



IAPD Report

RONALD GRAY KISER JR

CRD# 3228861

<u>Section Title</u>	<u>Page(s)</u>
Report Summary	1
Qualifications	2 - 4
Registration and Employment History	6 - 7
Disclosure Information	8



When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

RONALD GRAY KISER JR (CRD# 3228861)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **02/20/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	KESTRA INVESTMENT SERVICES, LLC	CRD# 42046	06/23/2021
IA	KESTRA PRIVATE WEALTH SERVICES, LLC	CRD# 155193	06/23/2021

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **12** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	TRUIST ADVISORY SERVICES, INC.	283390	ROANOKE, VA	09/27/2016 - 06/18/2021
B	TRUIST INVESTMENT SERVICES, INC.	17499	NORFOLK, VA	01/11/2005 - 06/18/2021
IA	SUNTRUST INVESTMENT SERVICES, INC.	17499	NORFOLK, VA	03/07/2005 - 12/31/2016

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Termination	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **12** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **KESTRA INVESTMENT SERVICES, LLC**
Main Address: 5707 SOUTHWEST PARKWAY
BUILDING 2, SUITE 400
AUSTIN, TX 78735
Firm ID#: 42046

	Regulator	Registration	Status	Date
B	FINRA	General Securities Principal	Approved	06/23/2021
B	FINRA	General Securities Representative	Approved	06/23/2021
B	FINRA	Invest. Co and Variable Contracts	Approved	06/23/2021
B	FINRA	Investment Co./Variable Contracts Prin	Approved	06/23/2021
B	FINRA	Municipal Securities Principal	Approved	06/23/2021
B	FINRA	Municipal Securities Representative	Approved	06/23/2021
B	FINRA	Registered Options Principal	Approved	06/23/2021
B	Alabama	Agent	Approved	06/24/2021
B	Colorado	Agent	Approved	05/16/2024
B	Florida	Agent	Approved	09/22/2021
B	Georgia	Agent	Approved	06/23/2021
B	Maryland	Agent	Approved	04/25/2023
B	Michigan	Agent	Approved	06/23/2021



Qualifications

Regulator	Registration	Status	Date
B Nevada	Agent	Approved	06/23/2021
B North Carolina	Agent	Approved	06/25/2021
B Ohio	Agent	Approved	07/23/2021
B Pennsylvania	Agent	Approved	06/29/2021
B South Carolina	Agent	Approved	09/08/2025
B Virginia	Agent	Approved	06/23/2021

Branch Office Locations

NFP ADVISOR SERVICES, LLC
 3704 Pacific Avenue
 Suite 200
 Virginia Beach, VA 23451

NFP ADVISOR SERVICES, LLC
 4376 Lankford Hwy
 Unit B
 Exmore, VA 23350

Employment 2 of 2

Firm Name: **KESTRA PRIVATE WEALTH SERVICES, LLC**
 Main Address: 5707 SOUTHWEST PARKWAY
 BLDG. 2 STE 400
 AUSTIN, TX 78735
 Firm ID#: 155193

Regulator	Registration	Status	Date
IA Virginia	Investment Adviser Representative	Approved	06/23/2021

Branch Office Locations

KESTRA PRIVATE WEALTH SERVICES, LLC
 4445 Corporation Lane
 Suite 218
 Virginia Beach, VA 23462

KESTRA PRIVATE WEALTH SERVICES, LLC
 4376 Lankford Hwy
 Unit B
 EXMORE, VA 23350







Qualifications

PASSED INDUSTRY EXAMS





This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 4 principal/supervisory exams, 4 general industry/product exams, and 2 state securities law exams.




Principal/Supervisory Exams

	Exam	Category	Date
	Registered Options Principal Examination (S4)	Series 4	05/01/2009
	Municipal Securities Principal Examination (S53)	Series 53	07/21/2008
	General Securities Principal Examination (S24)	Series 24	10/16/2006
	Investment Company Products/Variable Contracts Principal Examination (S26)	Series 26	10/21/2005

General Industry/Product Exams

	Exam	Category	Date
	Municipal Securities Representative Examination (S52TO)	Series 52TO	01/02/2023
	Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
	General Securities Representative Examination (S7)	Series 7	01/21/2005
	Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	06/15/1999

State Securities Law Exams

	Exam	Category	Date
	 Uniform Combined State Law Examination (S66)	Series 66	03/04/2005
	Uniform Securities Agent State Law Examination (S63)	Series 63	07/09/1999



PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	09/27/2016 - 06/18/2021	TRUIST ADVISORY SERVICES, INC.	CRD# 283390	ROANOKE, VA
B	01/11/2005 - 06/18/2021	TRUIST INVESTMENT SERVICES, INC.	CRD# 17499	NORFOLK, VA
IA	03/07/2005 - 12/31/2016	SUNTRUST INVESTMENT SERVICES, INC.	CRD# 17499	NORFOLK, VA
B	03/25/2002 - 12/07/2004	WACHOVIA SECURITIES, LLC	CRD# 19616	ST. LOUIS, MO
B	01/03/2001 - 11/05/2001	BANC OF AMERICA INVESTMENT SERVICES, INC.	CRD# 16361	BOSTON, MA
B	06/16/1999 - 12/31/1999	CAPITAL BROKERAGE CORPORATION	CRD# 10465	GLEN ALLEN, VA

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
06/2021 - Present	Kestra Investment Services, LLC	Registered Representative	Y	Virginia Beach, VA, United States
06/2021 - Present	Kestra Private Wealth Services, LLC	Investment Advisor	Y	Virginia Beach, VA, United States
01/2017 - 05/2021	SUNTRUST INVESTMENT SERVICES, INC	FINANCIAL ADVISOR	Y	ATLANTA, GA, United States
09/2016 - 05/2021	SUNTRUST ADVISORY SERVICES, INC	Advisor	Y	Atlanta, GA, United States
05/2006 - 01/2017	SUNTRUST INVESTMENT SERVICES, INC	PRINCIPAL MANAGER	Y	ATLANTA, GA, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

Business Name: R&T properties Investment Related: Yes Address: 606 Goldsboro Ave Virginia Beach VA 23451 Nature of Business: Real Estate Position, Title or Relationship: Owner Start Date: 6/2/2004 Hours per month: 0% - 10% (0 - 16 hours)



Registration & Employment History



OTHER BUSINESS ACTIVITIES

Hours per month during trading hours: 0% - 10% (0 - 14 hours) Duties: Silent partner

Business Name: East Front Cabinets Investment Related: No Address: 5595 Raby rd Norfolk VA 23502 Nature of Business: Other

Other/None of the Above Selling of cabinets to the public Position, Title or Relationship: Sales manager Start Date: 1/2/2017

Hours per month: 0% - 10% (0 - 16 hours) Hours per month during trading hours: 0% - 10% (0 - 14 hours) Duties: I consult with our ceo on sales ideas two times a month

Business Name: FREEDOM FIDUCIARY ADVISOR POSITION: financial advisor NATURE: Insurance INVESTMENT RELATED: Yes NUMBER OF HOURS: 150 SECURITIES TRADING HOURS: 130 START DATE: 06/24/2021 ADDRESS: 606 Goldsboro Ave, Virginia Beach VA 23451, United States DESCRIPTION: Advise clients on best investment solution based on needs and goals

Business Name: R&T PROPERTIES POSITION: Owner NATURE: Real Estate INVESTMENT RELATED: Yes NUMBER OF HOURS: 5 SECURITIES TRADING HOURS: 1 START DATE: 06/02/2004 ADDRESS: 606 Goldsboro Ave, Virginia Beach VA 23451, United States DESCRIPTION: Silent Partner

Business Name: EAST FRONT CABINETS POSITION: Sales Manager NATURE: selling cabinets to the public INVESTMENT RELATED: No NUMBER OF HOURS: 5 SECURITIES TRADING HOURS: 1 START DATE: 01/02/2017 ADDRESS: 5595 Raby Rd, Norfolk VA 23502, United States DESCRIPTION: I consult with our CEO on sales ideas two times a month.

Business Name: MAKE MONEY TUESDAY POSITION: 50% owner of the LLC NATURE: using this LLC to fund payroll for support staff INVESTMENT RELATED: No NUMBER OF HOURS: 1 SECURITIES TRADING HOURS: 1 START DATE: 11/15/2021 ADDRESS: 4445 Corporation Lane, virginia beach VA 23462, United States DESCRIPTION: This LLC is being set up to pay our employees. My only obligation for this LLC is writing checks for payroll.

Business Name: MM MAGIC RENTALS POSITION: owner NATURE: Real Estate INVESTMENT RELATED: Yes NUMBER OF HOURS: 1 SECURITIES TRADING HOURS: 0 START DATE: 05/10/2022 ADDRESS: 606 Goldsboro Avenue, Virginia Beach VA 23451-4767, United States DESCRIPTION: none, property manager handles all aspects.



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
------	-------

Termination	1
-------------	---

Termination

This disclosure event involves a situation where the Investment Adviser Representative voluntarily resigned, was discharged or was permitted to resign after allegations were made that accused the Investment Adviser Representative of violating investment-related statutes, regulations, rules or industry standards of conduct; fraud or the wrongful taking of property; or failure to supervise in connection with investment-related statutes, regulations, rules or industry standards of conduct.

Disclosure 1 of 1

Reporting Source:	Firm
Firm Name:	TRUIST INVESTMENT SERVICES, INC.
Termination Type:	Discharged
Termination Date:	05/21/2021
Allegations:	Termination resulted from representative's activity related to Economic Injury Disaster Loan Grant (EIDG) and Economic Injury Disaster Loan (EIDL) applications unrelated to the representative's employment, and, therefore, there was no client harm."
Product Type:	Banking Products (other than CDs)

Reporting Source:	Individual
Firm Name:	TRUIST INVESTMENT SERVICES, INC.
Termination Type:	Discharged
Termination Date:	05/21/2021
Allegations:	Termination resulted from representative's activity related to Economic Injury Disaster Loan Grant (EIDG) and Economic Injury Disaster Loan (EIDL) applications unrelated to the representative's employment, and, therefore, there was no client harm."
Product Type:	Banking Products (other than CDs)

Broker Statement The termination language on my securities license by Truist is false. Truist had no legitimate basis for terminating my employment and, as a result, I have commenced a FINRA arbitration against Truist asserting wrongful termination, defamation, and other claims.



End of Report

This page is intentionally left blank.