IAPD Report

BARRY KINGSLEY BARBERNELL

CRD# 4097730

When communicating online or investing with any professional, make sure you know who you're dealing with. Imposters might link to sites like BrokerCheck from phishing or similar scam websites, or through social media, trying to steal your personal information or your money.

Please contact FINRA with any concerns.

IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page http://www.nasaa.org/IAPD/IARReports.cfm

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association http://www.nasaa.org

Report Summary

BARRY KINGSLEY BARBERNELL (CRD# 4097730)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **06/24/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	INTEGRITY ALLIANCE, LLC	CRD# 139627	11/03/2025
B	INTEGRITY ALLIANCE, LLC.	CRD# 139627	11/03/2025

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **14** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.
Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	LION STREET FINANCIAL, LLC	165828	SAN CLEMENTE, CA	11/01/2017 - 11/03/2025
IA	LION STREET ADVISORS, LLC	167610	San Clemente, CA	10/24/2017 - 11/03/2025
B	SUMMIT BROKERAGE SERVICES, INC.	34643	LAGUNA NIGUEL, CA	10/01/2014 - 10/26/2017

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	1
Criminal	1
Customer Dispute	1

Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works.
This individual is currently registered with **14** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **INTEGRITY ALLIANCE, LLC**
Main Address: 4135 NW URBANDALE DR
URBANDALE, IA 50322
Firm ID#: 139627

	Regulator	Registration	Status	Date
B	FINRA	Direct Participation Programs	Approved	11/03/2025
B	FINRA	Invest. Co and Variable Contracts	Approved	11/03/2025
B	FINRA	Investment Co./Variable Contracts Prin	Approved	11/03/2025
B	Arizona	Agent	Approved	05/11/2026
B	Arkansas	Agent	Approved	11/03/2025
B	California	Agent	Approved	11/03/2025
IA	California	Investment Adviser Representative	Approved	11/03/2025
B	Florida	Agent	Approved	11/05/2025
B	Georgia	Agent	Approved	11/03/2025
B	Hawaii	Agent	Approved	11/03/2025
B	Kansas	Agent	Approved	11/03/2025
B	Maryland	Agent	Approved	11/03/2025
B	Michigan	Agent	Approved	11/03/2025

Qualifications

	Regulator	Registration	Status	Date
B	Nevada	Agent	Approved	11/03/2025
IA	Nevada	Investment Adviser Representative	Approved	06/25/2026
B	North Carolina	Agent	Approved	11/03/2025
B	Texas	Agent	Approved	11/03/2025
B	Utah	Agent	Approved	11/03/2025
B	Virginia	Agent	Approved	11/03/2025

Branch Office Locations

INTEGRITY ALLIANCE, LLC
3005 S EL CAMINO REAL
SAN CLEMENTE, CA 92672

Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 1 principal/supervisory exam, 3 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

	Exam	Category	Date
B	Investment Company Products/Variable Contracts Principal Examination (S26)	Series 26	11/06/2010

General Industry/Product Exams

	Exam	Category	Date
B	Direct Participation Programs Representative Examination (S22TO)	Series 22TO	06/17/2019
B	Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B	Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	12/30/1999

State Securities Law Exams

	Exam	Category	Date
IA	Uniform Investment Adviser Law Examination (S65)	Series 65	01/13/2006
B	Uniform Securities Agent State Law Examination (S63)	Series 63	02/21/2000

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.

Only professional designations listed in Question 8 of the Form U4 will appear in this section if the appropriate box is checked and verified by the issuing organization at the time of the filing. Learn more about eligible designations at IARD and NASAA.

Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	11/01/2017 - 11/03/2025	LION STREET FINANCIAL, LLC	CRD# 165828	SAN CLEMENTE, CA
IA	10/24/2017 - 11/03/2025	LION STREET ADVISORS, LLC	CRD# 167610	San Clemente, CA
B	10/01/2014 - 10/26/2017	SUMMIT BROKERAGE SERVICES, INC.	CRD# 34643	LAGUNA NIGUEL, CA
IA	10/01/2014 - 10/26/2017	SUMMIT FINANCIAL GROUP INC	CRD# 109485	LAGUNA NIGEL, CA
IA	09/23/2010 - 10/01/2014	UNITED PLANNERS' FINANCIAL SERVICES OF AMERICA A LIMITED PARTNER	CRD# 20804	MISSION VIEJO, CA
B	07/09/2010 - 10/01/2014	UNITED PLANNERS' FINANCIAL SERVICES OF AMERICA A LIMITED PARTNER	CRD# 20804	MISSION VIEJO, CA
B	06/28/2000 - 07/14/2010	WOODBURY FINANCIAL SERVICES, INC.	CRD# 421	FENTON, MI
B	01/02/2000 - 05/02/2000	NEW ENGLAND SECURITIES	CRD# 615	NEW YORK, NY

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
11/2025 - Present	INTEGRITY ALLIANCE, LLC.	Mass Transfer	Y	SAN CLEMENTE, CA, United States
11/2025 - Present	INTEGRITY ALLIANCE, LLC.	Mass Transfer	Y	SAN CLEMENTE, CA, United States
10/2017 - Present	LION STREET ADVISORS	INVESTMENT ADVISOR	Y	LAGUNA NIGEL, CA, United States
10/2017 - Present	LION STREET FINANCIAL	REGISTERED REP	Y	LAGUNA NIGEL, CA, United States
10/2017 - Present	TRITON WEALTH STRATEGIES	OWNER	Y	LAGUNA NIGEL, CA, United States
09/1988 - Present	KINGSLEY FINANCIAL SERVICES INC.	INDEPENDENT INSURANCE AGENT	N	FENTON, MI, United States
09/2014 - 10/2017	SUMMIT FINANCIAL GROUP	IA REP	N	MISSION VIEJO, CA, United States

Registration & Employment History

EMPLOYMENT HISTORY

Employment Dates	Employer Name	Position	Investment Related	Employer Location
08/2014 - 10/2017	SUMMIT BROKERAGE SERVICES INC	REG REP	Y	MISSION VIEJO, CA, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1.TRITON WEALTH STRATEGIES/KINGSLEY FINANCIAL SERVICES; PROPERTY & CASUALTY INSURANCE,HEALTH INSURANCE; SINCE 01/01/1998; SAME ADDRESS AS BRANCH; AGENT; INDIVIDUAL & SMALL GROUP HEALTH INSURANCE SALES & SERVICE, MEDICARE SUPPLEMENT SALES & SERVICE; 15 HOURS SPENT ON THIS ACTIVITY PER MONTH, 0 DURING TRADING HOURS
2.Christian Business Partners; San Clemente Chapter, San Clemente, CA 92673; Is this business investment related: No; Nature of business: Group of business owners networking; What is your position: board member & treasure; How many hours during business hours: 1; Compensation: 0; Job description: deposit donation checks, log online check copies, list of donors and amounts.
3.KINGSLEY FINANCIAL SERVICES, INC.
POSITION: Owner NATURE: Life, Disability and Group Health Insurance INVESTMENT RELATED: No NUMBER OF HOURS: 4 SECURITIES TRADING HOURS: 4 START DATE: 01/01/1997
ADDRESS: 3005 S El Camino Real, San Clemente CA 92672, United States
DESCRIPTION: Service of insurance client book from Michigan
4.KINGDOM OF PRIESTS
POSITION: Board Member NATURE: Christian Ministry including a podcast, blog, worship videos, bible teaching INVESTMENT RELATED: No NUMBER OF HOURS: 1 SECURITIES TRADING HOURS: 0 START DATE: 08/26/2025
ADDRESS: 11527 Walnut St, Kansas City MO 64114, United States
DESCRIPTION: Board member to serve along with other board members as a sounding board and accountability to the ministry leaders activities

Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least $5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending, on appeal,* or *final*.
  - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
  - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
  - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated, settled* or *otherwise resolved*.
  - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
  - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
  - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.

DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	1
Criminal	1
Customer Dispute	1

Regulatory Event

This disclosure event may include a final, formal proceeding initiated by a regulatory authority (e.g., a state securities agency, a federal regulator such as the Securities and Exchange Commission or the Commodities Futures Trading Commission, or a foreign financial regulatory body) for a violation of investment-related rules or regulations. This disclosure event may also include a revocation or suspension of an Investment Adviser Representative's authority to act as an attorney, accountant or federal contractor.

Disclosure 1 of 1

Reporting Source:	Individual
Regulatory Action Initiated By:	COMMONWEALTH OF VIRGINIA STATE CORPORATION OF COMMISSION'S BUREAU OF INSURANCE
Sanction(s) Sought:	Monetary Penalty other than Fines
Date Initiated:	01/19/2016
Docket/Case Number:	955177
Employing firm when activity occurred which led to the regulatory action:	SUMMIT BROKERAGE SERVICES, INC.
Product Type:	Insurance
Allegations:	APPLICATION SUBMITTED FOR LICENSURE ON 8/23/2007, ANSWERED "NO" TO ALL QUESTIONS ON APPLICATION. AS A RESULT, IT APPEARED THAT A VIOLATION OF STATE STATUTE 38.2-1831 (1) OF THE CODE OF VA BY PROVIDING INCORRECT, MISLEADING AND UNTRUE INFO ON THE APPLICATION.
Current Status:	Final
Resolution:	Settled

Does the order constitute a final order based on violations of any laws or regulations that prohibit fraudulent, manipulative, or deceptive conduct?	Yes
Resolution Date:	01/20/2016
Sanctions Ordered:	Civil and Administrative Penalty(ies)/Fine(s)
Monetary Sanction 1 of 1	
Monetary Related Sanction:	Civil and Administrative Penalty(ies)/Fine(s)
Total Amount:	$500.00
Portion Levied against individual:	$500.00
Payment Plan:	
Is Payment Plan Current:	
Date Paid by individual:	
Was any portion of penalty waived?	No
Amount Waived:	
Broker Statement	This was an unintentional clerical error, I have always previously disclosed the issue and been honest with all regulators and vendors.

Criminal

This disclosure event involves a criminal charge against the Investment Adviser Representative that has resulted in a dismissal, plea, acquittal or conviction. The criminal matter may relate to any felony or certain misdemeanor offenses (e.g., bribery, perjury, forgery, counterfeiting, extortion, fraud, wrongful taking of property).

Disclosure 1 of 1

Reporting Source:	Individual
Court Details:	SEMINOLE COUNTY FLORIDA DOCKET #90-2097CFA
Charge Date:	10/16/1990
Charge Details:	ONE COUNT SALE OF CANNAVIS, PLEA OF NOLO CONTENDERE, AJUDICATION WITHHELD
Felony?	Yes
Current Status:	Final
Status Date:	11/15/1990
Disposition Details:	$2100 FINE TO CIRCUIT COURT PLUS $224.20 STATUTORY FINE. CITATION WAS DISMISSED, #63505-RU
Broker Statement	6/15/90 SOLD MARIJUANA TO FRIEND, PAID FINE(S) AND RECEIVED SUSPENDED PROBATION BECAUSE BARRY WAS A FIRST TIME OFFENDER. PROBATION WAS TO BE ESPONGED FROM RECORD IF BARRY STAYED OUT OF TROUBLE FOR A TWO YEAR PERIOD. CITATION WAS DISMISSED 11/15/90.

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 1

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	WOODBURY FINANCIAL SERVICES, INC.
Allegations:	CUSTOMER ALLEGES THAT THE REPRESENTATIVE DID NOT DELIVER A JACKSON NATIONAL POLICY ISSUED ON AUGUST 12, 2009 AND ATTEMPTED TO CANCEL THROUGH THE FREE LOOK PRIVILEGE ON OCTOBER 9, 2009 BUT WAS DENIED BY JACKSON NATIONAL.
Product Type:	Annuity-Variable
Alleged Damages:	$0.00
Alleged Damages Amount Explanation (if amount not exact):	CUSTOMER DID NOT SPECIFY, BUT BELIEVED TO BE OVER $5000.00.
Is this an oral complaint?	No
Is this a written complaint?	Yes
Is this an arbitration/CFTC reparation or civil litigation?	No

Customer Complaint Information

Date Complaint Received:	10/14/2009
Complaint Pending?	No
Status:	Denied
Status Date:	10/19/2009
Settlement Amount:	
Individual Contribution Amount:	
Broker Statement	THE REPRESENTATIVE PROVIDED A COPY OF A SIGNED POLICY DELIVERY RECEIPT DATE AUGUST 17, 2009.

End of Report

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