



IAPD Report

MATTHEW CRAIG QUEEN

CRD# 4136265

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Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

MATTHEW CRAIG QUEEN (CRD# 4136265)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **12/02/2024**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	FINANCIAL PARTNERS GROUP, INC.	CRD# 288644	09/14/2017

QUALIFICATIONS

This representative is currently registered in **0** SRO(s) and **2** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	MUTUAL SECURITIES, INC.	13092	Overland Park, KS	09/15/2017 - 12/02/2024
IA	CAMBRIDGE INVESTMENT RESEARCH ADVISORS, INC.	134139	OVERLAND PARK, KS	02/03/2014 - 09/26/2017
B	CAMBRIDGE INVESTMENT RESEARCH, INC.	39543	OVERLAND PARK, KS	07/16/2010 - 09/26/2017

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	2



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **2** jurisdiction(s) and **0** SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **FINANCIAL PARTNERS GROUP, INC.**
Main Address: 10925 ANTIOCH ROAD
SUITE 100
OVERLAND PARK, KS 66210
Firm ID#: 288644

	Regulator	Registration	Status	Date
IA	Kansas	Investment Adviser Representative	Approved	09/14/2017
IA	Texas	Investment Adviser Representative	Approved	09/18/2017

Branch Office Locations

FINANCIAL PARTNERS GROUP, INC.
10925 ANTIOCH ROAD
SUITE 100
OVERLAND PARK, KS 66210



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 3 general industry/product exams, and 2 state securities law exams.




Principal/Supervisory Exams

Exam	Category	Date
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No information reported.



General Industry/Product Exams

Exam	Category	Date
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 Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
 General Securities Representative Examination (S7)	Series 7	10/10/2000
 Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	03/16/2000

State Securities Law Exams

Exam	Category	Date
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 Uniform Investment Adviser Law Examination (S65)	Series 65	07/27/2004
 Uniform Securities Agent State Law Examination (S63)	Series 63	03/20/2000

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	09/15/2017 - 12/02/2024	MUTUAL SECURITIES, INC.	CRD# 13092	Overland Park, KS
IA	02/03/2014 - 09/26/2017	CAMBRIDGE INVESTMENT RESEARCH ADVISORS, INC.	CRD# 134139	OVERLAND PARK, KS
B	07/16/2010 - 09/26/2017	CAMBRIDGE INVESTMENT RESEARCH, INC.	CRD# 39543	OVERLAND PARK, KS
IA	08/13/2004 - 01/31/2014	LAWING FINANCIAL INC	CRD# 117178	OVERLAND PARK, KS
B	08/13/2003 - 07/16/2010	THE O.N. EQUITY SALES COMPANY	CRD# 2936	OVERLAND PARK, KS
IA	09/09/2004 - 01/28/2010	ON INVESTMENT MANAGEMENT CO	CRD# 105662	OVERLAND PARK, KS
B	09/13/2000 - 08/04/2003	EDWARD JONES	CRD# 250	ST. LOUIS, MO
B	09/08/2000 - 09/19/2000	FARMERS FINANCIAL SOLUTIONS, LLC	CRD# 103863	WESTLAKE VILLAGE, C
B	03/17/2000 - 09/08/2000	INVESTORS BROKERAGE SERVICES, INC.	CRD# 4257	ELGIN, IL

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
09/2017 - Present	Financial Partners Group, Inc.	Investment Adviser Representative	Y	OVERLAND PARK, KS, United States
09/2017 - Present	MUTUAL SECURITIES, INC.	REGISTERED REPRESENTATIVE	Y	CAMARILLO, CA, United States
02/2014 - 09/2017	Cambridge Investment Research Advisors, Inc	Investment Adviser Representative	Y	OVERLAND PARK, KS, United States
07/2010 - 09/2017	CAMBRIDGE INVESTMENT RESEARCH, INC	REG REP	Y	FAIRFIELD, IA, United States



Registration & Employment History



OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1. FINANCIAL PARTNERS GROUP, CORPORATION, START DATE: 09/15/17, 10925 Antioch, Ste. 100, Overland Park, KS 66210, RIA, INVESTMENT ADVISOR, INVESTMENT RELATED, 120 HOURS PER MONTH, 5 HOURS PER DAY DURING TRADING HOURS.
2. INDEPENDENT INSURANCE AGENT, SOLE PROPRIETOR, 10925 Antioch, Ste. 100, Overland Park, KS 66210, INSURANCE AGENCY/OWNER, NOT INVESTMENT RELATED, 4 HOURS PER MONTH, 0 HOURS PER DAY DURING TRADING HOURS.
3. DONALD H CHISHOLM PLANNED GIVING COUNCIL OF CHILDREN'S MERCY HOSPITAL, NON-PROFIT, START DATE: 01/01/13, 2401 GILHAM ROAD, KANSAS CITY, MO 64108, CHILDREN'S HOSPITAL, BOARD MEMBER, NOT INVESTMENT RELATED, 1 HOUR PER MONTH, 0 HOURS PER DAY DURING TRADING HOURS.
4. MATTHEW QUEEN, JD, SOLE PROPRIETOR, START DATE: 7/10/14, 9027 BIRCH PRAIRIE VILLAGE, KS 66207, ATTORNEY/OWNER, DO NOT HAVE ACTIVE PRACTICE, NOT INVESTMENT RELATED, 0 HOURS PER MONTH, 0 HOURS PER DAY DURING TRADING HOURS.
5. MCQ Financial Services, LLC., Start Date: 01/23/2020, 10925 Antioch, Ste 100, Overland Park, KS 66210, Advisory, Owner, Accountability of revenue received from FPG Inc RIA and Mutual Securities, Investment Related, 2 hours per month, 0 hours per day during trading hours.
6. CRAIG FARMS, LLC., START DATE: 03/18/2022, 9027 Birch St., Prairie Village, KS 66207, LLC, Run 3 Family owned Farms in the State of Kansas, Managing Member, Work with other Holders of Interest in the LLC, work with Tenant Farmers, Insurance Companies who insure crops, land, etc, CPA, maintain bank accounts, FSA offices, COOPs and other entities that have need to be contacted concerning Farming operation, Not Investment Related, 5-10 Hours per month, 0 Hours per day during trading hours.
7. David A Smith Legacy Society Committee fbo Boys and Girls Club of Greater Kansas City, Start Date: 0/04/2022, 4001 Dr Martin Luther King Jr Blvd., Ste. 102, Kansas City, MO 64130, Advisory Committee for the Boys and Girls Club of KC, Non-Profit Advisory Committee for Boys and Girls Club of Kansas City, Committee Member, Committee is to help promote giving to the Boys and Girls Club of Greater Kansas City, Not Investment Related, 1-2 Hours per month, 0 Hours per day during trading hours.



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	2

Regulatory Event

This disclosure event may include a final, formal proceeding initiated by a regulatory authority (e.g., a state securities agency, a federal regulator such as the Securities and Exchange Commission or the Commodities Futures Trading Commission, or a foreign financial regulatory body) for a violation of investment-related rules or regulations. This disclosure event may also include a revocation or suspension of an Investment Adviser Representative's authority to act as an attorney, accountant or federal contractor.

Disclosure 1 of 2

Reporting Source:	Individual
Regulatory Action Initiated By:	Kansas Insurance Department
Sanction(s) Sought:	Suspension
Date Initiated:	11/09/2020
Docket/Case Number:	953703162-000
Employing firm when activity occurred which led to the regulatory action:	Mutual Securities, Inc.
Product Type:	No Product
Allegations:	The agent missed the deadline to complete his continuing education requirement.
Current Status:	Final
Resolution:	License was reinstated upon completion of CE
Does the order constitute a final order based on violations of any laws or regulations that prohibit fraudulent, manipulative, or deceptive conduct?	No
Resolution Date:	10/30/2020
Sanctions Ordered:	Suspension
Sanction 1 of 1	
Sanction Type:	Suspension



Capacities Affected: Life, Health, Variable Insurance

Duration: 3 days

Start Date: 10/27/2020

End Date: 10/30/2020

Broker Statement
I mistakenly thought that Continuing Education I had taken in 2019 and 2020 had been forwarded to Kansas Insurance Department for satisfaction of my CE requirement. In reviewing my status, I discovered that the CE credits had not been forwarded. I immediately saw that the CE requirement was satisfied and that all the requirements for renewal were received by the KS Ins. Dept. a few days after the renewal date.
On a December 1, 2020 I received a letter from the Kansas Insurance Department, the KS Insurance Department states that there was not any formal administrative action taken by the Department and my license was in a grace period.

Disclosure 2 of 2

Reporting Source: Individual
Regulatory Action Initiated By: KANSAS STATE SUPREME COURT

Sanction(s) Sought: Suspension
Other Sanction(s) Sought: SUSPENSION FROM PRACTICE OF LAW

Date Initiated: 11/04/1999

Docket/Case Number: N/A

Employing firm when activity occurred which led to the regulatory action: STIGALL, HUMPHREY, LUCAS, HENRY, STIGALL & DOLLAR

Product Type: No Product

Other Product Type(s):

Allegations: DUE TO THE KANSAS CONTINUING LEGAL. EDUCATION COMMISSION HAD NOT BEEN PAID BY THE FIRM. I DID NOT RECEIVE TIMELY NOTICE BECAUSE OF MY FAMILY MOVING AND THE LAW FIRM SENT THE NOTICE TO MY OLD ADDRESS. UPON RECEIVING THE NOTICE, I IMMEDIATELY PAID THE CLE DUES AND WAS REINSTATED.

Current Status: Final

Resolution: Other

Resolution Date: 11/18/1999

Sanctions Ordered: Monetary/Fine \$100.00

Other Sanctions Ordered:

Sanction Details: RECEIVE NOTICE OF SUSPENSION TO PRACTICE LAW IN THE STATE OF KANSAS DATED NOV 4, 1999. PAID CONTINUING LEGAL EDUCATION COMMISSION ON NOV 16, 1999, AND ON NOVEMBER 18, 1999 THE SUSPENSION WAS REVOKED ON SANCTION WAS A \$50.00 LATE FEE AND A \$50.00 REINSTATEMENT FEE.

Broker Statement
FINE WAS FOR 50.00 LATE FEE ON PAYMENT OF KANSAS CLE DUES AND 50.00 FOR REINSTATEMENT. PRESENTLY I AM LISTED AS AN ACTIVE NON-



PRACTICING ATTORNEY ON THE KANSAS ROLLS.



End of Report

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