IAPD Report

Christopher William Hall

CRD# 3165624

IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page http://www.nasaa.org/IAPD/IARReports.cfm

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association http://www.nasaa.org

Report Summary

Christopher William Hall (CRD# 3165624)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **05/04/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	RAYMOND JAMES FINANCIAL SERVICES, INC.	CRD# 6694	02/04/2021
IA	RAYMOND JAMES FINANCIAL SERVICES ADVISORS, INC	CRD# 149018	02/04/2021

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **48** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.
Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	WELLS FARGO ADVISORS FINANCIAL NETWORK, LLC	11025	PARKERSBURG, WV	07/07/2006 - 02/08/2021
B	WELLS FARGO ADVISORS FINANCIAL NETWORK, LLC	11025	PARKERSBURG, WV	12/10/2004 - 02/08/2021
B	EDWARD JONES	250	ST. LOUIS, MO	06/22/1999 - 12/16/2004

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1

Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works.
This individual is currently registered with **48** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **RAYMOND JAMES FINANCIAL SERVICES, INC.**
Main Address: 880 CARILLON PARKWAY
ST. PETERSBURG, FL 33716
Firm ID#: 6694

	Regulator	Registration	Status	Date
B	FINRA	General Securities Principal	Approved	02/04/2021
B	FINRA	General Securities Representative	Approved	02/04/2021
B	FINRA	Invest. Co and Variable Contracts	Approved	02/04/2021
B	Alabama	Agent	Approved	02/08/2021
B	Alaska	Agent	Approved	02/09/2021
B	Arizona	Agent	Approved	02/04/2021
B	Arkansas	Agent	Approved	11/29/2022
B	California	Agent	Approved	02/04/2021
B	Colorado	Agent	Approved	02/04/2021
B	Connecticut	Agent	Approved	11/21/2022
B	Delaware	Agent	Approved	04/09/2024
B	District of Columbia	Agent	Approved	02/01/2022
B	Florida	Agent	Approved	02/04/2021

Qualifications

	Regulator	Registration	Status	Date
B	Georgia	Agent	Approved	02/05/2021
B	Idaho	Agent	Approved	02/04/2021
B	Illinois	Agent	Approved	03/01/2021
B	Indiana	Agent	Approved	02/04/2021
B	Iowa	Agent	Approved	03/04/2021
B	Kansas	Agent	Approved	11/21/2022
B	Kentucky	Agent	Approved	02/04/2021
B	Louisiana	Agent	Approved	02/04/2021
B	Maine	Agent	Approved	09/03/2024
B	Maryland	Agent	Approved	02/04/2021
B	Massachusetts	Agent	Approved	02/04/2021
B	Michigan	Agent	Approved	02/04/2021
B	Minnesota	Agent	Approved	02/26/2021
B	Mississippi	Agent	Approved	02/04/2021
B	Missouri	Agent	Approved	02/04/2021
B	Montana	Agent	Approved	03/02/2021
B	Nebraska	Agent	Approved	03/12/2021
B	Nevada	Agent	Approved	03/12/2021
B	New Jersey	Agent	Approved	02/04/2021

Qualifications

	Regulator	Registration	Status	Date
B	New Mexico	Agent	Approved	02/04/2021
B	New York	Agent	Approved	02/04/2021
B	North Carolina	Agent	Approved	02/04/2021
B	North Dakota	Agent	Approved	02/10/2025
B	Ohio	Agent	Approved	02/04/2021
B	Oklahoma	Agent	Approved	02/05/2021
B	Oregon	Agent	Approved	03/08/2021
B	Pennsylvania	Agent	Approved	02/04/2021
B	South Carolina	Agent	Approved	02/04/2021
B	South Dakota	Agent	Approved	08/12/2024
B	Tennessee	Agent	Approved	02/18/2021
B	Texas	Agent	Approved	02/04/2021
B	Utah	Agent	Approved	03/19/2024
B	Vermont	Agent	Approved	03/16/2021
B	Virginia	Agent	Approved	02/13/2021
B	Washington	Agent	Approved	02/04/2021
B	West Virginia	Agent	Approved	02/04/2021
B	Wisconsin	Agent	Approved	03/02/2021
B	Wyoming	Agent	Approved	02/04/2021

Qualifications

Regulator	Registration	Status	Date

Branch Office Locations

RAYMOND JAMES FINANCIAL SERVICES
1101 Rosemar Road
Suite A
Parkersburg, WV 26105

RAYMOND JAMES FINANCIAL SERVICES
416 Hart Street
Suite A
Marietta, OH 45750

RAYMOND JAMES FINANCIAL SERVICES
246 W 5th Street
Suite 102
Marysville, OH 43040

RAYMOND JAMES FINANCIAL SERVICES
1101 Rosemar Rd. Ste. A
Parkersburg, WV 26105

Employment 2 of 2

Firm Name: **RAYMOND JAMES FINANCIAL SERVICES ADVISORS, INC**

Main Address: 880 CARILLON PARKWAY
SAINT PETERSBURG, FL 33716

Firm ID#: 149018

	Regulator	Registration	Status	Date
IA	Florida	Investment Adviser Representative	Approved	11/13/2024
IA	Ohio	Investment Adviser Representative	Approved	02/08/2021
IA	Texas	Investment Adviser Representative	Restricted Approval	02/04/2021
IA	West Virginia	Investment Adviser Representative	Approved	02/12/2021

Branch Office Locations

RAYMOND JAMES FINANCIAL SERVICES ADVISORS, INC
416 Hart Street
Suite A
Marietta, OH 45750

RAYMOND JAMES FINANCIAL SERVICES ADVISORS, IN
1101 Rosemar Rd Ste A
Parkersburg, WV 26105

Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 1 principal/supervisory exam, 3 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

	Exam	Category	Date
B	General Securities Principal Examination (S24)	Series 24	06/10/2005

General Industry/Product Exams

	Exam	Category	Date
B	Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B	General Securities Representative Examination (S7)	Series 7	06/21/1999
B	Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	01/15/1999

State Securities Law Exams

	Exam	Category	Date
IA	Uniform Investment Adviser Law Examination (S65)	Series 65	07/17/2006
B	Uniform Securities Agent State Law Examination (S63)	Series 63	06/24/1999

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.

Only professional designations listed in Question 8 of the Form U4 will appear in this section if the appropriate box is checked and verified by the issuing organization at the time of the filing. Learn more about eligible designations at IARD and NASAA.

Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	07/07/2006 - 02/08/2021	WELLS FARGO ADVISORS FINANCIAL NETWORK, LLC	CRD# 11025	PARKERSBURG, WV
B	12/10/2004 - 02/08/2021	WELLS FARGO ADVISORS FINANCIAL NETWORK, LLC	CRD# 11025	PARKERSBURG, WV
B	06/22/1999 - 12/16/2004	EDWARD JONES	CRD# 250	ST. LOUIS, MO
B	01/21/1999 - 05/19/1999	SIGNATOR INVESTORS, INC.	CRD# 468	BOSTON, MA

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
02/2021 - Present	Raymond James Financial Services Advisors, Inc.	Registered Rep.	Y	Marietta, OH, United States
02/2021 - Present	Raymond James Financial Services, Inc.	Registered Rep.	Y	Marietta, OH, United States
12/2004 - 02/2021	WELLS FARGO ADVISORS FINANCIAL NETWORK LLC	Managing Principal	Y	PARKERSBURG, WV, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1) 1101 Rosemar Road, Parkersburg, WV, 26105, Rental Real Estate P/T: I/R: Yes 10/15/19 HPM: 0-1 HPM TH: 0-1
(2) Chris Hall Investments, LLC 416 Hart St Ste A, Marietta, OH, 45750, Support Company - Owner P/T: Officer - CEO I/R: No 04/16/18 HPM 81+ HPM TH: 41+ holds the ownership interest in Hall Financial Advisors
(3) Elite Sports Center 2000 1st Ave, Parkersburg, WV, 26101, Board Member, Board Subcommittee Member, Officer, or Director P/T: Other I/R: No 08/14/08 HPM: 0-1 HPM T Hs: 0-1 Attend board meetings to help provide guidance for the overall management of the facility.
(4) Hall Financial Advisors 416 Hart St Ste A, Marietta, OH, 45750, Support Company - Owner P/T: Independent Contractor I/R: No 04/16/18 HPM: 81+ HPM TH: 81+ owner and Financial Advisor of Hall Financial Advisors
(5) Hall Financial Advisors Giving Foundation 416 Hart St Ste A, Marietta, OH, 45750, Non profit P/T: Director I/R: Yes 03/15/19 HPMs: 0-1 HPM TH: 41+ provide guidance on charity needs locally
(6) Karfoma 1101 Rosemar Rd Ste A, Parkersburg, WV, 26105-8287, Business Owner P/T: Partner I/R: Yes 07/29/25 HPM 0-1 HPM TH: 0-1 i am a passive investor with no day to day responsibilities
(7) Rosemar Holdings, LLC 1101 Rosemar Rd, Ste A, Parkersburg, WV, 26105, Rental Real Estate P/T: Owner/Proprietor I/R: Yes 11/28/11 HPM: 0-1 HPM TH: 0-1 Help manage the property that houses our business office.
(8) Scotsman LLC 305 S 5th St, Marietta, OH, 45750, Business Owner P/T: Owner/Proprietor I/R: Yes 02/25/24 HPM: 0-1 HPM

Registration & Employment History

OTHER BUSINESS ACTIVITIES

0-1 I am a passive owner in the LLC and do not have any day to day responsibilities.
(9) St. Lukes Lutheran Church 401 Scammel St, Marietta, OH, 45750, Non profit P/T: Committee Member I/R: Yes 01/01/08 HPM: 0-1 HPM TH: 0-1 Finance committee member of my local Church that helps provide guidance for the overall finances of the Church; work on budgets and advice on the budget forecasts.
(10) Twin Rivers Holdings, LLC 416 Hart St Ste A, Marietta, OH, 45750, Rental Real Estate P/T: Partner I/R: Yes 08/01/14 HPM: 0-1 HPM TH: 0-1 Help manage the property that houses our business office.
(11) Webb' Rights, LLC 305 S 5th Street, Marietta, OH, 45750, Real Estate Brokerage/ Agent or Development P/T: I/R: Yes 09/22/15 HPM: 0-1 HPM TH: 0-1
(12) Hall Financial Advisors Holdings Company, LLC Address: 1101 Rosemar Road, Parkersburg, WV, 26105, United States Activity Type: Support Company - Owner P/T: Officer - CEO I/R: No 10/01/21 HPM: 81+ HPM TH: 41+ Description of duties: CEO and Financial Advisor
(13) Hall Financial Advisors, Legacy Partners LLC Address: 1101 Rosemar Road, Parkersburg, WV, 26105, United States Activity Type: Support Company - Owner P/T: Officer - CEO I/R: No 10/01/21 HPM: 0-1 HPM TH: 0-1 Description of duties: owner and Financial Advisor
(14) Hall Growth Partners, LLC,1101 Rosemar Rd Ste A, Parkersburg, WV, 26105 Business Owner P/T: Partner I/R No: 08/01/25 HPM: 0-1 HPM TH: 0-1 Duties: This company owns a portion of Karageorge Wealth Management (EJR02) in Athens, OH.
(15) Scarlet Aviation LLC, 305 S 5th St, Marietta, OH, 45750, Business Owner, P/T Owner/Proprietor I/R: No 03/28/26 HPM 01-HPM TH 0-1 Duties: This LLC is 50% owner of MOV Aviation LLC

Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least $5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending, on appeal,* or *final*.
  - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
  - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
  - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated, settled* or *otherwise resolved*.
  - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
  - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
  - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.

DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 1

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	WELLS FARGO FINANCIAL NETWORK, LLC
Allegations:	CLAIMANTS, RESIDENTS OF WEST VIRGINIA ALLEGE FA EXCESSIVELY TRADED MUTUAL FUNDS WITHIN TWO VARIABLE ANNUITY VEHICLES PURCHASED IN THEIR ACCOUNT IN 2008. CLAIMANTS SEEK COMPENSATORY DAMAGES IN AN AMOUNT NOT SPECIFIED BUT REASONABLY BELIEVED TO EXCEED $5,000.
Product Type:	Annuity-Variable
Alleged Damages:	$0.00
Alleged Damages Amount Explanation (if amount not exact):	CLAIMANTS SEEK COMPENSATORY DAMAGES IN AN AMOUNT NOT SPECIFIED BUT REASONABLY BELIEVED TO EXCEED $5,000.
Is this an oral complaint?	No
Is this a written complaint?	Yes
Is this an arbitration/CFTC reparation or civil litigation?	Yes
Arbitration/Reparation forum or court name and location:	FINRA
Docket/Case #:	10-02079

Filing date of arbitration/CFTC reparation or civil litigation:	05/12/2010

Customer Complaint Information

Date Complaint Received:	05/20/2010
Complaint Pending?	No
Status:	Settled
Status Date:	06/26/2013
Settlement Amount:	$150,000.00
Individual Contribution Amount:	$0.00
Broker Statement	WITHOUT ADMITTING ANY LIABILITY, THE MATTER WAS SETTLED FOR $150,000.00 IN ORDER TO AVOID THE COSTS AND UNCERTAINTY OF FURTHER LITIGATION. MR. HALL WAS NOT A NAMED RESPONDENT IN THE MATTER.

End of Report

This page is intentionally left blank.

