IAPD Report

CHRISTOPHER PATRICK MURPHY

CRD# 4133652

IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page http://www.nasaa.org/IAPD/IARReports.cfm

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association http://www.nasaa.org

Report Summary

CHRISTOPHER PATRICK MURPHY (CRD# 4133652)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **05/01/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	AMERIPRISE FINANCIAL SERVICES, LLC	CRD# 6363	03/08/2012
IA	AMERIPRISE FINANCIAL SERVICES, LLC	CRD# 6363	08/25/2014

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **27** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.
Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	AMERICAN EXPRESS FINANCIAL ADVISORS INC.	6363	MINNEAPOLIS, MN	05/23/2000 - 10/17/2001
B	IDS LIFE INSURANCE COMPANY	6321	MINNEAPOLIS, MN	05/23/2000 - 10/17/2001

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **No**

Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works.
This individual is currently registered with **27** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **AMERIPRISE FINANCIAL SERVICES, LLC**

Main Address: 901 3RD AVENUE SOUTH
MINNEAPOLIS, MN 55402

Firm ID#: 6363

	Regulator	Registration	Status	Date
B	FINRA	General Securities Representative	Approved	03/08/2012
B	Alabama	Agent	Approved	08/04/2014
B	Alaska	Agent	Approved	02/12/2015
B	Arizona	Agent	Approved	09/05/2024
B	Arkansas	Agent	Approved	05/28/2014
B	California	Agent	Approved	06/17/2014
B	Colorado	Agent	Approved	06/08/2017
B	Connecticut	Agent	Approved	06/08/2017
B	Florida	Agent	Approved	02/05/2016
B	Georgia	Agent	Approved	02/05/2016
B	Illinois	Agent	Approved	02/05/2016
B	Indiana	Agent	Approved	06/08/2017
B	Kansas	Agent	Approved	06/08/2017

Qualifications

	Regulator	Registration	Status	Date
B	Kentucky	Agent	Approved	01/13/2023
B	Louisiana	Agent	Approved	03/08/2012
IA	Louisiana	Investment Adviser Representative	Approved	07/24/2015
B	Minnesota	Agent	Approved	05/01/2026
B	Mississippi	Agent	Approved	08/25/2016
IA	Mississippi	Investment Adviser Representative	Approved	01/30/2020
B	Nevada	Agent	Approved	10/14/2022
B	New Mexico	Agent	Approved	10/14/2022
B	New York	Agent	Approved	02/05/2016
B	North Carolina	Agent	Approved	02/08/2016
B	Oklahoma	Agent	Approved	08/04/2014
B	Pennsylvania	Agent	Approved	06/08/2017
B	South Carolina	Agent	Approved	04/13/2015
B	Tennessee	Agent	Approved	06/08/2017
B	Texas	Agent	Approved	08/04/2014
IA	Texas	Investment Adviser Representative	Restricted Approval	08/25/2014
B	Virginia	Agent	Approved	06/08/2017
B	Washington	Agent	Approved	01/21/2026

Qualifications

Branch Office Locations

AMERIPRISE FINANCIAL SERVICES, LLC
738 N ASHLEY RIDGE LOOP
SHREVEPORT, LA 71106

AMERIPRISE FINANCIAL SERVICES, LLC
250 BEAUVOIR RD
STE 1C
BILOXI, MS 39531

AMERIPRISE FINANCIAL SERVICES, LLC
215 S Railroad Avenue
Brookhaven, MS 39601-3331

AMERIPRISE FINANCIAL SERVICES, LLC
Stonewall, LA

Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 1 state securities law exam.

Principal/Supervisory Exams

	Exam	Category	Date
	No information reported.		

General Industry/Product Exams

	Exam	Category	Date
B	Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B	General Securities Representative Examination (S7)	Series 7	03/07/2012

State Securities Law Exams

	Exam	Category	Date
IA B	Uniform Combined State Law Examination (S66)	Series 66	06/04/2012

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.

Only professional designations listed in Question 8 of the Form U4 will appear in this section if the appropriate box is checked and verified by the issuing organization at the time of the filing. Learn more about eligible designations at IARD and NASAA.

Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	05/23/2000 - 10/17/2001	AMERICAN EXPRESS FINANCIAL ADVISORS INC.	CRD# 6363	MINNEAPOLIS, MN
B	05/23/2000 - 10/17/2001	IDS LIFE INSURANCE COMPANY	CRD# 6321	MINNEAPOLIS, MN

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
03/2020 - Present	Ameriprise Financial Services, LLC	Registered Rep	Y	Shreveport, LA, United States
02/2012 - 03/2020	Ameriprise Financial Services, Inc.	Registered Rep	Y	Shreveport, LA, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

Outside Employment; Kevin L Murphy, LLC; AFA working with Kevin Murphy, Ameriprise Franchise Advisor; Manage advisory business; 738 N ASHLEY RIDGE LOOP, , SHREVEPORT, LA, 71106-7209; Investment-Related; 02/26/2023; 60 hours per month; 60 during trading hours. Other Business Activities; Laveer Acquisitions; Checking account used to pay expenses for practice acquisitions including travel, meals, bank notes, etc; 738 North Ashley Ridge LoopShreveport, LA 71106, ,; Not Investment-Related; 09/01/2015; 1 to 9 hours per month; 1 to 9 during trading hours / Laveer-NSC, LLC; LLC for building/office expenses; 514 Main StreetNorth, South Carolina 29112, ,; Not Investment-Related; 05/01/2015; 1 to 9 hours per month; 1 to 9 during trading hours / St Ann Financial Council; A member of the Financial Council in the Church parish that advises the Pastor on Financial matters regarding the church. The council consists of 5 other members. Two of those members are trustees. Duties include helping the Pastor regarding financial matters of the Church. I.E. Construction projects, financing, Fund raisers, Cash flow, expenses, etc. No Investment decisions nor is there an investment committee. No advice on Ameriprise or other firm financial products and services. If that was ever brought up in the future I would abstain and inform them from a compliance perspective I'm unable to participate in the conversation. That is a non-issue presently to the best of my knowledge.; 2260 US 171, , Stonewall, LA, 71078; Not Investment-Related; 03/01/2026; 1 to 9 hours per month; 0 during trading hours.

End of Report

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