator	Registration	Status	Date
B	Florida	Agent	Approved	08/02/2016
B	Georgia	Agent	Approved	08/15/2016
B	Hawaii	Agent	Approved	08/12/2016
B	Idaho	Agent	Approved	08/02/2016
B	Illinois	Agent	Approved	08/03/2016
B	Indiana	Agent	Approved	08/02/2016
B	Iowa	Agent	Approved	08/02/2016
B	Kansas	Agent	Approved	11/08/2017
B	Kentucky	Agent	Approved	08/15/2016
B	Louisiana	Agent	Approved	11/03/2017
B	Maine	Agent	Approved	08/03/2016
B	Maryland	Agent	Approved	08/02/2016
B	Massachusetts	Agent	Approved	08/05/2016
B	Michigan	Agent	Approved	08/02/2016
B	Minnesota	Agent	Approved	08/11/2016
B	Mississippi	Agent	Approved	01/20/2022
B	Missouri	Agent	Approved	08/02/2016
B	Montana	Agent	Approved	08/02/2016
B	Nebraska	Agent	Approved	08/02/2016

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Qualifications

	Regulator	Registration	Status	Date
B	Nevada	Agent	Approved	08/02/2016
B	New Jersey	Agent	Approved	01/04/2022
B	New Mexico	Agent	Approved	08/02/2016
B	New York	Agent	Approved	08/02/2016
B	North Carolina	Agent	Approved	08/12/2016
B	North Dakota	Agent	Approved	08/02/2016
B	Ohio	Agent	Approved	08/02/2016
B	Oklahoma	Agent	Approved	08/26/2016
B	Oregon	Agent	Approved	08/02/2016
B	Pennsylvania	Agent	Approved	08/02/2016
B	South Carolina	Agent	Approved	09/26/2016
B	South Dakota	Agent	Approved	08/15/2016
B	Tennessee	Agent	Approved	05/09/2019
B	Texas	Agent	Approved	08/02/2016
B	Utah	Agent	Approved	08/02/2016
B	Vermont	Agent	Approved	07/03/2019
B	Virginia	Agent	Approved	08/02/2016
B	Washington	Agent	Approved	08/12/2016
B	West Virginia	Agent	Approved	01/27/2022

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Qualifications

	Regulator	Registration	Status	Date
B	Wisconsin	Agent	Approved	08/02/2016
B	Wyoming	Agent	Approved	09/11/2017

Branch Office Locations

LPL FINANCIAL LLC
108 W PARK SQUARE, STE B
OWATONNA, MN 55060

LPL FINANCIAL LLC
7820 TERREY PINE COURT #200
EDEN PRAIRIE, MN 55347

Employment 2 of 2

Firm Name: **STRATEGIC WEALTH GROUP**

Main Address: 7820 TERREY PINE CT, #200
EDEN PRAIRIE, MN 55347

Firm ID#: 284430

	Regulator	Registration	Status	Date
IA	Minnesota	Investment Adviser Representative	Approved	08/11/2016
IA	Texas	Investment Adviser Representative	Restricted Approval	08/11/2016

Branch Office Locations

STRATEGIC WEALTH GROUP
7820 TERREY PINE CT, #200
EDEN PRAIRIE, MN 55347

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Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 2 principal/supervisory exams, 4 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

	Exam	Category	Date
B	General Securities Principal Examination (S24)	Series 24	07/30/2002
B	Investment Company Products/Variable Contracts Principal Examination (S26)	Series 26	10/20/2001

General Industry/Product Exams

	Exam	Category	Date
B	Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B	Futures Managed Funds Examination (S31)	Series 31	06/21/2005
B	General Securities Representative Examination (S7)	Series 7	05/02/2002
B	Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	08/29/1998

State Securities Law Exams

	Exam	Category	Date
IA B	Uniform Combined State Law Examination (S66)	Series 66	12/30/2002
B	Uniform Securities Agent State Law Examination (S63)	Series 63	09/03/1998

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **1** professional designation(s).

Certified Financial Planner

This representative holds or did hold **1** professional designation(s) that may have been used to qualify as an Investment Advisor representative. Please check with the appropriate designation authority for verification that the designation is still in effect. The contact information for these professional designation authorities can be found on the website for the North American Securities Administrators Association at http://www.nasaa.org

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Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	08/29/2017 - 10/06/2020	LPL FINANCIAL LLC	CRD# 6413	MINNETONKA, MN
B	08/10/2012 - 08/11/2016	CETERA ADVISORS LLC	CRD# 10299	MINNETONKA, MN
IA	08/10/2012 - 08/11/2016	CETERA ADVISORS LLC	CRD# 10299	MINNETONKA, MN
IA	09/15/2008 - 09/12/2012	LPL FINANCIAL LLC	CRD# 6413	ST. LOUIS PARK, MN
B	10/11/2001 - 09/12/2012	LPL FINANCIAL LLC	CRD# 6413	ST. LOUIS PARK, MN
IA	06/23/2003 - 12/31/2007	LINSCO/PRIVATE LEDGER CORP.	CRD# 6413	ST. LOUIS PARK, MN
B	08/31/1998 - 10/12/2001	LUTHERAN BROTHERHOOD SECURITIES CORP.	CRD# 4205	MINNEAPOLIS, MN

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
01/2021 - Present	Running Tax Service, LLC	Owner	N	Eden Prairie, MN, United States
08/2016 - Present	LPL FINANCIAL, LLC	REGISTERED REPRESENTATIVE	Y	Eden Prairie, MN, United States
08/2016 - Present	STRATEGIC WEALTH GROUP REGISTERED INVESTMENT ADVISOR, LLC	INVESTMENT ADVISER REPRESENTATIVE	Y	Eden Prairie, MN, United States
01/2013 - 08/2016	CETERA ADVISORS LLC	REGISTERED REP/IAR	Y	DENVER, CO, United States
08/2010 - 08/2016	UNITY BANK	REGISTERED REP	Y	RUSH CITY, MN, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1. 08/02/2016 - NATHANIEL RUNNING/ NON-VARIABLE INSURANCE/ 5%/ Eden Prairie, MN

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Registration & Employment History

OTHER BUSINESS ACTIVITIES

2. 08/02/2016 - AP VENTURE LLC/ REAL ESTATE RENTAL/ 1%/ Eden Prairie, MN

3. 08/02/2016 - GEN ONE LLC/ BUSINESS OWNER/ 2%/ Eden Prairie, MN

4. 08/02/2016 - CASCO VENTURES LLC/ BUSINESS OWNER/ 5%/Eden Prairie, MN

5. 08/02/2016 - WATERMARK ENHANCED SUITES OF FRIDLEY, LLC/ BUSINESS OWNER/ 2%/ Eden Prairie, MN

6. 08/23/2016: STRATEGIC WEALTH GROUP REGISTERED INVESTMENT ADVISORS, LLC - Registered Investment Advisor - INV REL Eden Prairie, MN- Start 07/18/2016 - 50% Time Spent - IAR.

7. 7/18/2018 - STRATEGIC WEALTH GROUP REGISTERED INVESTMENT ADVISORS, LLC - DBA: (Hybrid) Strategic Wealth Group RIA, LLC - Investment Related - At Reported Business Location(s) - Registered Investment Advisor Hybrid - IAR - Start Date: 07/18/2016 - 80 Hours Per Month/20 Hours During Securities Trading - Time Spent 50% - I provide investment advisory services through Strategic Wealth Group RIA, LLC, an independent investment advisor firm. I started this business activity in 07/2016. I expect to spend approximately 80 hours per month on this activity. Please see the advisory firm's Form ADV for more information about its address, the nature of its business, its owners, and its services at http://www.adviserinfo.sec.gov/IAPD. The firm is separate from and independent of LPL Financial.

8. 12/12/2018 - Watermark Senior Living Community of Golden Valley - Investment Related - Location: TBD - Other-Business Owner - Started 10/01/2018 - 2 Hours Per Month During Securities Trading.

9. 12/12/2018 - Harbor Health Management - Investment Related - 5300 4th Street NE Fridley, MN 55432 - Business Entity For Tax/Investment Purposes Only - Started 08/01/2018 - 2 Hours Per Month During Securities Trading - Manage the day-to-day operations of Watermark Enhanced care Suites.

10. 9/9/2021 - Aikin Real Assets, LLC - Investment Related - Aitkin MN 56431 - Real Estate Rental - Start Date: 09/15/2021 - 2 Hours Per Month During Securities Trading - A senior building rented to Aitkin Ops, LLC.

11. 9/9/2021 - Aitkin Ops, LLC - Investment Related - 11 Minnesota Ave S. Aitkin, MN 56431 - Other-Business Owner - Start Date: 09/15/2021 - 2 Hours Per Month During Securities Trading.

12. 03/22/2022 - Terrey Pines, LLC - Investment Related - Eden Prairie, MN 55347 - Real Estate Rental - Start Date - 02/22/2022 - 1 Hours Per Month/1 Hours During Securities.

13. 04/26/2022 - Strategic Wealth Group - Investment Related - At Reported Business Location(s) - DBA for LPL Business (entity for LPL business) - Start Date - 04/25/2022 - 35 Hours Per Month/35 Hours During Securities.

14. 12/28/2022 - Strategic Tax Services - Not Investment Related - At Reported Business Location (s) - Tax Prep/Accounting/CPA - Owner - Start Date - 02/08/2021 - 5 Hours Per Month/1 Hours During Securities Trading

15. 03/18/2024 - Fish Daddy Outdoors, LLC - Non-Inv Related - Excelsior, MN - Other - Manufacturing - Minority Investor - Started: 5/15/2023 - 1 Hr/Mo; 0 Hr During Trading.

16. 06/26/2024 - FDO, LLC - Inv Related - Lester Prairie, MN - Real Estate Rental - Started: 5/31/2024 - 1 Hr/Mo; 0 Hr During Trading.

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Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least $5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending, on appeal,* or *final*.
  - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
  - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
  - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated, settled* or *otherwise resolved*.
  - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
  - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
  - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.

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DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Criminal	1

Criminal

This disclosure event involves a criminal charge against the Investment Adviser Representative that has resulted in a dismissal, plea, acquittal or conviction. The criminal matter may relate to any felony or certain misdemeanor offenses (e.g., bribery, perjury, forgery, counterfeiting, extortion, fraud, wrongful taking of property).

Disclosure 1 of 1

Reporting Source:	Individual
Formal Charges were brought in:	State Court
Name of Court:	BOZEMAN MUNICIPAL COURT
Location of Court:	BOZEMAN, MONTANA
Docket/Case #:	WIDEE1298382
Charge Date:	01/18/1993
Charge(s) 1 of 1	
Formal Charge(s)/Description:	FALSE IDENTIFICATION
No of Counts:	1
Felony or Misdemeanor:	Misdemeanor
Plea for each charge:	GUILTY
Disposition of charge:	Convicted
Current Status:	Final
Status Date:	07/13/1993
Disposition Date:	07/13/1993
Sentence/Penalty:	MISDEMEANOR, FINE PAID OF $165.00 07/13/1993
Broker Statement	NOT PROVIDED

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End of Report

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