IAPD Report

JAY UNGOS PARAYNO

CRD# 4014834

IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page http://www.nasaa.org/IAPD/IARReports.cfm

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association http://www.nasaa.org

Report Summary

JAY UNGOS PARAYNO (CRD# 4014834)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **04/21/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	CAPITAL CLIENT GROUP, INC.	CRD# 6247	06/08/2015
IA	CAPITAL CLIENT GROUP, INC.	CRD# 6247	03/18/2016

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **12** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.
Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	AMERITAS INVESTMENT CORP	14869	GLENDORA, CA	01/24/2003 - 11/16/2007
B	AMERITAS INVESTMENT CORP.	14869	GLENDORA, CA	01/08/2002 - 11/16/2007
B	SECURIAN FINANCIAL SERVICES, INC.	15296	ST. PAUL, MN	12/22/2000 - 12/20/2001

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **No**

Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works.
This individual is currently registered with **12** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **CAPITAL CLIENT GROUP, INC.**

Main Address: 333 SOUTH HOPE STREET
55TH FLOOR
LOS ANGELES, CA 90071-1406

Firm ID#: 6247

	Regulator	Registration	Status	Date
B	FINRA	Invest. Co and Variable Contracts	Approved	06/08/2015
B	FINRA	General Securities Representative	Approved	01/27/2023
B	California	Agent	Approved	10/17/2018
B	Colorado	Agent	Approved	02/27/2020
B	Idaho	Agent	Approved	02/27/2020
B	Montana	Agent	Approved	02/28/2020
B	Nebraska	Agent	Approved	01/02/2024
B	New Mexico	Agent	Approved	01/04/2024
B	North Dakota	Agent	Approved	01/02/2024
B	South Dakota	Agent	Approved	01/02/2024
IA	Texas	Investment Adviser Representative	Approved	02/01/2017
B	Texas	Agent	Approved	02/02/2017
B	Utah	Agent	Approved	01/03/2024

Qualifications

	Regulator	Registration	Status	Date
B	Washington	Agent	Approved	06/06/2022
B	Wyoming	Agent	Approved	02/27/2020

Branch Office Locations

CAPITAL CLIENT GROUP, INC.
3500 WISEMAN BLVD
SAN ANTONIO, TX 78251-4321

CAPITAL CLIENT GROUP, INC.
San Antonio, TX

Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 4 general industry/product exams, and 3 state securities law exams.

Principal/Supervisory Exams

	Exam	Category	Date
	No information reported.		

General Industry/Product Exams

	Exam	Category	Date
B	General Securities Representative Examination (S7TO)	Series 7TO	01/27/2023
B	Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B	Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	06/08/2015
B	General Securities Representative Examination (S7)	Series 7	08/27/2001

State Securities Law Exams

	Exam	Category	Date
IA	Uniform Investment Adviser Law Examination (S65)	Series 65	03/17/2016
B	Uniform Securities Agent State Law Examination (S63)	Series 63	06/13/2015
IA B	Uniform Combined State Law Examination (S66)	Series 66	09/17/2001

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.

Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	01/24/2003 - 11/16/2007	AMERITAS INVESTMENT CORP	CRD# 14869	GLENDORA, CA
B	01/08/2002 - 11/16/2007	AMERITAS INVESTMENT CORP.	CRD# 14869	GLENDORA, CA
B	12/22/2000 - 12/20/2001	SECURIAN FINANCIAL SERVICES, INC.	CRD# 15296	ST. PAUL, MN

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
09/2023 - Present	AMDG JMJ Ventures LLC	Managing Member	N	San Antonio, TX, United States
04/2020 - Present	American Funds	Internal Retirement Plan Counselor	Y	San Antonio, TX, United States
01/2017 - 04/2020	American Funds	Retirement Plan Sales Consultant	Y	San Antonio, TX, United States
05/2015 - 01/2017	AMERICAN FUNDS DISTRIBUTORS	AREA SALES REPRESENTATIVE	Y	IRVINE, CA, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

AMDG JMJ VENTURES LLC
POSITION: Managing Member
NATURE: The LLC is formed alongside my spouse to have revenue generated from a couple of different sources. She is the main active member of the LLC:
* Focus on Solar package sales for residential and commercial customers. Revenue Generated from baked goods sold through farm cooperatives and farmers markets
*10/2024 Update include 2 additional responsibilities/functions: Mobile Auto Detailing & Landscaping and Yard Maintenance
INVESTMENT RELATED: No
NUMBER OF HOURS: 20
SECURITIES TRADING HOURS: 0
START DATE: 09/13/2023
ADDRESS: San Antonio TX 78253, United States
DESCRIPTION: Marketing, prospecting, researching, and presenting to residential and commercial prospects on purchasing solar and energy related equipment. Data gathering of electricity usage and then turning that into a solar package proposal. Part of the process would be referring potential prospects to solar specific finance companies in the assistance of purchasing a solar

Registration & Employment History

OTHER BUSINESS ACTIVITIES

package. No financials are exchanged, just the referral being passed.
Revenue generated from Spouses rolein baking, packaging, and delivery of home baked goods to farm cooperatives and farmers markets and fairs.
10/2024 Update to include 2 additional responsibilities/functions: Mobile Auto Detailing & Landscaping and Yard Maintenance

End of Report

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