



## IAPD Report

# Valerie Rochelle Little Star Red-Horse Mohl

CRD# 3160944

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



## IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

### What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

### Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

### How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

### Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

### What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

### Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



## Report Summary

### Valerie Rochelle Little Star Red-Horse Mohl (CRD# 3160944)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **11/11/2025**.

### CURRENT EMPLOYERS

This individual is not currently registered as an Investment Adviser Representative.

### QUALIFICATIONS

This individual is not currently registered as an Investment Adviser Representative.

**Note:** Not all jurisdictions require IAR registration or may have an exemption from registration. Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

### REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
	CONSOLIDATED PORTFOLIO REVIEW CORP	112694	Sunnyvale, CA	10/08/2024 - 11/11/2025
	KNOWN WEALTH LLC	321745	NEW YORK, NY	12/12/2022 - 07/29/2024
	INDEPENDENT INVESTMENT BANKERS CORP.	154134	Sunnyvale, CA	11/23/2020 - 11/25/2022

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

### DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **No**



## Qualifications

### REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is not currently registered as an Investment Adviser Representative.



## Qualifications

### PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

**This individual has passed 0 principal/supervisory exams, 0 general industry/product exams, and 1 state securities law exam.**

#### Principal/Supervisory Exams

Exam	Category	Date
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No information reported.


#### General Industry/Product Exams

Exam	Category	Date
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No information reported.

#### State Securities Law Exams

Exam	Category	Date
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 IA	Uniform Investment Adviser Law Examination (S65)	Series 65	11/09/2000
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### PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



## Registration & Employment History

### PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	10/08/2024 - 11/11/2025	CONSOLIDATED PORTFOLIO REVIEW CORP	CRD# 112694	Sunnyvale, CA
IA	12/12/2022 - 07/29/2024	KNOWN WEALTH LLC	CRD# 321745	NEW YORK, NY
IA	11/23/2020 - 11/25/2022	INDEPENDENT INVESTMENT BANKERS CORP.	CRD# 154134	Sunnyvale, CA
IA	02/04/2011 - 11/03/2020	WESTERN INTERNATIONAL SECURITIES	CRD# 39262	Sunnyvale, CA
IA	07/30/2007 - 12/01/2009	WESTERN INTERNATIONAL SECURITIES	CRD# 39262	HUNTINGTON BEACH, (
IA	10/12/2004 - 05/01/2007	WESTERN INTERNATIONAL SECURITIES	CRD# 39262	HUNTINGTON BEACH, (
IA	09/09/2003 - 11/11/2004	RED-HORSE ASSET MANAGEMENT, LLC	CRD# 127610	JERSEY CITY, NJ
IA	09/19/2002 - 11/11/2004	NATIVE NATIONS ASSET MANAGEMENT	CRD# 106808	TARZANA, CA
IA	06/27/2002 - 07/01/2002	NATIVE NATIONS ASSET MANAGEMENT	CRD# 106808	TARZANA, CA

### EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
10/2024 - Present	Vanderbilt Financial Group	Registered Representative	Y	Woodbury, NY, United States
12/2004 - Present	The Red-Horse Financial Group, Inc.	Owner / President	N	Sunnyvale, CA, United States
11/1996 - Present	Red-Horse Native Productions, Inc.	President	N	Sunnyvale, CA, United States
04/1984 - Present	Executive Specialties	Owner	N	Huntington Beach, CA, United States
05/2023 - 07/2024	Known Growth LLC	Chief Executive Officer	Y	New York, NY, United States



## Registration & Employment History

### EMPLOYMENT HISTORY

Employment Dates	Employer Name	Position	Investment Related	Employer Location
07/2022 - 07/2024	Known Wealth LLC	President	Y	New York, NY, United States
11/2020 - 12/2022	Independent Investment Bankers Corp	Investment Banker	Y	Austin, TX, United States
02/2011 - 10/2020	Western International Securities, Inc.	Registered Representative	Y	Pasadena, CA, United States

### OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

- 1) The Red-Horse Financial Group, Inc. Not investment-related. Start date: 11/01/2004. Address: 530 Pine Avenue, Sunnyvale CA 94085. Title: CEO and Founder. Duties: All securities related business will be processed through Vanderbilt - this OBA is to disclose non securities consulting work that occurs through Red-Horse Financial Group such as review of operations, financial literacy training, managing RFPs for vendors, etc. Time spent during regular hours: 10%.
- 2) Red-Horse Native Productions, Inc.; Non Investment-Related. Start date: 11/01/1994. Address: 530 Pine Avenue, Sunnyvale CA 94085. Title: CEO and Founder. Duties: Non-Investment related film production work such as directing, writing and producing. Time spent during regular hours: 5%.
- 3) Executive Specialties, Inc. Not Investment-Related. Start date: 04/01/1984. Address: 530 Pine Avenue, Sunnyvale CA 94085. Title: Secretary. Duties: I am a director/shareholder (alongside my husband) but I do not actively work in the business. Time spent during regular hours: 0%.
- 4) Known Holdings, LLC. Not investment related. Start date: 07/31/2024. Address: 530 Pine Avenue, Sunnyvale CA 94085. Title: 4% Unit Holder. Duties: There is no activity nor any duties. I am a passive 4% holder of units in this LLC. Time spent during regular hours: 0%.
- 5) Boys and Girls Clubs Native Services. Not Investment-Related. Start date: 06/15/2000. Address: 1275 Peachtree Street, Atlanta, GA 30309. Title: Board Chair. Duties: I am a volunteer on this Board of the organization that is dedicated to serving our Native youth. Time spent during regular hours: 0%.
- 6) New York City Comptroller. Not investment related. Start date: 02/10/2025. Address: 1 Centre Street 8th Floor, New York NY, 10007. Title: DCIO Responsible Investing. Duties: The DCIO??" focused on Responsible Investing, will lead the Economically Targeted program as well as the Diverse and Emerging Manager program and the broader ESG program, including climate initiatives. This is an administrative position helping to identify managers and opportunities and to make suggestions, but has no trading authority or investment decision making authority as that lies within each of the NYC Pension Funds and their respective Boards of Trustees. Time spent during regular hours: 60%.
- 7) Christianity Today. Not investment related. Start date: 01/01/2025. Address: P.O. Box 788, Wheaton IL, 60187. Title: Volunteer Board Member for a religious organization Duties: Volunteer Board Member - only 4 meetings per year - in off time hours (weekends). Time spent during regular hours: 0%.



## End of Report

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