



## IAPD Report

# KURT RICHARD SUPE

CRD# 4154773

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



## IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

### What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

### Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

### How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

### Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

### What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

### Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



## Report Summary

### KURT RICHARD SUPE (CRD# 4154773)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **01/28/2026**.

### CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
<b>B</b>	CFD INVESTMENTS, INC.	CRD# 25427	05/19/2009
<b>IA</b>	CREATIVE FINANCIAL DESIGNS, INC.	CRD# 109032	05/22/2009

### QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **26** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

**Note:** Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

### REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
<b>IA</b>	NATIONAL PLANNING CORPORATION ("NPC OF AMERICA" IN FL & NY)	29604	INDIANAPOLIS, IN	05/08/2006 - 05/22/2009
<b>B</b>	NATIONAL PLANNING CORPORATION	29604	INDIANAPOLIS, IN	03/10/2004 - 05/22/2009
<b>B</b>	NORTHWESTERN MUTUAL INVESTMENT SERVICES, LLC	2881	MILWAUKEE, WI	03/30/2000 - 03/19/2004

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

### DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	3



## Qualifications

### REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **26** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

### Employment 1 of 2

Firm Name: **CFD INVESTMENTS, INC.**  
Main Address: 2704 S GOYER RD  
KOKOMO, IN 46902  
Firm ID#: 25427

	Regulator	Registration	Status	Date
<b>B</b>	FINRA	General Securities Representative	Approved	05/19/2009
<b>B</b>	FINRA	General Securities Sales Supervisor	Approved	05/19/2009
<b>B</b>	Alabama	Agent	Approved	05/29/2018
<b>B</b>	Alaska	Agent	Approved	04/25/2023
<b>B</b>	Arizona	Agent	Approved	01/18/2017
<b>B</b>	California	Agent	Approved	10/27/2009
<b>B</b>	Colorado	Agent	Approved	12/01/2015
<b>B</b>	Florida	Agent	Approved	04/28/2010
<b>B</b>	Georgia	Agent	Approved	04/24/2019
<b>B</b>	Illinois	Agent	Approved	06/22/2021
<b>B</b>	Indiana	Agent	Approved	05/26/2009
<b>B</b>	Iowa	Agent	Approved	08/20/2020
<b>B</b>	Kansas	Agent	Approved	11/06/2020



### Qualifications

Regulator	Registration	Status	Date
<b>B</b> Kentucky	Agent	Approved	05/19/2009
<b>B</b> Maryland	Agent	Approved	12/07/2018
<b>B</b> Michigan	Agent	Approved	11/03/2015
<b>B</b> Minnesota	Agent	Approved	07/12/2022
<b>B</b> Missouri	Agent	Approved	05/07/2020
<b>B</b> Nebraska	Agent	Approved	08/26/2022
<b>B</b> North Carolina	Agent	Approved	04/11/2019
<b>B</b> Ohio	Agent	Approved	05/19/2009
<b>B</b> Pennsylvania	Agent	Approved	01/06/2023
<b>B</b> South Carolina	Agent	Approved	05/17/2019
<b>B</b> South Dakota	Agent	Approved	06/07/2024
<b>B</b> Tennessee	Agent	Approved	10/04/2018
<b>B</b> Texas	Agent	Approved	01/05/2023
<b>B</b> Virginia	Agent	Approved	01/29/2024
<b>B</b> Wisconsin	Agent	Approved	07/11/2022

### Branch Office Locations

6838 SOUTH EAST STREET  
INDIANAPOLIS, IN 46227

### Employment 2 of 2

Firm Name: **CREATIVE FINANCIAL DESIGNS, INC.**



## Qualifications

Main Address: 2704 S GOYER RD  
KOKOMO, IN 46902  
Firm ID#: 109032

Regulator	Registration	Status	Date
IA Indiana	Investment Adviser Representative	Approved	05/22/2009

### Branch Office Locations

**CREATIVE FINANCIAL DESIGNS, INC.**  
6838 SOUTH EAST STREET  
INDIANAPOLIS, IN 46227





## Qualifications

### PASSED INDUSTRY EXAMS



This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

**This individual has passed 2 principal/supervisory exams, 2 general industry/product exams, and 2 state securities law exams.**



#### Principal/Supervisory Exams

Exam	Category	Date
 General Securities Sales Supervisor - General Module Examination (S10)	Series 10	03/30/2000
 General Securities Sales Supervisor - Options Module Examination (S9)	Series 9	03/24/2000

#### General Industry/Product Exams

Exam	Category	Date
 Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
 General Securities Representative Examination (S7)	Series 7	03/29/2000

#### State Securities Law Exams

Exam	Category	Date
 Uniform Investment Adviser Law Examination (S65)	Series 65	01/27/2006
 Uniform Securities Agent State Law Examination (S63)	Series 63	04/06/2000

### PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



## Registration & Employment History

### PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
<b>IA</b>	05/08/2006 - 05/22/2009	NATIONAL PLANNING CORPORATION ("NPC OF AMERICA" IN FL & NY)	CRD# 29604	INDIANAPOLIS, IN
<b>B</b>	03/10/2004 - 05/22/2009	NATIONAL PLANNING CORPORATION	CRD# 29604	INDIANAPOLIS, IN
<b>B</b>	03/30/2000 - 03/19/2004	NORTHWESTERN MUTUAL INVESTMENT SERVICES, LLC	CRD# 2881	MILWAUKEE, WI
<b>B</b>	03/30/2000 - 01/01/2002	ROBERT W. BAIRD & CO. INCORPORATED	CRD# 8158	MILWAUKEE, WI

### EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
07/2010 - Present	SUPE CULPEPPER INC DBA CREATIVE FINANCIAL GROUP	DBA	Y	INDIANAPOLIS, IN, United States
05/2009 - Present	CFD INVESTMENTS, INC	REGISTERED REP	Y	KOKOMO, IN, United States
05/2009 - Present	CREATIVE FINANCIAL DESIGNS INC	IAR	Y	KOKOMO, IN, United States

### OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1. SUPE CULPEPPER, INC-DBA Creative Financial Group-INVESTMENT RELATED-5/18/06-PRES.-PROVIDE INSURANCE and tax PLANNING, financial Services- 40-60HRS/MO TIME SPENT- 20-30HRS DURING SECURITIES TRADING HOURS. Indianapolis, IN
2. CREATIVE FINANCIAL DESIGNS, IAR
3. CKJ PROPERTY LLC, MEMBER - Not investment related 0 HRS/MONTH-Indianapolis, IN
4. CPA - TAX PLANNING & PREPARATION - 10 HRS/MONTH-Not investment related- Indianapolis, IN
5. ONLINE RETAIL SALES - 2 HRS/MONTH-Not Investments related-Indianapolis, IN
6. Prestige WW, LLC-Rental Property-50% owner-Not investment related-Indianapolis, IN-2hrs/mo



## Registration & Employment History



### OTHER BUSINESS ACTIVITIES

7. Prestige Property and Luxury Goods Acquisitions and Management, INC-Not investment related-Indianapolis, IN-Property Management and retail online sales-Owner-4/15/22-1-2 hrs/mo-0 during trading hours
8. Amazon, investment-related, 6838 S East St, Indianapolis, IN 46227, selling tax book, Owner/Chief Investment Officer, 8/14/2023, 1 hour/month, 1 hour/month, create content for book sales
9. Delaware Horizon Properties, LLC; non investment-related; 6838 S East St, Indianapolis, IN 46227; Own and operate aircraft and manage investment property. These will be aircraft used to assist in finding investment property and managing existing investment property I currently own.; Owner; 1/8/2024; 10 hours/month; 0 hours/month; Manage and acquire investment property. Fly and maintain aircraft.
10. Social Media Influencer; non investment-related; 6838 S East St, Indianapolis, IN 46227; Posting content on social media; 12/07/2025; 10 hours/month; 5 hours/month; Posting on social media.



## Disclosure Summary

### Disclosure Information

#### What you should know about reported disclosure events:

##### (1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

##### (2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

##### (3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
  - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
  - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
  - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
  - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
  - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
  - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

##### (4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



## DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	3

### Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

#### Disclosure 1 of 3

<b>Reporting Source:</b>	Individual
<b>Employing firm when activities occurred which led to the complaint:</b>	CFD INVESTMENTS, INC.
<b>Allegations:</b>	CUSTOMER ALLEGES THAT AN UNKNOWN THIRD PARTY POSED AS CLIENT AND SENT EMAILS AND FORGED DOCUMENTS IN ORDER TO WITHDRAW FUNDS FROM THE CUSTOMER'S ACCOUNT.
<b>Product Type:</b>	No Product
<b>Alleged Damages:</b>	\$70,000.00
<b>Alleged Damages Amount Explanation (if amount not exact):</b>	THE APPROXIMATE AMOUNT TAKEN THROUGH THE SERIES OF DISTRIBUTIONS.
<b>Is this an oral complaint?</b>	No
<b>Is this a written complaint?</b>	Yes
<b>Is this an arbitration/CFTC reparation or civil litigation?</b>	No

### Customer Complaint Information

<b>Date Complaint Received:</b>	07/28/2015
<b>Complaint Pending?</b>	No
<b>Status:</b>	Settled
<b>Status Date:</b>	10/20/2015



**Settlement Amount:** \$54,637.50

**Individual Contribution Amount:** \$0.00

**Broker Statement** THE ALLEGATIONS ARE THAT THE CLIENT'S EMAIL ADDRESS GOT COMPROMISED, AND THE REQUESTS WERE BEING MADE BY A THIRD PARTY THAT HAD OBTAINED UNAUTHORIZED ACCESS TO THE CLIENT'S EMAIL ADDRESS. ALL REQUESTS TO WITHDRAW FUNDS CAME FROM THE CLIENT'S VERIFIED EMAIL ACCOUNT, AND WITHDRAWAL REQUEST FORMS WERE SUBMITTED TO THE FINANCIAL ADVISER THROUGH THAT EMAIL SYSTEM. ALL DOCUMENTS CONTAINED THE CUSTOMER'S SIGNATURE, THOUGH THE CUSTOMER ALLEGES THAT THOSE SIGNATURES WERE PLACED ON THE FORMS THROUGH ELECTRONIC MEANS BY THE IMPOSTOR, INSTEAD OF SIGNED BY THE CLIENT.

**Disclosure 2 of 3**

**Reporting Source:** Firm

**Employing firm when activities occurred which led to the complaint:** NATIONAL PLANNING CORPORATION

**Allegations:** CLAIMANT ALLEGES UNSUITABILITY, NEGLIGENCE, MISREPRESENTATION AND OMISSION, VIOLATION OF IN SECURITIES ACT & REGULATIONS, VIOLATIONS OF FINRA AND NYSE CONDUCT RULES, BREACH OF FIDUCIARY DUTY/CONSTRUCTIVE FRAUD, BREACH OF CONTRACT, RESPONDEAT SUPERIOR, NEGLIGENT SUPERVISION.

**Product Type:** Real Estate Security

**Alleged Damages:** \$0.00

**Alleged Damages Amount Explanation (if amount not exact):** THE FIRM HAS MADE A GOOD FAITH DETERMINATION THAT THE DAMAGES ARE GREATER THAN \$5,000.00.

**Is this an oral complaint?** No

**Is this a written complaint?** No

**Is this an arbitration/CFTC reparation or civil litigation?** Yes

**Arbitration/Reparation forum or court name and location:** FINRA

**Docket/Case #:** 13-00416

**Filing date of arbitration/CFTC reparation or civil litigation:** 02/05/2013

**Customer Complaint Information**

**Date Complaint Received:** 02/20/2013

**Complaint Pending?** No

**Status:** Settled

**Status Date:** 04/15/2014

**Settlement Amount:** \$35,000.00



**Individual Contribution Amount:** \$0.00

**Reporting Source:** Individual  
**Employing firm when activities occurred which led to the complaint:** NATIONAL PLANNING CORPORATION

**Allegations:** THE ARBITRATION CLAIM ALLEGES THAT NATIONAL PLANNING CORPORATION ENGAGED IN UNSUITABLE RECOMMENDATION, NEGLIGENCE, MISREPRESENTATION AND OMISSION, VIOLATION OF SECURITIES ACT & REGULATIONS, VIOLATIONS OF FINRA AND NYSE CONDUCT RULES, BREACH OF FIDUCIARY DUTY/CONSTRUCTIVE FRAUD, BREACH OF CONTRACT, RESPONDEAT SUPERIOR, AND NEGLIGENT SUPERVISION.

**Product Type:** Real Estate Security

**Alleged Damages:** \$0.00

**Alleged Damages Amount Explanation (if amount not exact):** NO DAMAGES SPECIFIED. FIRM CANNOT MAKE A GOOD FAITH DETERMINATION THAT THE AMOUNT OF ALLEGED DAMAGES IS LESS THAN \$5,000.

**Is this an oral complaint?** No

**Is this a written complaint?** No

**Is this an arbitration/CFTC reparation or civil litigation?** Yes

**Arbitration/Reparation forum or court name and location:** FINRA

**Docket/Case #:** 13-00416

**Filing date of arbitration/CFTC reparation or civil litigation:** 02/05/2013

**Customer Complaint Information**

**Date Complaint Received:** 02/20/2013

**Complaint Pending?** No

**Status:** Settled

**Status Date:** 04/15/2014

**Settlement Amount:** \$35,000.00

**Individual Contribution Amount:** \$0.00

**Broker Statement** FINANCIAL ADVISOR DENIES THE ALLEGATIONS SET FORTH IN THE ARBITRATION CLAIM, AND DENIES ANY WRONGDOING WITH RESPECT TO THIS MATTER. THE ALLEGATIONS STEM FROM LOSSES SUSTAINED FROM A FAILED LIMITED PARTNERSHIP, WHICH WAS EXCLUSIVELY OFFERED TO THE THIS INVESTOR, AT THE URGING OF THE INVESTOR, WHO WAS SEEKING A HIGHER RETURN. THE INVESTMENT WAS PURCHASED IN 2005. THE FAILURE WAS DUE TO THE ECONOMIC CONDITIONS, RELATING TO THE REAL ESTATE MARKETS, AND WAS FULLY OUTSIDE OF THE CONTROL OF THE FINANCIAL ADVISER. THE TRANSACTION WAS SUITABLE FOR THIS



PARTICULAR INVESTOR, WHO WAS SEEKING DIVERSIFICATION OF HER EXTENSIVE ASSETS, AND WHO WAS WANTING RETURNS, UNRELATED TO THE STOCK MARKET. SHE KNEW AND FULLY UNDERSTOOD THE INVESTMENT PRIOR TO HER PURCHASE, AND WAS AWARE OF THE RISKS INVOLVED IN THE INVESTMENT. SHE WAS A HIGH NET WORTH INDIVIDUAL. THE AMOUNT OF HER INVESTMENT WAS NOT UNREASONABLE IN LIGHT OF THE CLIENT'S ASSETS. THE FINANCIAL ADVISOR IS ONLY REFERENCE IN AND NOT A PARTY TO THE ARBITRATION COMPLAINT.

**Disclosure 3 of 3**

<b>Reporting Source:</b>	Individual
<b>Employing firm when activities occurred which led to the complaint:</b>	NATIONAL PLANNING CORPORATION
<b>Allegations:</b>	PROSPECTIVE CLIENT ALLEGED THE REPRESENTATIVE PROVIDED INCORRECT TAX ADVICE REGARDING AN IRA DISTRIBUTION.
<b>Product Type:</b>	Mutual Fund(s)
<b>Alleged Damages:</b>	\$10,600.00
<b>Customer Complaint Information</b>	
<b>Date Complaint Received:</b>	03/27/2008
<b>Complaint Pending?</b>	No
<b>Status:</b>	Settled
<b>Status Date:</b>	04/10/2009
<b>Settlement Amount:</b>	\$5,300.00
<b>Individual Contribution Amount:</b>	\$5,300.00
<b>Broker Statement</b>	I HELPED THIS PERSON PRO-BONO. THE ADVICE WAS CORRECT. I RELUCTANTLY AGREED TO SETTLE THE DISPUTE TO AVOID LENGTHY LEGAL PROCEEDINGS AND COST.



## End of Report

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