



IAPD Report

Deanne Jean Gierke

CRD# 3146371

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

Deanne Jean Gierke (CRD# 3146371)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **03/25/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	EDWARD JONES	CRD# 250	12/03/1998
IA	EDWARD JONES	CRD# 250	03/02/2007

QUALIFICATIONS

This representative is currently registered in **4** SRO(s) and **26** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

FIRM	CRD#	LOCATION	REGISTRATION DATES
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No information reported.

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	1
Customer Dispute	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **26** jurisdiction(s) and 4 SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **EDWARD JONES**
Main Address: 12555 MANCHESTER RD
ST. LOUIS, MO 63131
Firm ID#: 250

Regulator	Registration	Status	Date
B FINRA	General Securities Representative	Approved	12/03/1998
B NYSE American LLC	General Securities Representative	Approved	09/14/2011
B Nasdaq Stock Market	General Securities Representative	Approved	07/12/2006
B New York Stock Exchange	General Securities Representative	Approved	01/19/1999
B Alaska	Agent	Approved	01/06/2025
B Arizona	Agent	Approved	01/22/2003
B California	Agent	Approved	03/25/2026
B Colorado	Agent	Approved	07/31/2000
B Florida	Agent	Approved	11/20/2024
B Idaho	Agent	Approved	10/07/2002
B Kansas	Agent	Approved	12/16/2025
B Louisiana	Agent	Approved	11/14/2007
B Minnesota	Agent	Approved	04/18/2001



Qualifications

Regulator	Registration	Status	Date
B Missouri	Agent	Approved	04/11/2002
B Montana	Agent	Approved	01/22/1999
B Nebraska	Agent	Approved	07/07/2006
B Nevada	Agent	Approved	04/12/2012
B New Mexico	Agent	Approved	07/22/2025
B North Carolina	Agent	Approved	12/04/2019
B North Dakota	Agent	Approved	01/11/1999
IA North Dakota	Investment Adviser Representative	Approved	03/02/2007
B Oregon	Agent	Approved	06/28/2005
B Pennsylvania	Agent	Approved	11/16/2017
B South Dakota	Agent	Approved	05/15/2002
B Texas	Agent	Approved	04/26/2000
IA Texas	Investment Adviser Representative	Restricted Approval	08/27/2014
B Utah	Agent	Approved	08/27/2007
B Virginia	Agent	Approved	08/08/2016
B Washington	Agent	Approved	01/17/2002
B West Virginia	Agent	Approved	09/21/2021
B Wisconsin	Agent	Approved	10/14/2016



Qualifications

Regulator	Registration	Status	Date
B Wyoming	Agent	Approved	12/16/2021

Branch Office Locations

EDWARD JONES
113 WEST MAIN
BEULAH, ND 58523



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
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No information reported.

General Industry/Product Exams

Exam	Category	Date
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B Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
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B General Securities Representative Examination (S7)	Series 7	11/23/1998
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State Securities Law Exams

Exam	Category	Date
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IA B Uniform Combined State Law Examination (S66)	Series 66	01/25/2007
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B Uniform Securities Agent State Law Examination (S63)	Series 63	11/25/1998
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PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **2** professional designation(s).

Certified Financial Planner

Chartered Financial Consultant

This representative holds or did hold **2** professional designation(s) that may have been used to qualify as an Investment Advisor representative. Please check with the appropriate designation authority for verification that the designation is still in effect. The contact information for these professional designation authorities can be found on the website for the North American Securities Administrators Association at <http://www.nasaa.org>



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:



No information reported.

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
09/1998 - Present	EDWARD D. JONES & CO., L.P.	NOT PROVIDED	Y	BEULAH, ND, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

CURRENTLY SELLING TOTAL INVENTORY OF MARY KAY-NOT ACTIVE WAS A CONSULTANT FOR MARY KAY BUT AM NOT ACTIVE AND DON'T PLAN ON BEING ACTIVE. SIMPLY WANT TO SELL MY INVENTORY.

*

Brush Creek Rental

Type of business: Commercial rentals

Beulah, ND

Start date: 1/1/2005

Spouse of owner -

Hours per week: 5

Hours during trading: 0

My husband is owner and president of Brush Creek Rentals LLC.

*

Rental Property

Type of business: Pasture

Beulah, ND

Start date: 1/12/2005

Co-owner of farm land

Hours per week: 5

Hours during trading: 0

We own multiple agriculture properties that are all rented out as pasture land

*

Rental property

Beulah, ND

Start date: 9/8/2009

Owner

Hours per week: 10

Hours during trading: 0

Maintain property.

*

Farming



Registration & Employment History



OTHER BUSINESS ACTIVITIES

Type of business: hay farmer

Beulah, ND

Start date: 5/15/2016

Owner

Hours per week:5

Hours during trading: 0

We have hay land and cut, bale and sell the bales.

*

Gierke Properties

Type of business: Rental Properties

Beulah, ND

Start date: 9/1/2018

Spouse of Owner

Hours per week: 5

Hours during trading: 0

Paperwork, Maintenance

*

Beulah Leo Club

Beulah, ND

Start date: 1/1/2020

Adult Leader

Hours per week: 0

Hours during trading: 0

help to work with youth for scholarship, community service and fundraising

*

Hazen Chamber of Commerce

Hazen, ND

Start date: 1/1/2020

member

Hours per week: 0

Hours during trading: 0

Help with Chamber projects

*

Beulah Chamber of Commerce

Beulah, ND

Start date: 2/1/2020

Member

Hours per week: 0

Hours during trading: 0

Lead the board to better the business community in Beulah.

*

FFA Alumni Board

Beulah, ND

Start date: 1/1/2021

director

Hours per week: 0

Hours during trading: 0

help support the local FFA chapter through contests, fundraising and activities

*

Gierke Properties



Registration & Employment History



OTHER BUSINESS ACTIVITIES

Type of business: residential rental property

Hazen, ND

Start date: 2/1/2021

Owner

Hours per week: 0

Hours during trading: 0

Maintain property

*

Gierke Properties

Type of business: rental property

Beulah, ND

Start date: 7/15/2022

Owner

Hours per week: 0

Hours during trading: 0

Maintain property.

*

Brush Creek Rentals

Type of business: Rental

Beulah, ND

Start date: 9/1/2022

Owner

Hours per week: 0

Hours during trading: 0

Owner

Maintain commercial property.

*

Brush Creek Rental

Type of business: commercial rental

Beulah, ND

Start date: 1/1/2023

Owner

Hours per week: 0

Hours during trading: 0

Maintain property.

*

Journal

Type of business: Designing & writing

Beulah, ND

Start date: 4/1/2023

Author

Hours per week: 0

Hours during trading: 0

Writing biblical based journals.

*

Gierke Properties

Type of business: Residential Real estate rental

Beulah, ND

Start date: 7/21/2023

Trustee



Registration & Employment History



OTHER BUSINESS ACTIVITIES

Hours per week: 1

Hours during trading: 0

Maintain property

*

Book

Type of business: publish a book

Beulah, ND

Start date: 4/1/2023

Author

Hours per week: 0

Hours during trading: 0

Wrote a book titled *Adulting 101*. It is a book to help teach high school kids the basics -- checking, savings, investing, taxes.

*

Journal

Type of business: designing and writing

Beulah, ND

Start date: 4/1/2024

Author

Hours per week: 0

Hours during trading: 0

I am designing and writing Biblical based journals for less stress.

*

Gierke Properties LLC

Type of business: residential rentals

Hazen, ND

Start date: 4/22/2025

Owner

Hours per week: 0

Hours during trading: 0

Maintain property

*

Gierke Properties LLC

Type of business: Rental Properties

Beulah, ND

Start date: 5/10/2025

Secretary

Hours per week: 11

Hours during trading: 0

Maintain property

*

Gierke Properties LLC

Type of business: Residential rental

Beulah, ND

Start date: 9/4/2025

Owner

Hours per week: 0

Hours during trading: 0

Maintain property, husbands LLC

*



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	1
Customer Dispute	1

Regulatory Event

This disclosure event may include a final, formal proceeding initiated by a regulatory authority (e.g., a state securities agency, a federal regulator such as the Securities and Exchange Commission or the Commodities Futures Trading Commission, or a foreign financial regulatory body) for a violation of investment-related rules or regulations. This disclosure event may also include a revocation or suspension of an Investment Adviser Representative's authority to act as an attorney, accountant or federal contractor.

Disclosure 1 of 1

Reporting Source:	Regulator
Regulatory Action Initiated By:	NORTH DAKOTA SECURITIES DEPARTMENT
Sanction(s) Sought:	Civil and Administrative Penalt(ies) /Fine(s)
Other Sanction(s) Sought:	
Date Initiated:	07/18/2003
Docket/Case Number:	
Employing firm when activity occurred which led to the regulatory action:	EDWARD D JONES & CO, L.P.
Product Type:	Investment Contract(s)
Other Product Type(s):	
Allegations:	THE RESPONDENT SOLD STOCK IN SMARTSOURCES.COM TO A RESIDENT OF NORTH DAKOTA. THE STOCK WAS NOT REGISTERED AS A SECURITY IN NORTH DAKOTA AND DOES NOT QUALIFY FOR AN EXEMPTION FROM REGISTRATION
Current Status:	Final
Resolution:	Consent
Resolution Date:	07/18/2003
Sanctions Ordered:	Monetary/Fine \$1,000.00
Other Sanctions Ordered:	



Sanction Details: RESPONDENTS SHALL OFFER TO REPAY COMPLAINANT THE AMOUNT OF \$6,000 WHICH IS THE AMOUNT OF THE INVESTMENT PLUS 6% INTEREST AS OF JULY 6, 2003.

.....

Reporting Source: Individual

Regulatory Action Initiated By: NORTH DAKOTA SECURITIES DEPARTMENT

Sanction(s) Sought: Civil and Administrative Penalt(ies) /Fine(s)

Other Sanction(s) Sought:

Date Initiated: 07/18/2003

Docket/Case Number: N/A

Employing firm when activity occurred which led to the regulatory action: EDWARD JONES

Product Type: Investment Contract(s)

Other Product Type(s):

Allegations: THE RESPONDENT SOLD STOCK IN SMARTCOURCES.COM TO A RESIDENT OF NORTH DAKOTA. THE STOCK WAS NOT REGISTERED AS A SECURITY IN NORTH DAKOTA AND DOES NOT QUALIFY FOR AN EXEMPTION FROM REGISTRATION.

Current Status: Final

Resolution: Consent

Resolution Date: 07/18/2003

Sanctions Ordered: Monetary/Fine \$1,000.00

Other Sanctions Ordered:

Sanction Details: RESPONDENTS SHALL OFFER TO REPAY COMPLAINANT THE AMOUNT OF \$6,000 WHICH IS THE AMOUNT OF THE INVESTMENT PLUS 6% INTEREST AS OF JULY 6, 2003.



Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 1

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	EDWARD D. JONES & CO., L.P.
Allegations:	Attorney representing the client alleges the FA took advantage of a vulnerable and elderly client to purchase the client's real and personal property. Additionally, the attorney alleges these transactions were not in the client's best interest and constitute a breach of fiduciary duty. Further, the attorney alleges that the client has diminished capacity and did not include the client's attorney-in-fact in any discussions.
Product Type:	No Product
Alleged Damages:	\$0.00
Alleged Damages Amount Explanation (if amount not exact):	No specific amount of damage alleged. The firm has made a good faith determination that the damages from the alleged conduct would exceed \$5,000.00.
Is this an oral complaint?	No
Is this a written complaint?	Yes
Is this an arbitration/CFTC reparation or civil litigation?	No

Customer Complaint Information

Date Complaint Received:	10/31/2022
Complaint Pending?	No
Status:	Closed/No Action
Status Date:	10/10/2023
Settlement Amount:	
Individual Contribution Amount:	
Broker Statement	After investigation, the client has elected to pursue any grievance she may have through litigation. Complaint file closed.



End of Report

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