



IAPD Report

RALPH LESLIE THRUSH III

CRD# 3104075

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

RALPH LESLIE THRUSH III (CRD# 3104075)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **02/24/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	OSAIC ADVISORY SERVICES, LLC	CRD# 171070	02/26/2021
B	OSAIC WEALTH, INC.	CRD# 23131	08/23/2024

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **9** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	TRIAD ADVISORS LLC	25803	Lemoyne, PA	02/25/2021 - 08/23/2024
IA	AMERIPRISE FINANCIAL SERVICES, LLC.	6363	Lemoyne, PA	03/12/2018 - 02/26/2021
B	AMERIPRISE FINANCIAL SERVICES, LLC	6363	Lemoyne, PA	03/09/2018 - 02/26/2021

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative?

No



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **9** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **OSAIC WEALTH, INC.**
Main Address: 18700 N. HAYDEN ROAD
SUITE 255
SCOTTSDALE, AZ 85255
Firm ID#: 23131

	Regulator	Registration	Status	Date
B	FINRA	General Securities Representative	Approved	08/23/2024
B	FINRA	Invest. Co and Variable Contracts	Approved	08/23/2024
B	Colorado	Agent	Approved	08/23/2024
B	Florida	Agent	Approved	08/23/2024
B	Maryland	Agent	Approved	08/23/2024
B	New Jersey	Agent	Approved	08/23/2024
B	New Mexico	Agent	Approved	08/23/2024
B	North Carolina	Agent	Approved	08/23/2024
B	Pennsylvania	Agent	Approved	08/23/2024
B	South Carolina	Agent	Approved	08/23/2024

Branch Office Locations

OSAIC WEALTH, INC.
635 North 12th Street
4th Floor
Lemoyne, PA 17043



Qualifications

Employment 2 of 2

Firm Name: **OSAIC ADVISORY SERVICES, LLC**
Main Address: 2300 WINDY RIDGE PARKWAY
SUITE 750
ATLANTA, GA 30339
Firm ID#: 171070

	Regulator	Registration	Status	Date
IA	Colorado	Investment Adviser Representative	Approved	11/30/2022
IA	Florida	Investment Adviser Representative	Approved	03/05/2021
IA	Maryland	Investment Adviser Representative	Approved	03/09/2021
IA	Massachusetts	Investment Adviser Representative	Approved	05/23/2022
IA	New Jersey	Investment Adviser Representative	Approved	03/08/2021
IA	New Mexico	Investment Adviser Representative	Approved	03/03/2023
IA	North Carolina	Investment Adviser Representative	Approved	03/30/2021
IA	Pennsylvania	Investment Adviser Representative	Approved	02/26/2021
IA	South Carolina	Investment Adviser Representative	Approved	03/23/2021

Branch Office Locations

OSAIC ADVISORY SERVICES, LLC
635 North 12th Street
4th Floor
Lemoyne, PA 17043



Qualifications



PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 3 general industry/product exams, and 1 state securities law exam.

Principal/Supervisory Exams

Exam	Category	Date
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No information reported.

General Industry/Product Exams

Exam	Category	Date
B General Securities Representative Examination (S7TO)	Series 7TO	08/24/2020
B Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	11/04/1998

State Securities Law Exams

Exam	Category	Date
B Uniform Securities Agent State Law Examination (S63)	Series 63	10/12/1998



PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	02/25/2021 - 08/23/2024	TRIAD ADVISORS LLC	CRD# 25803	Lemoyne, PA
IA	03/12/2018 - 02/26/2021	AMERIPRISE FINANCIAL SERVICES, LLC.	CRD# 6363	Lemoyne, PA
B	03/09/2018 - 02/26/2021	AMERIPRISE FINANCIAL SERVICES, LLC	CRD# 6363	Lemoyne, PA
IA	03/03/2014 - 03/20/2018	WADDELL & REED	CRD# 866	CAMP HILL, PA
B	07/19/2012 - 03/20/2018	WADDELL & REED	CRD# 866	CAMP HILL, PA
B	05/27/1999 - 07/19/2012	PFS INVESTMENTS INC.	CRD# 10111	LANCASTER, PA

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
08/2024 - Present	OSAIC WEALTH, INC.	Mass Transfer	Y	Lemoyne, PA, United States
02/2021 - Present	Triad Hybrid Solutions	Investment Advisor Representative	Y	Norcross, GA, United States
02/2021 - 08/2024	Triad Advisors	Registered Representative	Y	Norcross, GA, United States
03/2018 - 02/2021	Ameriprise Financial Advisors Inc.	Registered Representative	Y	Lemoyne, PA, United States
02/2013 - 03/2018	LMST MANAGEMENT	OWNER	N	MECHANICSBURG, PA, United States
08/2012 - 03/2018	DEIBLER INSURANCE ASSOCIATES, INC	AGENT	N	CARLISLE, PA, United States
07/2012 - 03/2018	VARIOUS INSURANCE CARRIERS FOR W&R INSURANCE AGENCIES	INSURANCE AGENT	Y	MECHANICSBURG, PA, United States
07/2012 - 03/2018	WADDELL & REED, INC	ASSOCIATED PERSON	Y	CAMP HILL, PA, United States
01/2005 - 03/2018	BOB CRAIG YOUTH FOUNDATION	BOARD PRESIDENT/GOLF COMMITTEE CHAIR	N	NEW CUMBERLAND, PA, United States



Registration & Employment History



OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

- 1)GL Capital;635 N 12th Street,4th Floor,Lemoyne,PA;dba;registered rep;2/2021;160 hrs/month;6.5 hrs during trading;servicing clients
- 2)G&L Consulting;not investment related;635 N. 12th Street,4th Floor,Lemoyne,PA;marketing & management firm;assistant;2/2021;40 hrs/month;2 hrs during trading;assist with marketing & scheduling
- 3)Bob Craig Youth Foundation;not investment related;P.O Box 46;non-profit organization;board of directors;1/2005;5 hrs/month;10 minutes during trading;donate money to youth activities in central PA
- 4)Deibler Insurance Associates;not investment related;26 Westminster Drive,Carlisle,PA 17013;Erie insurance property and casualty insurance;referral associate;8/2021;4 hrs/month;5 minutes during trading;refer clients to Deibler Insurance for their home,auto,and liability insurance needs and be paid a small fee in return
- 5)Mike Baker Insurance Consulting Inc;not investment related;12540 Willow Cove Way,Knoxville,TN 37934;impaired risk life,disability,and LTC insurance;referring agent;9/2021;2 hrs/month;refer clients whom have health or physical deficiency but also a need for protection



End of Report

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