IAPD Report

RONALD JOSEPH MCLANE SR

CRD# 329183

Section Title | **Page(s)**

IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page http://www.nasaa.org/IAPD/IARReports.cfm

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association http://www.nasaa.org

Report Summary

RONALD JOSEPH MCLANE SR (CRD# 329183)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **04/25/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	CENTAURUS FINANCIAL, INC.	CRD# 30833	10/16/2020
IA	CENTAURUS FINANCIAL, INC.	CRD# 30833	10/16/2020

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and 1 jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.
Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	FSC SECURITIES CORPORATION	7461	SAN ANTONIO, TX	02/27/2009 - 10/20/2020
IA	FSC SECURITIES CORPORATION	7461	SAN ANTONIO, TX	02/27/2009 - 10/20/2020
B	ADVANTAGE CAPITAL CORPORATION	146	SAN ANTONIO, TX	01/24/2000 - 02/27/2009

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	3

Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works.
This individual is currently registered with **1** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **CENTAURUS FINANCIAL, INC.**

Main Address: 2300 EAST KATELLA AVE
SUITE 200
ANAHEIM, CA 92806

Firm ID#: 30833

	Regulator	Registration	Status	Date
B	FINRA	General Securities Representative	Approved	10/16/2020
IA	Texas	Investment Adviser Representative	Approved	10/16/2020
B	Texas	Agent	Approved	10/20/2020

Branch Office Locations

CENTAURUS FINANCIAL, INC.
16845 BLANCO ROAD
SUITE #104
SAN ANTONIO, TX 78232

Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 3 general industry/product exams, and 0 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
No information reported.		

General Industry/Product Exams

	Exam	Category	Date
B	General Securities Representative Examination (S7TO)	Series 7TO	01/02/2023
B	Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B	Registered Representative Examination (S1)	Series 1	03/24/1972

State Securities Law Exams

Exam	Category	Date
No information reported.		

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.

Only professional designations listed in Question 8 of the Form U4 will appear in this section if the appropriate box is checked and verified by the issuing organization at the time of the filing. Learn more about eligible designations at IARD and NASAA.

Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	02/27/2009 - 10/20/2020	FSC SECURITIES CORPORATION	CRD# 7461	SAN ANTONIO, TX
IA	02/27/2009 - 10/20/2020	FSC SECURITIES CORPORATION	CRD# 7461	SAN ANTONIO, TX
B	01/24/2000 - 02/27/2009	ADVANTAGE CAPITAL CORPORATION	CRD# 146	SAN ANTONIO, TX
IA	01/24/2000 - 02/27/2009	ADVANTAGE CAPITAL CORPORATION	CRD# 146	SAN ANTONIO, TX
B	09/20/1996 - 12/31/1999	ADVANTAGE CAPITAL CORPORATION	CRD# 146	ATLANTA, GA
B	01/31/1991 - 10/31/1995	MONY SECURITIES CORP.	CRD# 4386	NEW YORK, NY
B	11/19/1989 - 03/14/1990	ROYAL ALLIANCE ASSOCIATES, INC.	CRD# 23131	SCOTTSDALE, AZ
B	10/23/1989 - 11/19/1989	INTEGRATED RESOURCES EQUITY CORPORATION	CRD# 6403	
B	11/07/1983 - 10/13/1988	ADVANTAGE CAPITAL CORPORATION	CRD# 146	ATLANTA, GA
B	05/01/1979 - 09/27/1984	USLIFE EQUITY SALES CORP.	CRD# 7962	
B	12/01/1973 - 05/01/1979	LINCOLN EQUITIES CORPORATION	CRD# 4462	
B	04/03/1972 - 05/30/1974	CONSOLIDATED PROGRAMS, INC.	CRD# 177	

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
10/2020 - Present	CENTAURUS FINANCIAL, INC.	REGISTERED REPRESENTATIVE/INVESTMENT ADVISOR	Y	ANAHEIM, CA, United States
05/1996 - Present	GOV'T PERSONNEL MUTUAL	SALES - Sales	N	SAN ANTONIO, TX, United States
01/1981 - Present	RONALD J MCLANE INC	AGENT - Agent	N	SAN ANTONIO, TX, United States
02/2009 - 10/2020	FSC SECURITIES CORPORATION	FINANCIAL ADVISOR	Y	SAN ANTONIO, TX, United States

Registration & Employment History

EMPLOYMENT HISTORY

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1. LIAISON, WISH FOR OUR HEROES, 16845 BLANCO ROAD, #104, SAN ANATONIO, TX 78232. NON INVESTMENT RELATED SINCE 1/2012 AND I SPEND APPROX. 10 HOURS PER MONTH ON THIS ACTIVITY. MILITARY CHARITY.

2. LIFE SALESMAN, FREEDOM ONE LIFE SOLUTIONS, 16845 BLANCO ROAD, #104, SAN ANTONIO, TX 78232. NON INVESTMENT RELATED SINCE 9/2016 AND I SPEND APPROX. 40 HOURS PER MONTH ON THIS ACTIVITY. SALES OF LIFE INSURANCE.

3. PROPERTY OWNER, LAKE HOUSE RENTAL, SEGUIN, TX 78155. NON INVESTMENT RELATED SINCE 6/2017. LAKE HOUSE WEEKEND RENTAL HANDLED BY AIRBB.

4. PRESIDENT, RON MCLANE INC., 16845 BLANCO ROAD, #104, SAN ANTONIO, TX 78232. NON INVESTMENT RELATED SINCE 1/1988 AND I SPEND APPROX. 2 HOURS PER MONTH ON THIS ACTIVITY. CHECKING ACCOUNT USED FOR PAYROLL.

5. FARM 2 RANCH WEALTH MANAGEMENT, NON-INVESTMENT RELATED, 16845 BLANCO ROAD, SUITE #104, SAN ANTONIO, TX 78232, DBA, OWNER, SINCE 12/1/2020, TO SELL FIXED PRODUCTS AND SECURITIES TO RANCHERS AND FARMERS.

6. FREEDOM ONE ADVISOR GROUP, NON-INVESTMENT RELATED, 16845 BLANCO ROAD, SUITE #104, SAN ANTONIO, TX 78232, DBA, PARTNER, SINCE 1/1/2015.

7. GEMSTONE PROPERTY
POSITION: OWNER NATURE: RENTAL PROPERTY INVESTMENT RELATED: Yes NUMBER OF HOURS: 0 SECURITIES TRADING HOURS: 0 START DATE: 06/01/2024
ADDRESS: 229 5TH. W STW.300, REXBURG ID 83440, United States
DESCRIPTION: RENTAL PROPERTY

8. FEDERAL EMPLOYEE BENEFITS
POSITION: NATURE: DBA for branding purposes INVESTMENT RELATED: No NUMBER OF HOURS: 0 SECURITIES TRADING HOURS: 0 START DATE: 01/01/2012
ADDRESS: 16845 Blanco #104, San Antonio TX 78232, United States
DESCRIPTION: DBA for branding purposes only

9. FREEDOM ONE
POSITION: OWNER NATURE: INSURANCE BUSINESS INVESTMENT RELATED: No NUMBER OF HOURS: 1 SECURITIES TRADING HOURS: 1 START DATE: 09/01/2016
ADDRESS: 16845 Blanco #104, San Antonio TX 78232, United States
DESCRIPTION: Fixed annuity sales

Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least $5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending, on appeal,* or *final*.
  - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
  - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
  - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated, settled* or *otherwise resolved*.
  - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
  - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
  - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.

DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	3

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 3

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	FSC Securities Corporation
Allegations:	Client alleges the rep misrepresented how interest was applied to the income benefit amount instead of the account value, and he misrepresented the fees and surrender charges on the account.
Product Type:	Annuity-Variable
Alleged Damages:	$5,000.00
Alleged Damages Amount Explanation (if amount not exact):	No damage amount was listed, but the surrender charges would be greater than $5,000.
Is this an oral complaint?	No
Is this a written complaint?	Yes
Is this an arbitration/CFTC reparation or civil litigation?	No

Customer Complaint Information

Date Complaint Received:	10/13/2015
Complaint Pending?	No
Status:	Denied
Status Date:	11/11/2015

Settlement Amount:	
Individual Contribution Amount:	
Broker Statement	The Firm concluded that the proper disclosures were provided to the client, and the client had ample time to review the product and features before deciding to purchase.

Disclosure 2 of 3

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	FSC SECURITIES CORPORATION
Allegations:	CLIENT ALLEGES THAT THEY WERE NOT GIVEN PROPER INFORMATION TO MADE AN INFORMED DECISION BEFORE MOVING THEIR FUNDS INTO A VARIABLE ANNUITY.
Product Type:	Annuity-Variable
Alleged Damages:	$5,000.00
Alleged Damages Amount Explanation (if amount not exact):	NO EXACT DAMAGES LISTED, BUT SURRENDER CHARGES WOULD BE ABOVE $5,000.00
Is this an oral complaint?	No
Is this a written complaint?	Yes
Is this an arbitration/CFTC reparation or civil litigation?	No

Customer Complaint Information

Date Complaint Received:	11/21/2013
Complaint Pending?	No
Status:	Denied
Status Date:	01/02/2014
Settlement Amount:	
Individual Contribution Amount:	

Disclosure 3 of 3

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	THE MCLANE AGENCY
Allegations:	THE PLAINTIFFS ALLEGED THAT THE UNIVERSAL LIFE POLICY WAS MISREPRESENTED AT THE TIME OF PURCHASE.
Product Type:	Insurance
Alleged Damages:	$0.00
Is this an oral complaint?	No

Is this a written complaint?	Yes
Is this an arbitration/CFTC reparation or civil litigation?	No

Customer Complaint Information

Date Complaint Received:	09/01/1988
Complaint Pending?	No
Status:	Settled
Status Date:	12/15/1992
Settlement Amount:	$0.00
Individual Contribution Amount:	$0.00
Broker Statement	ALL ALLEGATIONS AGAINST THE DEFENDANTS WERE WITHDRAWN BY THE PLAINTIFFS AND THE CASE WAS SETTLED OUT OF COURT. ALTHOUGH #7 & #11 ARE ANSWERED $0.00, THE AMOUNT OF THE SETTLEMENT IS UNDISCLOSED AND CONFIDENTIAL BY LEGAL AGREEMENT BETWEEN THE DEFENDANTS AND PLAINTIFFS; HOWEVER THE AMOUNT WAS OVER $10000.00 AS QUESTION 23I1C INDICATES.

End of Report

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