



IAPD Report

WILLIAM PATRICK PREMOCK

CRD# 3176564

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Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

WILLIAM PATRICK PREMOCK (CRD# 3176564)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **02/26/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	CONCURRENT INVESTMENT ADVISORS, LLC	CRD# 323135	08/08/2023

QUALIFICATIONS

This representative is currently registered in **0** SRO(s) and **2** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration. Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	PURSHE KAPLAN STERLING INVESTMENTS	35747	Fort Lauderdale, FL	08/08/2023 - 12/31/2024
IA	WELLS FARGO ADVISORS FINANCIAL NETWORK, LLC	11025	FT LAUDERDALE, FL	11/02/2017 - 08/07/2023
B	WELLS FARGO ADVISORS FINANCIAL NETWORK, LLC	11025	FT LAUDERDALE, FL	11/01/2017 - 08/07/2023

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Criminal	2
Customer Dispute	1



Qualifications

REGISTRATIONS



This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **2** jurisdiction(s) and **0** SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **CONCURRENT INVESTMENT ADVISORS, LLC**

Main Address: 100 S. ASHLEY DRIVE
SUITE 830
TAMPA, FL 33602

Firm ID#: 323135

	Regulator	Registration	Status	Date
	Florida	Investment Adviser Representative	Approved	08/08/2023
	Texas	Investment Adviser Representative	Restricted Approval	11/08/2023

Branch Office Locations

CONCURRENT INVESTMENT ADVISORS, LLC

2400 East Commercial Blvd
Suite 820
Fort Lauderdale, FL 33308



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 3 general industry/product exams, and 1 state securities law exam.

Principal/Supervisory Exams

Exam	Category	Date
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No information reported.

General Industry/Product Exams

Exam	Category	Date
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B Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
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B Futures Managed Funds Examination (S31)	Series 31	07/29/2004
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B General Securities Representative Examination (S7)	Series 7	04/14/1999
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State Securities Law Exams

Exam	Category	Date
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IA B Uniform Combined State Law Examination (S66)	Series 66	04/06/2001
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PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	08/08/2023 - 12/31/2024	PURSHE KAPLAN STERLING INVESTMENTS	CRD# 35747	Fort Lauderdale, FL
IA	11/02/2017 - 08/07/2023	WELLS FARGO ADVISORS FINANCIAL NETWORK, LLC	CRD# 11025	FT LAUDERDALE, FL
B	11/01/2017 - 08/07/2023	WELLS FARGO ADVISORS FINANCIAL NETWORK, LLC	CRD# 11025	FT LAUDERDALE, FL
B	06/01/2009 - 11/02/2017	MORGAN STANLEY	CRD# 149777	FT. LAUDERDALE, FL
IA	06/01/2009 - 11/02/2017	MORGAN STANLEY	CRD# 149777	FT. LAUDERDALE, FL
B	04/02/2007 - 06/01/2009	MORGAN STANLEY & CO. INCORPORATED	CRD# 8209	FT. LAUDERDALE, FL
IA	04/02/2007 - 06/01/2009	MORGAN STANLEY & CO. INCORPORATED	CRD# 8209	FT. LAUDERDALE, FL
IA	01/14/2005 - 04/02/2007	MORGAN STANLEY	CRD# 7556	FT. LAUDERDALE, FL
B	01/14/2005 - 04/02/2007	MORGAN STANLEY DW INC.	CRD# 7556	FT. LAUDERDALE, FL
IA	04/19/1999 - 01/19/2005	MERRILL LYNCH PIERCE FENNER & SMITH INC.	CRD# 7691	FORT LAUDERDALE, FL
B	04/15/1999 - 01/19/2005	MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED	CRD# 7691	NEW YORK, NY

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
08/2023 - Present	Concurrent Investment Advisors LLC	Investment Adviser Representative	Y	Fort Lauderdale, FL, United States
08/2023 - Present	Purshe Kaplan Sterling Investments	Reg. Rep.	Y	Albany, NY, United States
11/2017 - Present	Tidwell-Premock-Basilone Private Wealth Management	Owner	Y	Fort Lauderdale, FL, United States
11/2017 - 08/2023	WELLS FARGO ADVISORS FINANCIAL NETWORK, LLC	REGISTERED REP	Y	FORT LAUDERDALE, FL, United States



Registration & Employment History

EMPLOYMENT HISTORY

Employment Dates	Employer Name	Position	Investment Related	Employer Location
11/2017 - 08/2023	Wells Fargo Advisors Financial Network, LLC	Senior Financial Advisor	Y	Fort Lauderdale, FL, United States
01/2005 - 12/2017	Morgan Stanley	VP, Wealth Advisor	Y	Fort Lauderdale, FL, United States
01/2015 - 11/2017	MORGAN STANLEY PRIVATE BANK, NATIONAL ASSOCIATION	FINANCIAL ADVISOR	Y	NEW YORK, NY, United States
06/2009 - 11/2017	MORGAN STANLEY	FINANCIAL ADVISOR	Y	FT. LAUDERDALE, FL, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1. Concurrent Investment Advisors LLC dba Tidwell-Premock-Basilone Private Wealth Management. Investment Related. 2400 E. Commercial Boulevard, Fort Lauderdale, Florida 33316. RIA. Investment Advisor Representative(IAR)/Financial Advisor. 5/15/2023. 40 hours/month all during trading hours. Provide financial/investment advice and manage accounts/portfolios based on the needs of clients.
2. Fixed Insurance. Not Investment Related. 2400 E. Commercial Boulevard, Fort Lauderdale, Florida 33316. Independent Insurance Agency. Agent. Fixed/Traditional Insurance. 5/15/2023. 1 hr/month all during trading hours. Provide insurance advice based on the needs of client(s).
3. PRE Holding Company. Not Investment Related. 1410 SE 11 Street, Fort Lauderdale, Florida 33316. Holding Company. Owner/MGR. 6/6/2007. 1 hr/month spent on this business with 0 hrs during trading hrs. Vacant land investment property held on LLC.
4. Manager; ATLAS Marine 39, LLC; investment related: No; Location of the business: 1410 SE 11 Street Fort Lauderdale FL 33316 United States; Description of the business: LLC holds title to my boat which I charter from time to time.; Responsibilities Duties: Manage overall operations of the boat.; Start date with business: 2022-12-05; Hours per month devoted to business during trading hours: 1; Hours per month devoted to business outside trading hours: 1; Percentage of total yearly compensation expected to be derived from the business: 0;
5. Tidwell Premock Basilone Private Wealth Management Holdings, LLC; Investment Related Yes. At Registered Location. Support Company Owner. 11/2023. Less than 1% of time monthly.



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Criminal	2
Customer Dispute	1

Criminal

This disclosure event involves a criminal charge against the Investment Adviser Representative that has resulted in a dismissal, plea, acquittal or conviction. The criminal matter may relate to any felony or certain misdemeanor offenses (e.g., bribery, perjury, forgery, counterfeiting, extortion, fraud, wrongful taking of property).

Disclosure 1 of 2

Reporting Source: Individual

Court Details: BROWARD COUNTY, FLORIDA CIRCUIT COURT 06-18657CF10A

Charge Date: 10/18/2006

Charge Details: POSSESSION OF COCAINE, FELONY, 1 COUNT, NOT GUILTY, NOT INVESTMENT RELATED.
DUI, MISDEMEANOR, 1 COUNT, NOT GUILTY, NOT INVESTMENT RELATED.
REFUSAL TO SUBMIT BREATH TEST, MISDEMEANOR, 1 COUNT, NOT GUILTY, NOT INVESTMENT RELATED.

Felony? Yes

Current Status: Final

Status Date: 12/18/2007

Disposition Details: POSSESSION CHARGE DISMISSED ON 12/18/2007.

Disclosure 2 of 2

Reporting Source: Individual

Formal Charges were brought in: State Court

Name of Court: IN THE CIRCUIT COURT, TWENTIETH JUDICIAL CIRCUIT

Location of Court: IN AND FOR COLLIER COUNTY, FLORIDA

Docket/Case #: 112000CF002647A

Charge Date: 11/12/2000

Charge(s) 1 of 1



Formal Charge(s)/Description:	POSSESSION OF A CONTROLLED SUBSTANCE
No of Counts:	1
Felony or Misdemeanor:	Felony
Plea for each charge:	NOT GUILY
Disposition of charge:	NOLLE PROSSED IN OPEN COURT
Current Status:	Final
Status Date:	03/19/2001
Disposition Date:	03/19/2009
Sentence/Penalty:	FINAL DISPOSITION - NOLLE PROSSED



Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 1

Reporting Source: Firm

Employing firm when activities occurred which led to the complaint: MERRILL LYNCH, PIERCE, FENNER & SMITH

Allegations: CUSTOMER ALLEGES FA FAILED TO FOLLOW INSTRUCTIONS. CUSTOMER DOES NOT SPECIFY DAMAGES.

Product Type: Other

Other Product Type(s): BONDS

Alleged Damages: \$0.00

Customer Complaint Information

Date Complaint Received: 04/28/2005

Complaint Pending? No

Status: Closed/No Action

Status Date: 05/27/2005

Settlement Amount:

Individual Contribution Amount:

Firm Statement COMPLAINT WAS INITIALLY MADE BY THIRD PARTY NOT AUTHORIZED ON THE ACCOUNT. MERRILL LYNCH SUBSEQUENTLY SPOKE WITH CLIENT REGARDING THE COMPLAINT. MERRILL LYNCH INVESTIGATED THE ALLEGATIONS SET FORTH IN CUSTOMER'S COMPLAINT AND FOUND NO MERIT. AFTER REVIEWING THE ACCOUNT AND DISCUSSING THE FACTS WITH CLIENT, MERRILL LYNCH RESPONDED TO THE CUSTOMER IN WRITING ON 05/27/05.

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: MERRILL LYNCH, PIERCE, FENNER & SMITH

Allegations: CUSTOMER ALLEGES FA FAILED TO FOLLOW INSTRUCTIONS. CUSTOMER DOES NOT SPECIFY DAMAGES.

Product Type: Other

Other Product Type(s): BONDS

Alleged Damages: \$0.00

Customer Complaint Information



Date Complaint Received: 04/28/2005
Complaint Pending? No
Status: Denied
Status Date: 05/27/2005
Settlement Amount:
Individual Contribution Amount:



End of Report

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