



IAPD Report

PAUL LOUIS SCHIEBELHUTH

CRD# 413245

<u>Section Title</u>	<u>Page(s)</u>
Report Summary	1
Qualifications	2 - 5
Registration and Employment History	7
Disclosure Information	8

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Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

PAUL LOUIS SCHIEBELHUTH (CRD# 413245)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **11/21/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	RAYMOND JAMES FINANCIAL SERVICES, INC.	CRD# 6694	12/06/1999
IA	RAYMOND JAMES FINANCIAL SERVICES ADVISORS, INC	CRD# 149018	08/16/2021

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **23** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	PRUDENTIAL SECURITIES INCORPORATED	7471	NEW YORK, NY	09/22/1993 - 11/17/1999
B	DEAN WITTER REYNOLDS INC.	7556	PURCHASE, NY	12/12/1978 - 08/30/1993
B	BUTCHER & SINGER INC.	6517	PURCHASE, NY	08/07/1975 - 01/16/1980

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	1
Customer Dispute	2



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **23** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **RAYMOND JAMES FINANCIAL SERVICES, INC.**

Main Address: 880 CARILLON PARKWAY
ST. PETERSBURG, FL 33716

Firm ID#: 6694

	Regulator	Registration	Status	Date
B	FINRA	General Securities Representative	Approved	12/06/1999
B	FINRA	General Securities Sales Supervisor	Approved	12/06/1999
B	California	Agent	Approved	01/07/2010
B	Colorado	Agent	Approved	01/27/2022
B	Connecticut	Agent	Approved	04/20/2006
B	Delaware	Agent	Approved	11/24/2025
B	Florida	Agent	Approved	04/21/2006
B	Georgia	Agent	Approved	04/21/2006
B	Illinois	Agent	Approved	01/27/2014
B	Indiana	Agent	Approved	05/13/2021
B	Kentucky	Agent	Approved	08/29/2006
B	Maryland	Agent	Approved	01/12/2010
B	Massachusetts	Agent	Approved	06/06/2006



Qualifications

Regulator	Registration	Status	Date
B Montana	Agent	Approved	08/17/2009
B New Jersey	Agent	Approved	12/06/1999
B New York	Agent	Approved	12/06/1999
B North Carolina	Agent	Approved	06/07/2005
B Ohio	Agent	Approved	01/03/2019
B Oregon	Agent	Approved	02/18/2023
B Pennsylvania	Agent	Approved	04/21/2006
B South Carolina	Agent	Approved	04/26/2006
B Tennessee	Agent	Approved	03/18/2022
B Texas	Agent	Approved	06/23/2006
B Virginia	Agent	Approved	02/11/2003
B West Virginia	Agent	Approved	03/26/2025

Branch Office Locations

RAYMOND JAMES FINANCIAL SERVICES

255 EXECUTIVE DRIVE
SUITE 100
PLAINVIEW, NY 11803

Employment 2 of 2

Firm Name: **RAYMOND JAMES FINANCIAL SERVICES ADVISORS, INC**
Main Address: 880 CARILLON PARKWAY
SAINT PETERSBURG, FL 33716
Firm ID#: 149018



Qualifications

Regulator	Registration	Status	Date
IA New York	Investment Adviser Representative	Approved	08/16/2021

Branch Office Locations

RAYMOND JAMES FINANCIAL SERVICES ADVISORS, INC
255 Executive Drive
Suite 100
Plainview, NY 11803






Qualifications

PASSED INDUSTRY EXAMS






This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 3 principal/supervisory exams, 5 general industry/product exams, and 2 state securities law exams.



Principal/Supervisory Exams

Exam	Category	Date
 General Securities Sales Supervisor - Options Module Examination (S9)	Series 9	01/02/2023
 General Securities Sales Supervisor - General Module Examination (S10)	Series 10	01/02/2023
 General Securities Sales Supervisor Examination (Options Module & General Module) (S8)	Series 8	09/02/1982

General Industry/Product Exams

Exam	Category	Date
 General Securities Representative Examination (S7TO)	Series 7TO	01/02/2023
 Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
 National Commodity Futures Examination (S3)	Series 3	02/18/1988
 Interest Rate Options Examination (S5)	Series 5	12/11/1982
 Registered Representative Examination (S1)	Series 1	05/10/1971

State Securities Law Exams

Exam	Category	Date
 Uniform Securities Agent State Law Examination (S63)	Series 63	01/17/1995
 Uniform Investment Adviser Law Examination (S65)	Series 65	11/15/1994



PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	09/22/1993 - 11/17/1999	PRUDENTIAL SECURITIES INCORPORATED	CRD# 7471	NEW YORK, NY
B	12/12/1978 - 08/30/1993	DEAN WITTER REYNOLDS INC.	CRD# 7556	PURCHASE, NY
B	08/07/1975 - 01/16/1980	BUTCHER & SINGER INC.	CRD# 6517	
B	05/13/1971 - 09/21/1975	EDWARDS & HANLY	CRD# 6554	

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
06/2010 - Present	Paul Louis Financial Management	Officer - Vice President	N	PLAINVIEW, NY, United States
10/2009 - Present	RAYMOND JAMES FINANCIAL SERVICES ADVISORS, INC.	INVESTMENT ADVISOR REPRESENTATIVE	Y	PLAINVIEW, NY, United States
11/1999 - Present	RAYMOND JAMES FINANCIAL SERVICES, INC	FINANCIAL ADVISER	Y	PLAINVIEW, NY, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

(1)Name of Business: Paul Louis Financial Management Address: 255 Executive Dr. , Plainview, NY, 11803, United States Activity Type: Support Company - Owner Position/Title: Officer - Vice President Investment Related: No Start Date: 06/16/2010 Hours per month devoted to this business: 0-1 Hours per month devoted to this business during trading hours: 0-1 Description of duties: Vice President



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	1
Customer Dispute	2

Regulatory Event

This disclosure event may include a final, formal proceeding initiated by a regulatory authority (e.g., a state securities agency, a federal regulator such as the Securities and Exchange Commission or the Commodities Futures Trading Commission, or a foreign financial regulatory body) for a violation of investment-related rules or regulations. This disclosure event may also include a revocation or suspension of an Investment Adviser Representative's authority to act as an attorney, accountant or federal contractor.

Disclosure 1 of 1

Reporting Source: Regulator

Regulatory Action Initiated By: AMEX

Sanction(s) Sought:

Other Sanction(s) Sought:

Date Initiated: 11/21/1991

Docket/Case Number: 90-D-02

Employing firm when activity occurred which led to the regulatory action: DEAN WITTER REYNOLDS, INC.

Product Type:

Other Product Type(s):

Allegations: The Exchange instituted a formal disciplinary proceeding against Paul Schiebelhuth ("Schiebelhuth"), a registered employee of Dean Witter Reynolds, Inc., a regular member organization of the Exchange. A Stipulation of Facts and Consent to Penalty was subsequently entered into between the Exchange and Schiebelhuth and was accepted by an Exchange Disciplinary Panel at a hearing held on November 21, 1991. Schiebelhuth consented to a finding that he violated Exchange Rules 411 and 922 in that he failed to diligently supervise the trading activities of an account executive.

Current Status: Final



Resolution: Consent
Resolution Date: 01/23/1992
Sanctions Ordered: Censure
Monetary/Fine \$10,000.00
Other Sanctions Ordered:
Sanction Details: Schiebelhuth was censured and fined \$10,000.
Regulator Statement Not Provided

Reporting Source: Individual
Regulatory Action Initiated By: AMERICAN STOCK EXCHANGE
Sanction(s) Sought:
Other Sanction(s) Sought:
Date Initiated: 11/21/1991
Docket/Case Number: 90-D-02
Employing firm when activity occurred which led to the regulatory action: DEAN WITTER REYNOLDS, INC.

Product Type:**Other Product Type(s):**

Allegations: THIS MATTER AROSE OUT OF THE SUPERVISION BY ME OF A REGISTERED REP. STEVEN SKELLY AND HIS HANDLING OF THE ACCOUNT OF CUSTOMER HARRIET ROBINSON DURING THE PERIOD OF 1985-1987. I WAS ALLEGED TO HAVE APPROVED AN ACCOUNT FOR OPTION INVESTING WHICH WAS UNSUITABLE FOR IT.

Current Status: Final

Resolution: Consent
Resolution Date: 01/23/1992
Sanctions Ordered: Censure
Monetary/Fine \$10,000.00

Other Sanctions Ordered:

Sanction Details: A CENSURE FROM THE AMERICAN STOCK EXCHANGE AND TO PAY A FINE TO THE AMEX IN THE AMOUNT OF \$10,000. THIS AGREEMENT WAS WITHOUT ADMITTING OR DENYING ANY OF THE MATTERS STIPULATED TO.

Broker Statement AS A SUPERVISOR I WAS ALLEGED TO HAVE APPROVED A OPTION AGREEMENT THAT WAS UNSUITABLE FOR THE CUSTOMER. THE AMERICAN STOCK EXCHANGE INTERVIEWED ME BY TELEPHONE FOR ONLY 20 MINUTES IN 1989 AND RENDERED A DECISION IN 1992. I FEEL THAT I ADEQUATELY SUPERVISED THE ACCOUNT AND ALSO CLOSED THE ACCOUNT WHEN MORE INFORMATION CONCERNING THE ACCOUNT WAS KNOWN TO



ME. I
AGREED TO THE CENSURE WITHOUT A HEARING AND DEAN WITTER DID
NOT
ASK ME TO CONTRIBUTE TO THE FINE.



Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 2

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: DEAN WITTER REYNOLDS, INC.

Allegations: ORIGINAL COMPLAINT WAS AMENDED AFTER HEARING DATE WAS SET AND I WAS ADDED AND NAMED INDIVIDUALLY AS HAVING FAILED TO SUPERVISE THE REGISTERED REP. ALLEGE DAMAGES WAS \$100,000.00

Product Type:

Alleged Damages: \$100,000.00

Customer Complaint Information

Date Complaint Received:

Complaint Pending? No

Status: Arbitration/Reparation

Status Date:

Settlement Amount:

Individual Contribution Amount:

Arbitration Information

Arbitration/Reparation Claim filed with and Docket/Case No.: National Association of Securities Dealers, Inc.; 91-1891

Date Notice/Process Served: 09/13/1992

Arbitration Pending? No

Disposition: Settled

Disposition Date: 02/16/1993

Monetary Compensation Amount: \$39,000.00

Individual Contribution Amount: \$0.00

Broker Statement I WAS DISMISSED FROM THE CLAIM; DEAN WITTER PAID \$39,000. I WAS NOT ASKED TO CONTRIBUTE. DEAN WITTER SETTLED TO AVOID COST. I BELIEVE CLAIM WAS FRIVOLOUS AND I WAS ONLY NAMED TO THIS CLAIM ONLY AFTER COMPLAINT AND ARBITRATION DATE WAS SET. THE ORIGINAL CLAIM DID NOT NAME ME, BUT WAS ADDED AS A HARASSING TACTIC BY OPPOSING ATTORNEY AFTER A PREVIOUSLY REPORTED AMEX



SETTLEMENT
WAS REACHED.

Disclosure 2 of 2

Reporting Source: Firm

Employing firm when activities occurred which led to the complaint: DEAN WITTER REYNOLDS, INC.

Allegations: ALLEGED FAILURE TO SUPERVISE REGISTERED REPRESENTATIVE--COMPLAINT NAMED ME INDIVIDUALLY

Product Type:

Alleged Damages: \$700,000.00

Customer Complaint Information

Date Complaint Received:

Complaint Pending? No

Status: Arbitration/Reparation

Status Date:

Settlement Amount:

Individual Contribution Amount:

Arbitration Information

Arbitration/Reparation Claim filed with and Docket/Case No.: American Arbitration Association; 32-136-0302092-ID

Date Notice/Process Served: 09/14/1992

Arbitration Pending? No

Disposition: Settled

Disposition Date: 09/03/1993

Monetary Compensation Amount: \$295,000.00

Individual Contribution Amount: \$0.00

Firm Statement DEAN WITTER TO PAY \$295K. CLAIM NAMED MR. SCHIEBELHUTH AS SUPERVISOR ONLY. HE BELIEVED IT TO BE FRIVOLOUS AND BASELESS. FIRM TO PAY SETTLEMENT. MR. SCHIEBELHUTH HAS NOT BEEN ASKED TO CONTRIBUTE.

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: DEAN WITTER REYNOLDS, INC.

Allegations: ALLEGED FAILURE TO SUPERVISE REGISTERED REP ALLEGED DAMAGES \$600,000.00 TO \$700,000.00



Product Type:

Alleged Damages: \$700,000.00

Customer Complaint Information

Date Complaint Received:

Complaint Pending? No

Status: Arbitration/Reparation

Status Date:

Settlement Amount:

Individual Contribution Amount:

Arbitration Information

Arbitration/Reparation Claim filed with and Docket/Case No.: American Arbitration Association; 32-136-0302092-ID

Date Notice/Process Served: 09/14/1992

Arbitration Pending? No

Disposition: Settled

Disposition Date: 09/03/1993

Monetary Compensation Amount: \$295,000.00

Individual Contribution Amount: \$0.00

Broker Statement

DEAN WITTER SETTLED CASE ON SEPTEMBER 3, 1993 FOR THE AMOUNT OF \$295,500. I WAS NAMED INDIVIDUALLY AS A SUPERVISOR ONLY AND FEEL THAT THIS CASE IS FRIVOLOUS AND BASELESS. I WAS DISMISSED FROM THE CASE AND I WAS NOT ASKED TO CONTRIBUTE BY DEAN WITTER. THIS WAS FILED NAMING ME INDIVIDUALLY AS A SUPERVISOR ONLY AND ONLY AFTER I CLOSED THE ACCOUNT. THE NAMING OF ME ON THIS ARBITRATION WAS SOLELY MOTIVATED BY A PREVIOUSLY REPORTED DISCIPLINARY SETTLEMENT WITH THE AMERICAN STOCK EXCHANGE IN WHICH I AGREED TO WITHOUT ADMITTING OR DENYING ANY OF THE MATTERS STIPULATED.



End of Report

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