



## IAPD Report

# CHRISTOPHER LYNN TYNDALL

CRD# 4029078

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Please contact FINRA with any concerns.



## IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

### What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

### Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

### How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

### Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page  
<http://www.nasaa.org/IAPD/IARReports.cfm>

### What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

### Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



## Report Summary

### CHRISTOPHER LYNN TYNDALL (CRD# 4029078)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **10/14/2025**.

### CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	TYNDALL STROUD WEALTH MANAGEMENT, LLC	CRD# 134102	09/07/2006
IA	CPS INVESTMENT ADVISORS	CRD# 104914	11/17/2022

### QUALIFICATIONS

This representative is currently registered in **0** SRO(s) and **1** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

**Note:** Not all jurisdictions require IAR registration or may have an exemption from registration. Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

### REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	MORNINSTAR INVESTMENT SERVICES LLC	112525	Goldsboro, NC	11/28/2016 - 06/16/2025

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

### DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative?

**No**



## Qualifications

### REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **1** jurisdiction(s) and **0** SRO(s) through his or her employer(s).

#### Employment 1 of 2

Firm Name: **CPS INVESTMENT ADVISORS**  
Main Address: 205 EAST ORANGE STREET #310  
LAKELAND, FL 33801-4611  
Firm ID#: 104914

Regulator	Registration	Status	Date
IA North Carolina	Investment Adviser Representative	Approved	11/17/2022

#### Branch Office Locations

##### **CPS INVESTMENT ADVISORS**

154-C Beacon Dr  
Winterville, NC 28590

#### Employment 2 of 2

Firm Name: **TYNDALL STROUD WEALTH MANAGEMENT, LLC**  
Main Address: 154-C BEACON DRIVE  
WINTERVILLE, NC 28590  
Firm ID#: 134102

Regulator	Registration	Status	Date
IA North Carolina	Investment Adviser Representative	Approved	09/07/2006

#### Branch Office Locations

##### **TYNDALL STROUD WEALTH MANAGEMENT, LLC**

154-C BEACON DRIVE  
WINTERVILLE, NC 28590



## Qualifications

### PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

**This individual has passed 0 principal/supervisory exams, 0 general industry/product exams, and 2 state securities law exams.**

#### Principal/Supervisory Exams

Exam	Category	Date
No information reported.		

#### General Industry/Product Exams

Exam	Category	Date
No information reported.		

#### State Securities Law Exams

Exam	Category	Date
IA Uniform Investment Adviser Law Examination (S65)	Series 65	08/02/2006
IA Uniform Combined State Law Examination (S66)	Series 66	12/10/1999

### PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



## Registration & Employment History

### PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	11/28/2016 - 06/16/2025	MORNINGSTAR INVESTMENT SERVICES LLC	CRD# 112525	Goldsboro, NC

### EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
10/2023 - Present	Self	Author. I have written a historical book on a battle in the Vietnam war for a friend of mine who was in that battle. The book is his story. I receive compensation from the sales of the book.	N	Goldsboro, NC, United States
12/2016 - Present	CPS Investment Advisors	Solicitor	Y	Lakeland, FL, United States
01/2005 - Present	TYNDALL STROUD WEALTH MANAGEMENT, LLC	MEMBER	Y	Winterville, NC, United States
12/2000 - Present	TYNDALL STROUD CPAS, PC	SECRETARY/TREASURER	N	WINTERVILLE, NC, United States
10/2016 - 05/2025	MORNINGSTAR INVESTMENT SERVICES LLC	SOLICITOR	Y	CHICAGO, IL, United States
09/1999 - 02/2019	COASTAL PLAINS RE HOLDINGS	OWNER	N	GOLDSBORO, NC, United States

### OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

TYNDALL STROUD CPAS: AS SECRETARY AND TREASURER, I DEVOTE APPROX 25 HOURS A WEEK TO THIS JOB. WE PROVIDE TAX AND ACCOUNTING SERVICES. THIS BUSINESS IS NOT INVESTMENT-RELATED.

I AM MEMBER AND INSURANCE PRINCIPAL OF TYNDALL STROUD WEALTH MANAGEMENT, LLC. WE PROVIDE FIXED LIFE INSURANCE PRODUCTS TO OUR CUSTOMERS. DEVOTE 30 HRS/WEEK "+". TYNDALL STROUD WEALTH MANAGEMENT, LLC IS A REGISTERED INVESTMENT ADVISER, REGISTERED WITH THE NC SECRETARY OF STATE OFFICE DIVISION OF SECURITIES. WE PROVIDE FEE BASED SECURITIES INVESTMENTS.

I am also a Managing Member of Tyndall Stroud Insurance Services, LLC. I am a licensed independent agent for this company.



## Registration & Employment History

### OTHER BUSINESS ACTIVITIES

Through this entity, we offer fixed insurance products such as life insurance, long-term care insurance, annuities, etc. The time devoted to this function is based on client needs and ranges from 10 to 25 hours per week.

I am an author of a friend's experience before, during, and after his involvement in a battle in the Vietnam conflict. I receive compensation from book sales. I spend less than 1 hour per week on this activity, and compensation is less than 10% of my income. This is a non-investment, after-hours activity.



## **End of Report**

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