



IAPD Report

ADAM DENNIS STRASESKE

CRD# 3197102

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

ADAM DENNIS STRASESKE (CRD# 3197102)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **11/12/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	STRATEGIC FINANCIAL CONCEPTS, LLC	CRD# 141849	11/13/2008
B	LPL FINANCIAL LLC	CRD# 6413	09/08/2009

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **30** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	MUTUAL SERVICE CORPORATION	4806	AUSTIN, TX	11/03/2008 - 09/08/2009
IA	CHOICE ADVISORY GROUP, INC.	135226	AUSTIN, TX	03/23/2006 - 12/31/2006
B	CHOICE INVESTMENTS, INC.	17665	AUSTIN, TX	06/17/2002 - 12/31/2006

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Criminal	1



Qualifications














REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works.

This individual is currently registered with **30** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **LPL FINANCIAL LLC**
Main Address: 1055 LPL WAY
FORT MILL, SC 29715
Firm ID#: 6413

	Regulator	Registration	Status	Date
	FINRA	General Securities Principal	Approved	09/08/2009
	FINRA	General Securities Representative	Approved	09/08/2009
	Alabama	Agent	Approved	07/14/2023
	Arizona	Agent	Approved	05/08/2023
	Arkansas	Agent	Approved	03/16/2023
	California	Agent	Approved	01/03/2024
	Colorado	Agent	Approved	02/07/2023
	Connecticut	Agent	Approved	01/11/2023
	Florida	Agent	Approved	03/17/2022
	Georgia	Agent	Approved	01/11/2023
	Idaho	Agent	Approved	11/17/2025
	Illinois	Agent	Approved	02/16/2023
	Indiana	Agent	Approved	01/24/2022



Qualifications

	Regulator	Registration	Status	Date
B	Iowa	Agent	Approved	07/02/2024
B	Kansas	Agent	Approved	02/07/2022
B	Louisiana	Agent	Approved	09/08/2009
B	Maryland	Agent	Approved	05/16/2023
B	Michigan	Agent	Approved	01/11/2023
B	Minnesota	Agent	Approved	01/16/2024
B	Mississippi	Agent	Approved	07/17/2025
B	Missouri	Agent	Approved	03/17/2022
B	New Mexico	Agent	Approved	01/10/2023
B	North Dakota	Agent	Approved	10/02/2023
B	Ohio	Agent	Approved	04/05/2022
B	Oklahoma	Agent	Approved	09/08/2009
B	Oregon	Agent	Approved	08/25/2024
B	Pennsylvania	Agent	Approved	11/13/2025
B	South Carolina	Agent	Approved	02/06/2024
B	Tennessee	Agent	Approved	05/18/2023
B	Texas	Agent	Approved	09/08/2009
B	Virginia	Agent	Approved	07/16/2025
B	Washington	Agent	Approved	06/06/2019



Qualifications

Regulator	Registration	Status	Date
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Branch Office Locations

LPL FINANCIAL LLC 2201 DOUBLE CREEK DR, STE 5005 ROUND ROCK, TX 78664	LPL FINANCIAL LLC GEORGETOWN, TX
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Employment 2 of 2

Firm Name:	STRATEGIC FINANCIAL CONCEPTS, LLC
Main Address:	901 NE LOOP 410 SUITE 100 SAN ANTONIO, TX 78209
Firm ID#:	141849

Regulator	Registration	Status	Date
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<div>IA</div> Texas	Investment Adviser Representative	Approved	11/13/2008
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Branch Office Locations

STRATEGIC FINANCIAL CONCEPTS, LLC 901 NE LOOP 410 SUITE 100 SAN ANTONIO, TX 78209



Qualifications



PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 1 principal/supervisory exam, 2 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

	Exam	Category	Date
B	General Securities Principal Examination (S24)	Series 24	11/04/2008

General Industry/Product Exams

	Exam	Category	Date
B	Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B	General Securities Representative Examination (S7)	Series 7	04/23/1999

State Securities Law Exams

	Exam	Category	Date
IA	Uniform Investment Adviser Law Examination (S65)	Series 65	07/23/1999
B	Uniform Securities Agent State Law Examination (S63)	Series 63	06/12/1999



PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	11/03/2008 - 09/08/2009	MUTUAL SERVICE CORPORATION	CRD# 4806	AUSTIN, TX
IA	03/23/2006 - 12/31/2006	CHOICE ADVISORY GROUP, INC.	CRD# 135226	AUSTIN, TX
B	06/17/2002 - 12/31/2006	CHOICE INVESTMENTS, INC.	CRD# 17665	AUSTIN, TX
IA	04/05/2005 - 07/03/2006	CHOICE INVESTMENTS, INC.	CRD# 17665	AUSTIN, TX
B	02/14/2001 - 06/15/2001	LINSKO/PRIVATE LEDGER CORP.	CRD# 6413	FORT MILL, SC
B	03/27/2000 - 02/08/2001	MORGAN KEEGAN & COMPANY, INC.	CRD# 4161	MEMPHIS, TN
B	04/26/1999 - 03/30/2000	DEAN WITTER REYNOLDS INC.	CRD# 7556	PURCHASE, NY

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
09/2009 - Present	LPL FINANCIAL, LLC	Registered Representative	Y	ROUND ROCK, TX, United States
11/2008 - Present	STRATEGIC FINANCIAL CONCEPTS, LLC	Investment Adviser Representative	Y	ROUND ROCK, TX, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1. 11/16/2009: REGISTERED INVESTMENT ADVISOR - STRATEGIC FINANCIAL CONCEPTS, LLC - (HYBRID RIA) COMPENSATION IS A PERCENTAGE OF THE ASSETS UNDER MANAGEMENT . COMPENSATION ALSO COMES FROM AN HOURLY FEE OR FLAT/FIXED FEE FROM A FINANCIAL PLAN. - TIME SPENT 40% - AUSTIN, TX.
2. 2/2/2010: NON-VARIABLE INSURANCE - NATIONAL FINANCIAL ALLIANCE - OFFER PROSPECTIVE CLIENTS LIFE, DISABILITY, AND FIXED ANNUITIES DEPENDING UPON THEIR WANTS AND NEEDS. - TIME SPENT 10% - AUSTIN, TX.
3. 11/5/2012: REAL ESTATE RENTAL - MANOR FITNESS PROPERTIES, LLC - ONE COMMERCIAL PROPERTY. NO RENTERS ARE CUSTOMERS OR ADVISORS. - TIME SPENT 1% - MANOR, TX.



Registration & Employment History



OTHER BUSINESS ACTIVITIES

4. 06/24/2013 - NFA WEALTH MANAGEMENT - DBA ONLY - NFA WEALTH MANAGEMENT - MARKETING NAME FOR SECURITIES AND FEE-BASED BUSINESS. I PROVIDE INVESTMENT ADVICE. - 10% OF TIME SPENT - AUSTIN, TX
5. 04/17/2014 - GEORGETOWN ASSET MANAGEMENT, LLC - FOR ACCOUNTING PURPOSES ONLY - LLC FOR TAX PURPOSES. - TIME SPENT 5% - AUSTIN, TX
6. 07/07/2016 - Strategic Financial Concepts, LLC - Registered Investment Advisor DBA - Red Oak Financial Asset Management - INV REL - Start 06/01/2016 - 2201 Double Creek Drive, 5005, Round Rock, TX 78664 - Investment Advisory Business Only - 80 Hr/Mo; 20 Hours During Securities Trading.
7. 4/26/2017 - No Business Name - Investment Related - Home Based - Other-Author - Co-Author - Started 04/01/17 - 5 Hours Per Month/0 Hours During Securities Trading - "Home Run Financial Planning".
8. 12/8/2017 - NFA Institutional Services - Investment Related - At Reported Business Location(s) - DBA for LPL Business (entity for LPL business) - Started 12/13/2017 - 10 Hours Per Month/0 Hours During Securities Trading.
9. 12/14/2017 - NFA Insurance - Investment Related - At Reported Business Location(s) - DBA for LPL Business (entity for LPL business) - Started 12/13/2017 - 10 Hours Per Month/0 Hours During Securities Trading.
10. 10/1/2020 - Strategic Financial Concepts, LLC - DBA: (Hybrid) Burnet Wealth Management - Investment Related - At Reported Business Location(s) - Registered Investment Advisor DBA - IAR - Started 09/15/2020 - 40 Hours Per Month/30 Hours During Securities Trading.
11. 3/11/2021 - Manor Plaza, llc - Investment Related - Location/Address: NA - Real Estate Rental - Started 06/13/2017 - 0 Hours Per Month - Building has been completed and is partially leased.



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Criminal	1

Criminal

This disclosure event involves a criminal charge against the Investment Adviser Representative that has resulted in a dismissal, plea, acquittal or conviction. The criminal matter may relate to any felony or certain misdemeanor offenses (e.g., bribery, perjury, forgery, counterfeiting, extortion, fraud, wrongful taking of property).

Disclosure 1 of 1

Reporting Source:	Individual
Formal Charges were brought in:	State Court
Name of Court:	Williamson County Court
Location of Court:	Williamson County, TX
Docket/Case #:	95-0508-1
Charge Date:	12/27/1994
Charge(s) 1 of 1	
Formal Charge(s)/Description:	Theft- MB
No of Counts:	1
Felony or Misdemeanor:	Misdemeanor
Plea for each charge:	No Contest
Disposition of charge:	Reduced
Date of Amended Charge:	06/05/1995
Charge was Amended or reduced to:	Theft- B misdemeanor reduced to Theft- C misdemeanor
Amended No of Counts:	1
Amended Charge:	Misdemeanor
Amended Plea:	No contest
Disposition of Amended Charge:	Deferred Adjudication
Current Status:	Final



Status Date:	12/11/1995
Disposition Date:	12/11/1995
Sentence/Penalty:	Sentence: 180 days probation, 6/5/1995; end date of penalty- 12/11/1995; Fine: \$99.50 & \$155.50 deferment fees, paid 8/5/1995
Broker Statement	All charges dismissed upon completion of all court ordered conditions.



End of Report

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