



## IAPD Report

# Christopher Warren Wright

CRD# 4134973

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



## IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

### What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

### Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

### How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

### Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

### What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

### Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



## Report Summary

### Christopher Warren Wright (CRD# 4134973)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **03/27/2026**.

### CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
<b>IA</b>	WRIGHT WEALTH MANAGEMENT GROUP	CRD# 156184	03/27/2014
<b>B</b>	REALTA EQUITIES, INC.	CRD# 23769	12/13/2023

### QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **16** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

**Note:** Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

### REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
<b>B</b>	CENTER STREET SECURITIES, INC.	26898	PRESCOTT, AZ	06/07/2010 - 12/01/2023
<b>IA</b>	MULTI-FINANCIAL SECURITIES CORPORATION	10299	PRESCOTT, AZ	04/14/2008 - 06/04/2010
<b>B</b>	MULTI-FINANCIAL SECURITIES CORPORATION	10299	PRESCOTT, AZ	09/05/2006 - 06/04/2010

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

### DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	8



## Qualifications

### REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **16** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

### Employment 1 of 2

Firm Name: **REALTA EQUITIES, INC.**  
Main Address: 1201 N. ORANGE STREET  
SUITE 729  
WILMINGTON, DE 19801  
Firm ID#: 23769

	Regulator	Registration	Status	Date
<b>B</b>	FINRA	General Securities Representative	Approved	12/13/2023
<b>B</b>	FINRA	Invest. Co and Variable Contracts	Approved	12/13/2023
<b>B</b>	Alabama	Agent	Approved	02/13/2024
<b>B</b>	Alaska	Agent	Approved	01/26/2024
<b>B</b>	Arizona	Agent	Approved	01/24/2024
<b>B</b>	California	Agent	Approved	12/13/2023
<b>B</b>	Colorado	Agent	Approved	02/02/2024
<b>B</b>	Florida	Agent	Approved	12/19/2023
<b>B</b>	Georgia	Agent	Approved	01/22/2026
<b>B</b>	Idaho	Agent	Approved	11/20/2024
<b>B</b>	Indiana	Agent	Approved	02/19/2024
<b>B</b>	Michigan	Agent	Approved	01/26/2024
<b>B</b>	Nevada	Agent	Approved	02/08/2024



### Qualifications

Regulator	Registration	Status	Date
<b>B</b> North Carolina	Agent	Approved	08/30/2024
<b>B</b> Oregon	Agent	Approved	12/20/2023
<b>B</b> Texas	Agent	Approved	02/13/2024
<b>B</b> Utah	Agent	Approved	02/05/2025
<b>B</b> Wisconsin	Agent	Approved	02/12/2024

### Branch Office Locations

**COASTAL EQUITIES, INC.**  
 3181 CLEARWATER DRIVE  
 SUITE A  
 PRESCOTT, AZ 86305

### Employment 2 of 2

Firm Name: **WRIGHT WEALTH MANAGEMENT GROUP**  
 Main Address: 3181 CLEARWATER DR  
 SUITE A  
 PRESCOTT, AZ 86305  
 Firm ID#: 156184

Regulator	Registration	Status	Date
<b>IA</b> Arizona	Investment Adviser Representative	Approved	02/11/2015
<b>IA</b> Texas	Investment Adviser Representative	Restricted Approval	04/03/2019

### Branch Office Locations

**WRIGHT WEALTH MANAGEMENT GROUP**  
 3181 CLEARWATER DR  
 SUITE A  
 PRESCOTT, AZ 86305




## Qualifications

### PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

**This individual has passed 1 principal/supervisory exam, 3 general industry/product exams, and 2 state securities law exams.**



#### Principal/Supervisory Exams

Exam	Category	Date
 Investment Company Products/Variable Contracts Principal Examination (S26)	Series 26	12/29/2001

#### General Industry/Product Exams

Exam	Category	Date
 Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
 General Securities Representative Examination (S7)	Series 7	04/09/2010
 Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	03/18/2000

#### State Securities Law Exams

Exam	Category	Date
 Uniform Investment Adviser Law Examination (S65)	Series 65	03/14/2008
 Uniform Securities Agent State Law Examination (S63)	Series 63	04/01/2000

### PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



## Registration & Employment History

### PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	06/07/2010 - 12/01/2023	CENTER STREET SECURITIES, INC.	CRD# 26898	PRESCOTT, AZ
IA	04/14/2008 - 06/04/2010	MULTI-FINANCIAL SECURITIES CORPORATION	CRD# 10299	PRESCOTT, AZ
B	09/05/2006 - 06/04/2010	MULTI-FINANCIAL SECURITIES CORPORATION	CRD# 10299	PRESCOTT, AZ
B	09/30/2003 - 09/08/2006	LINSCO/PRIVATE LEDGER CORP.	CRD# 6413	PRESCOTT, AZ
B	03/20/2000 - 10/01/2003	H.D. VEST INVESTMENT SERVICES	CRD# 13686	DALLAS, TX

### EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
12/2023 - Present	REALTA EQUITIES, INC.	REGISTERED REPRESENTATIVE	Y	WILMINGTON, DE, United States
06/2002 - Present	WRIGHT WEALTH MANAGEMENT	DIRECT OWNER	Y	PRESCOTT, AZ, United States
11/1999 - Present	Various Insurance Companies	Licensed Insurance Agent	Y	Prescott, AZ, United States
06/2010 - 12/2023	CENTER STREET SECURITIES	REGISTERED REP	Y	NASHVILLE, TN, United States

### OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

(1) FIXED INSURANCE AGENT, SINCE 11/1999, PRESCOTT, AZ, FIXED INSURANCE SALES, WWW.WRIGHTWEALTHMANAGEMENT.COM; DIRECT COMMISSIONS FROM INSURANCE CARRIERS; APPROXIMATELY 5 HOURS PER WEEK DURING NORMAL TRADING HOURS.

(2) C.W. WRIGHT FINANCIAL GROUP, REGISTERED BUSINESS NAME WITH STATE USING DBA OF WRIGHT WEALTH MANAGEMENT, START 09/06/06, 3181 CLEARWATER DR, STE A, PRESCOTT AZ 86305, WWW.WRIGHTWEALTHMANAGEMENT.COM, DBA NAME FOR FINANCIAL SERVICES (LICENSED AS AN INVESTMENT ADVISER SINCE 1/31/2011), PRESIDENT, FEE BASED COMPENSATION, 40 HOURS PER WEEK DURING NORMAL



## Registration & Employment History



### OTHER BUSINESS ACTIVITIES

TRADING HOURS.

(3) LONGRANGE OUTDOORS LLC, PRESCOTT, AZ, START 03/31/2011, SOLE MEMBER, PROVIDE OUTDOOR EDUCATION TO FAMILY, FRIENDS AND YOUTH GROUPS, NON-INVESTMENT RELATED, LESS THAN 10 HOURS PER MONTH DURING NON-TRADING HOURS.

(4) MALAPAI HOMES AND DEVELOPMENT LLC, PRESCOTT, AZ, START 3/8/2004, OWNER OF BUILDING, USE OF LLC TO HOLD COMMERCIAL BUILDING, LESS THAN ONE HOUR PER WEEK DURING NORMAL TRADING HOURS.

(5) STANLEY PROPERTIES LLC, NOT INVESTMENT RELATED, PRESCOTT, AZ, START 3/4/2005, REAL ESTATE INVESTMENTS, LESS THAN ONE HOUR PER MONTH-DURING NON-TRADING HOURS

(6) ALTURAS, LLC; START: 12/2023; NOT INVESTMENT RELATED; 3181 CLEARWATER DR STE A PRESCOTT, AZ 86305; FUTURE RENTAL PROPERTIES AND RENTAL VEHICLE ACTIVITY; NO COMPENSATION; 2 HOURS PER MONTH DURING NORMAL TRADING HOURS.



## Disclosure Summary

### Disclosure Information

#### What you should know about reported disclosure events:

##### (1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

##### (2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

##### (3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
  - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
  - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
  - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
  - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
  - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
  - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

##### (4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



## DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	8

### Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

#### Disclosure 1 of 8

<b>Reporting Source:</b>	Individual
<b>Employing firm when activities occurred which led to the complaint:</b>	CENTER STREET SECURITIES, INC.
<b>Allegations:</b>	The claimant alleges unsuitable, illiquid, and high-risk alternative investments.
<b>Product Type:</b>	Other: Alternative Investments
<b>Alleged Damages:</b>	\$125,000.00

#### Arbitration Information

<b>Arbitration/CFTC reparation claim filed with (FINRA, AAA, CFTC, etc.):</b>	FINRA
<b>Docket/Case #:</b>	25-01385
<b>Date Notice/Process Served:</b>	08/28/2025
<b>Arbitration Pending?</b>	No
<b>Disposition:</b>	Settled
<b>Disposition Date:</b>	02/18/2026
<b>Monetary Compensation Amount:</b>	\$30,000.00
<b>Individual Contribution Amount:</b>	\$30,000.00

**Disclosure 2 of 8**

**Reporting Source:** Individual

**Employing firm when activities occurred which led to the complaint:** CENTER STREET SECURITIES, INC.

**Allegations:** The claimant alleges unsuitable investments.

**Product Type:** Other: Alternative Investments

**Alleged Damages:** \$90,000.00

**Is this an oral complaint?** No

**Is this a written complaint?** Yes

**Is this an arbitration/CFTC reparation or civil litigation?** No

**Customer Complaint Information**

**Date Complaint Received:** 10/01/2024

**Complaint Pending?** No

**Status:** Closed/No Action

**Status Date:** 03/17/2026

**Settlement Amount:**

**Individual Contribution Amount:**

**Broker Statement** Client claims investments were unsuitable. However, client reviewed risks by reading the prospectuses, reviewing all disclosures and suitability forms before signing all forms and applications.

**Disclosure 3 of 8**

**Reporting Source:** Individual

**Employing firm when activities occurred which led to the complaint:** Center Street Securities, Inc.

**Allegations:** Claimants allege breach of fiduciary duty, violation of NASD and NYSE rules, breach of contract and negligence, and negligent supervision.

**Product Type:** Other: Equity Income Fund

**Alleged Damages:** \$50,000.00

**Is this an oral complaint?** No

**Is this a written complaint?** No

**Is this an arbitration/CFTC reparation or civil litigation?** Yes

**Arbitration/Reparation forum or court name and location:** FINRA

**Docket/Case #:** 23-03424



**Filing date of arbitration/CFTC reparation or civil litigation:** 12/01/2023

**Customer Complaint Information**

**Date Complaint Received:** 01/24/2024

**Complaint Pending?** No

**Status:** Settled

**Status Date:** 05/31/2024

**Settlement Amount:** \$3,750.00

**Individual Contribution Amount:** \$3,750.00

**Disclosure 4 of 8**

**Reporting Source:** Individual

**Employing firm when activities occurred which led to the complaint:** CENTER STREET SECURITIES, INC.

**Allegations:** Client alleges registered representative recommended unsuitable investments.

**Product Type:** Other: Alternative Investments

**Alleged Damages:** \$500,000.00

**Alleged Damages Amount Explanation (if amount not exact):** Between \$100,000 and \$500,000

**Is this an oral complaint?** No

**Is this a written complaint?** Yes

**Is this an arbitration/CFTC reparation or civil litigation?** Yes

**Arbitration/Reparation forum or court name and location:** FINRA

**Docket/Case #:** 23-00059

**Filing date of arbitration/CFTC reparation or civil litigation:** 01/06/2023

**Customer Complaint Information**

**Date Complaint Received:** 01/15/2023

**Complaint Pending?** No

**Status:** Evolved into Arbitration/CFTC reparation (the individual is a named party)

**Status Date:** 01/06/2023

**Settlement Amount:**

**Individual Contribution Amount:**



## Arbitration Information

**Arbitration/CFTC reparation claim filed with (FINRA, AAA, CFTC, etc.):** FINRA

**Docket/Case #:** 23-00059

**Date Notice/Process Served:** 01/15/2023

**Arbitration Pending?** No

**Disposition:** Settled

**Disposition Date:** 06/12/2025

**Monetary Compensation Amount:** \$14,900.00

**Individual Contribution Amount:** \$14,900.00

### Broker Statement

The client claims investments were unsuitable. However, the client reviewed the risks by reading the prospectuses, reviewing all disclosures and suitability forms before signing all forms and applications. All of the investment recommendations - including the many profitable ones - that I made for the clients were suitable for them based on their investment profile and the information reasonably available at the time of the recommendations. In addition, all of the material risks and features of those investments were thoroughly and accurately disclosed to the clients.

## Disclosure 5 of 8

**Reporting Source:** Individual

**Employing firm when activities occurred which led to the complaint:** CENTER STREET SECURITIES, INC.

**Allegations:** Clients allege registered representative recommended an unsuitable investment.

**Product Type:** Other: GWG L Bonds

**Alleged Damages:** \$135,000.00

**Is this an oral complaint?** No

**Is this a written complaint?** Yes

**Is this an arbitration/CFTC reparation or civil litigation?** Yes

**Arbitration/Reparation forum or court name and location:** FINRA

**Docket/Case #:** 22-01258

**Filing date of arbitration/CFTC reparation or civil litigation:** 06/03/2022

## Customer Complaint Information

**Date Complaint Received:** 06/08/2022

**Complaint Pending?** No

**Status:** Settled



**Status Date:** 09/30/2023

**Settlement Amount:** \$47,143.75

**Individual Contribution Amount:** \$0.00

### Disclosure 6 of 8

**Reporting Source:** Individual

**Employing firm when activities occurred which led to the complaint:** CENTER STREET SECURITIES, INC.

**Allegations:** nov 2019.client is complaining that rep rolled an annuity with 3 years to go into another annuity which restarted the surrender period. client states that he did not want more annuities

**Product Type:** Annuity-Fixed

**Alleged Damages:** \$197,000.00

**Is this an oral complaint?** No

**Is this a written complaint?** Yes

**Is this an arbitration/CFTC reparation or civil litigation?** No

### Customer Complaint Information

**Date Complaint Received:** 04/28/2020

**Complaint Pending?** No

**Status:** Settled

**Status Date:** 07/08/2020

**Settlement Amount:** \$0.00

**Individual Contribution Amount:** \$0.00

**Broker Statement** The annuity company gave back to the client his money and unwound the annuity. There was no money issued to the client in settlement of this matter.

### Disclosure 7 of 8

**Reporting Source:** Individual

**Employing firm when activities occurred which led to the complaint:** CENTER STREET SECURITIES, INC.

**Allegations:** 8/14/2015. Respondent mishandled the Claimants' assets by failing to properly allocate and diversify Claimants' retirement portfolios

**Product Type:** Other: alternatives

**Alleged Damages:** \$14,000.00

**Alleged Damages Amount Explanation (if amount not exact):** they still own this product



Is this an oral complaint? No

Is this a written complaint? Yes

Is this an arbitration/CFTC reparation or civil litigation? Yes

Arbitration/Reparation forum or court name and location: FINRA office of dispute resolution

Docket/Case #: 19-01660

Filing date of arbitration/CFTC reparation or civil litigation: 06/13/2019

**Customer Complaint Information**

Date Complaint Received: 06/13/2019

Complaint Pending? No

Status: Settled

Status Date: 06/08/2021

Settlement Amount: \$36,000.00

Individual Contribution Amount: \$0.00

**Broker Statement**

I vehemently deny any allegations of wrongdoing in connection with the two "cherrypicked" investments that the clients placed at issue in this matter. All of the investment recommendations - including the many profitable ones - that I made for the clients were suitable for them based on their investment profile and the information reasonably available at the time of the recommendations. In addition, all of the material risks and features of those investments were thoroughly and accurately disclosed to the clients. The Firm made a business decision to resolve this matter by way of settlement, for an amount that was less than the fees and costs necessary just to defend this matter through a final hearing, and the Firm (and I) expressly deny any liability to the clients whatsoever in connection with that settlement

**Disclosure 8 of 8**

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: MULTI-FINANCIAL SECURITIES CORPORATION

Allegations: CLIENT ALLEGED SUITABILITY ISSUES WITH HER VARIABLE ANNUITY PURCHASE

Product Type: Annuity-Variable

Alleged Damages: \$5,800.00

Is this an oral complaint? No

Is this a written complaint? Yes

Is this an arbitration/CFTC reparation or civil litigation? No

**Customer Complaint Information**



**Date Complaint Received:** 09/28/2009

**Complaint Pending?** No

**Status:** Denied

**Status Date:** 10/27/2009

**Settlement Amount:**

**Individual Contribution Amount:**

**Broker Statement**

I HAVE REVIEWED THE COMPLAINT BY [CUSTOMER]. I HAVE FOUND THAT HER ALLEGATIONS ARE INCORRECT. THE JACKSON NATIONAL ANNUITY IS FULLY SUITABLE FOR HER. IT PROVIDES THE GUARANTEE OF PRINCIPLE SHE WAS LOOKING FOR.



## End of Report

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