IAPD Report

Brandon Daniel Dirkschneider

CRD# 3236108

When communicating online or investing with any professional, make sure you know who you're dealing with. Imposters might link to sites like BrokerCheck from phishing or similar scam websites, or through social media, trying to steal your personal information or your money.

Please contact FINRA with any concerns.

IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page http://www.nasaa.org/IAPD/IARReports.cfm

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association http://www.nasaa.org

Report Summary

Brandon Daniel Dirkschneider (CRD# 3236108)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **03/13/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	VALMARK SECURITIES, INC.	CRD# 31243	03/14/2022
IA	VALMARK ADVISERS, INC.	CRD# 108050	03/18/2022

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **8** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.
Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	LPL FINANCIAL LLC	6413	OMAHA, NE	09/04/2020 - 02/23/2022
B	LPL FINANCIAL LLC	6413	OMAHA, NE	08/28/2020 - 02/23/2022
IA	CETERA ADVISORS LLC	10299	OMAHA, NE	01/07/2011 - 09/01/2020

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1

Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works.
This individual is currently registered with **8** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **VALMARK SECURITIES, INC.**
Main Address: 130 SPRINGSIDE DRIVE
SUITE 300
AKRON, OH 44333-2431
Firm ID#: 31243

	Regulator	Registration	Status	Date
B	FINRA	Invest. Co and Variable Contracts	Approved	03/14/2022
B	California	Agent	Approved	08/14/2025
B	Iowa	Agent	Approved	03/21/2022
B	Kansas	Agent	Approved	08/06/2025
B	Kentucky	Agent	Approved	05/25/2022
B	Nebraska	Agent	Approved	03/21/2022
B	New York	Agent	Approved	05/15/2024
B	Texas	Agent	Approved	04/15/2025

Branch Office Locations

20507 Nicholas Circle, Ste. 108
Elkhorn, NE 68022

745 South Railroad
West Point, NE 68788

Employment 2 of 2

Firm Name: **VALMARK ADVISERS, INC.**
Main Address: 130 SPRINGSIDE DRIVE
SUITE 300
AKRON, OH 44333

Qualifications

Firm ID#: 108050

	Regulator	Registration	Status	Date
IA	California	Investment Adviser Representative	Approved	08/13/2025
IA	Illinois	Investment Adviser Representative	Approved	12/01/2023
IA	Iowa	Investment Adviser Representative	Approved	03/18/2022
IA	Kansas	Investment Adviser Representative	Approved	04/22/2024
IA	Kentucky	Investment Adviser Representative	Approved	06/21/2024
IA	Nebraska	Investment Adviser Representative	Approved	03/21/2022

Branch Office Locations

VALMARK ADVISERS, INC.
20507 Nicholas Circle, Ste. 108
Elkhorn, NE 68022

Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 1 state securities law exam.

Principal/Supervisory Exams

	Exam	Category	Date
	No information reported.		

General Industry/Product Exams

	Exam	Category	Date
B	Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B	Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	06/17/1999

State Securities Law Exams

	Exam	Category	Date
B	Uniform Securities Agent State Law Examination (S63)	Series 63	06/30/1999

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **1** professional designation(s).

Certified Financial Planner

This representative holds or did hold **1** professional designation(s) that may have been used to qualify as an Investment Advisor representative. Please check with the appropriate designation authority for verification that the designation is still in effect. The contact information for these professional designation authorities can be found on the website for the North American Securities Administrators Association at http://www.nasaa.org

Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	09/04/2020 - 02/23/2022	LPL FINANCIAL LLC	CRD# 6413	OMAHA, NE
B	08/28/2020 - 02/23/2022	LPL FINANCIAL LLC	CRD# 6413	OMAHA, NE
IA	01/07/2011 - 09/01/2020	CETERA ADVISORS LLC	CRD# 10299	OMAHA, NE
B	12/17/2010 - 09/01/2020	CETERA ADVISORS LLC	CRD# 10299	OMAHA, NE
B	01/24/2003 - 12/20/2010	NFP SECURITIES, INC.	CRD# 42046	OMAHA, NE
B	06/18/1999 - 01/28/2003	NEW ENGLAND SECURITIES	CRD# 615	NEW YORK, NY

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
03/2022 - Present	Valmark Advisers, Inc.	Investment Advisor Representative	Y	Akron, OH, United States
03/2022 - Present	Valmark Securities, Inc.	Registered Representative	Y	Akron, OH, United States
08/2016 - Present	D FINANCIAL INC.	OWNER	N	OMAHA, NE, United States
01/2014 - Present	Insurance Design Management	Owner	N	Omaha, NE, United States
08/2020 - 01/2022	LPL FINANCIAL LLC	REGISTERED REPRESENTATIVE	Y	OMAHA, NE, United States
01/2013 - 08/2020	CETERA ADVISORS LLC	REGISTERED REP/IAR	Y	DENVER, CO, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1.) INSURANCE DESIGN MANAGEMENT, LLC - Insurance Agency; Omaha, NE; Insurance sales; investment related; start:

Registration & Employment History

OTHER BUSINESS ACTIVITIES

08/2015; 200 hours/month. ///

2.) D FINANCIAL INC. Blair, NE; Owner of Business Entity For Tax/Investment Purposes; not investment related; start: 08/2016. ///

3.) Cattle Ownership - Not Investment Related - Home Based - Other-Buying and Selling Cattle - Owner - Start Date: 03/01/2020 - 6 Hours Per Month/0 Hours During Securities Trading///

4.) Board member; International Farm Transition Network; Ames, IA; Non profit organization to educate the public on succession planning issues; start 06/2020; not investment related; no compensation. ///

5.) St. Francis Borgia; Blair, NE; Finance Committee Member of Catholic Parish; start- 07/2014; not investment related; no compensation.///

6.) Knights of Columbus; Blair, NE; Board Member; Charitable works and service to our parish and community; start 06/2008; no compensation.///

7.) The Johnson Company; Ashland, NE; Successor/Agent; Fixed Insurance Sales; Service current client's and transition relationships; 11-20 hours/month; not investment related; compensation in the form of commissions.///

8.)United Way of the Midlands; Omaha, NE; start 06/2022; Finance Committee Member for Non-profit; Assist and review annual budget items and review the investment policy statement; not investment related.///

9.)Coordinated Planning; Elkhorn, NE; Member; 25% owner; start 12/2022; Financial Planning and Investment Advisory services; Insurance Consultation, financial planning, client education; investment related. ///

10.) Coordinated Planning LLC; 20507 Nicholas Circle, Ste 108, Elkhorn, NE 68022; Financial Planning; Owner/Member; Director of Case Management; YES Investment Related; Start Date 12/01/2022; Approximately 21-40 hrs. per month; Compensated by K-1 and W2 income. ///

11.) Rental Property; 1590 A Road West Point, NE 68788; NOT Investment Related; Start date 07/01/2024; I own 100% if the property; Approximately 1-5 hrs. per month; Rental income to myself.///

12.) The River Bluff Cabin LLC; 338 S. Farragut Street West Point, NE 68788; Rental Property to be listed on VRBO; Single Member LLC; Property Management; NOT investment related; Start date 06/01/2025; I own 100% of the property and LLC interest; 1-5 hrs approximate per month; All net income we be paid out to myself as owner.///

13.) St. Mary's Catholic Church; 343 N Monitor St, West Point, NE 68788; Parish Council; Board Member; Providing guidance on issues facing the parish and its members; NOT Investment Related; Start Date 06/21/2024; Approximately 1-5 hrs. per month; No compensation is applicable.///

Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least $5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending, on appeal,* or *final*.
  - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
  - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
  - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated, settled* or *otherwise resolved*.
  - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
  - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
  - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.

DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 1

Reporting Source: Firm

Employing firm when activities occurred which led to the complaint: NEW ENGLAND FINANCIAL

Allegations: CLIENT ALLEGES THAT IN 2002 THE AGENTS ON RECORD FORGED HIS SIGNATURE AND INITIALS ON BOTH A VARIABLE UNIVERSAL LIFE INSURANCE APPLICATION AND A VARIABLE SURVIVORSHIP LIFE INSURANCE APPLICATION. ALLEGED UNAUTHORIZED CHANGE TO DEATH BENEFIT AND LACK OF REVERSE SPLIT-DOLLAR FEATURE IN TWO VARIABLE LIFE POLICIES.

Product Type: Insurance

Alleged Damages: $106,917.38

Customer Complaint Information

Date Complaint Received: 03/29/2006

Complaint Pending? No

Status: Evolved into Civil litigation (the individual is a named party)

Status Date: 10/27/2006

Settlement Amount:

Individual Contribution Amount:

Civil Litigation Information

Type of Court: State Court

Name of Court:	DISTRICT COURT OF YORK COUNTY
Location of Court:	YORK COUNTY, NEBRASKA
Docket/Case #:	CE 06 251
Date Notice/Process Served:	10/26/2006
Litigation Pending?	No
Disposition:	Settled
Disposition Date:	11/09/2011
Monetary Compensation Amount:	$162,500.00
Individual Contribution Amount:	$0.00

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	NEW ENGLAND SECURITIES
Allegations:	CLIENT ALLEGES THAT IN 2002 THE AGENTS ON RECORD FORGED HIS SIGNATURE AND INITIALS ON BOTH A VARIABLE UNIVERSAL LIFE INSURANCE APPLICATION AND A VARIABLE SURVIVORSHIP LIFE INSURANCE APPLICATION. ALLEGED UNAUTHORIZED CHANGE TO DEATH BENEFIT AND LACK OF REVERSE SPLIT-DOLLAR FEATURE IN TWO VARIABLE LIFE POLICIES.
Product Type:	Insurance
Alleged Damages:	$106,917.38

Customer Complaint Information

Date Complaint Received:	03/29/2006
Complaint Pending?	No
Status:	Settled
Status Date:	10/27/2006
Settlement Amount:	$162,500.00
Individual Contribution Amount:	$0.00

Civil Litigation Information

Type of Court:	State Court
Name of Court:	DISTRICT COURT OF YORK COUNTY
Location of Court:	YORK COUNTY, NE
Docket/Case #:	CI 06 251
Date Notice/Process Served:	10/26/2006
Litigation Pending?	No
Disposition:	Settled
Disposition Date:	11/09/2011

Monetary Compensation Amount:	$162,500.00
Individual Contribution Amount:	$0.00
Broker Statement	I RECEIVED A SMALL COMMISSION BECAUSE I WAS IN A MENTOR TRAINING PROGRAM AT NEW ENGLAND SECURITIES AT THE TIME AND I HAD NO DIRECT DEALING WITH THE [CUSTOMERS] IN SOLICITING THE VUL.

End of Report

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