IAPD Report

BRENDON C. HARBRON

CRD# 4163398

IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page http://www.nasaa.org/IAPD/IARReports.cfm

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association http://www.nasaa.org

Report Summary

BRENDON C. HARBRON (CRD# 4163398)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **02/17/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	RBC CAPITAL MARKETS, LLC	CRD# 31194	10/27/2022
IA	RBC CAPITAL MARKETS, LLC	CRD# 31194	10/27/2022

QUALIFICATIONS

This representative is currently registered in **22** SRO(s) and **36** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.
Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	UBS FINANCIAL SERVICES INC.	8174	INDIANAPOLIS, IN	01/16/2009 - 11/04/2022
IA	UBS FINANCIAL SERVICES INC.	8174	INDIANAPOLIS, IN	01/16/2009 - 11/04/2022
IA	MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED	7691	INDIANAPOLIS, IN	07/18/2000 - 01/20/2009

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1

Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works.
This individual is currently registered with **36** jurisdiction(s) and 22 SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **RBC CAPITAL MARKETS, LLC**

Main Address: 200 VESEY ST.
NEW YORK, NY 10281

Firm ID#: 31194

	Regulator	Registration	Status	Date
B	BOX Exchange LLC	General Securities Representative	Approved	10/27/2022
B	BOX Exchange LLC	General Securities Sales Supervisor	Approved	10/27/2022
B	Cboe BYX Exchange, Inc.	General Securities Representative	Approved	10/27/2022
B	Cboe BZX Exchange, Inc.	General Securities Representative	Approved	10/27/2022
B	Cboe C2 Exchange, Inc.	General Securities Representative	Approved	10/27/2022
B	Cboe C2 Exchange, Inc.	General Securities Sales Supervisor	Approved	10/27/2022
B	Cboe EDGA Exchange, Inc.	General Securities Representative	Approved	10/27/2022
B	Cboe EDGA Exchange, Inc.	General Securities Sales Supervisor	Approved	10/27/2022
B	Cboe EDGX Exchange, Inc.	General Securities Representative	Approved	10/27/2022
B	Cboe EDGX Exchange, Inc.	General Securities Sales Supervisor	Approved	10/27/2022
B	Cboe Exchange, Inc.	General Securities Representative	Approved	10/27/2022
B	Cboe Exchange, Inc.	General Securities Sales Supervisor	Approved	10/27/2022
B	FINRA	General Securities Representative	Approved	10/27/2022

Qualifications

	Regulator	Registration	Status	Date
B	FINRA	General Securities Sales Supervisor	Approved	10/27/2022
B	Investors' Exchange LLC	General Securities Representative	Approved	10/27/2022
B	Long-Term Stock Exchange, Inc.	General Securities Representative	Approved	10/27/2022
B	MEMX LLC	General Securities Representative	Approved	10/27/2022
B	MEMX LLC	General Securities Sales Supervisor	Approved	10/27/2022
B	MIAX PEARL, LLC	General Securities Representative	Approved	10/27/2022
B	MIAX PEARL, LLC	General Securities Sales Supervisor	Approved	10/27/2022
B	NYSE American LLC	General Securities Representative	Approved	10/27/2022
B	NYSE American LLC	General Securities Sales Supervisor	Approved	10/27/2022
B	NYSE Arca, Inc.	General Securities Representative	Approved	10/27/2022
B	NYSE Arca, Inc.	General Securities Sales Supervisor	Approved	10/27/2022
B	NYSE National, Inc.	General Securities Representative	Approved	10/27/2022
B	NYSE National, Inc.	General Securities Sales Supervisor	Approved	10/27/2022
B	NYSE Texas, Inc.	General Securities Representative	Approved	10/27/2022
B	NYSE Texas, Inc.	General Securities Sales Supervisor	Approved	10/27/2022
B	Nasdaq GEMX, LLC	General Securities Representative	Approved	10/27/2022
B	Nasdaq GEMX, LLC	General Securities Sales Supervisor	Approved	10/27/2022
B	Nasdaq ISE, LLC	General Securities Representative	Approved	10/27/2022

Qualifications

	Regulator	Registration	Status	Date
B	Nasdaq ISE, LLC	General Securities Sales Supervisor	Approved	10/27/2022
B	Nasdaq PHLX LLC	General Securities Representative	Approved	10/27/2022
B	Nasdaq PHLX LLC	General Securities Sales Supervisor	Approved	10/27/2022
B	Nasdaq Stock Market	General Securities Representative	Approved	10/27/2022
B	Nasdaq Stock Market	General Securities Sales Supervisor	Approved	10/27/2022
B	Nasdaq Texas, LLC	General Securities Representative	Approved	10/27/2022
B	Nasdaq Texas, LLC	General Securities Sales Supervisor	Approved	10/27/2022
B	New York Stock Exchange	General Securities Representative	Approved	10/27/2022
B	New York Stock Exchange	General Securities Sales Supervisor	Approved	10/27/2022
B	Alabama	Agent	Approved	11/08/2022
B	Arizona	Agent	Approved	10/27/2022
B	Arkansas	Agent	Approved	10/27/2022
B	California	Agent	Approved	10/27/2022
B	Colorado	Agent	Approved	10/31/2022
B	District of Columbia	Agent	Approved	10/27/2022
B	Florida	Agent	Approved	10/27/2022
B	Georgia	Agent	Approved	10/27/2022
B	Idaho	Agent	Approved	10/27/2022
B	Illinois	Agent	Approved	11/22/2022

Qualifications

	Regulator	Registration	Status	Date
IA	Indiana	Investment Adviser Representative	Approved	10/27/2022
B	Indiana	Agent	Approved	10/28/2022
B	Iowa	Agent	Approved	10/27/2022
B	Kentucky	Agent	Approved	10/27/2022
B	Maine	Agent	Approved	10/27/2022
B	Maryland	Agent	Approved	10/27/2022
B	Massachusetts	Agent	Approved	10/28/2022
B	Michigan	Agent	Approved	10/27/2022
B	Minnesota	Agent	Approved	11/07/2022
B	Missouri	Agent	Approved	10/27/2022
B	New Jersey	Agent	Approved	10/27/2022
B	New Mexico	Agent	Approved	10/27/2022
B	New York	Agent	Approved	10/27/2022
B	North Carolina	Agent	Approved	10/27/2022
B	Ohio	Agent	Approved	10/27/2022
B	Oklahoma	Agent	Approved	01/28/2026
B	Oregon	Agent	Approved	10/27/2022
B	Pennsylvania	Agent	Approved	12/01/2022
B	Puerto Rico	Agent	Approved	07/22/2025

Qualifications

	Regulator	Registration	Status	Date
B	South Carolina	Agent	Approved	10/27/2022
B	Tennessee	Agent	Approved	10/27/2022
B	Texas	Agent	Approved	10/27/2022
IA	Texas	Investment Adviser Representative	Restricted Approval	10/27/2022
B	Utah	Agent	Approved	04/13/2023
B	Virginia	Agent	Approved	10/27/2022
B	Washington	Agent	Approved	02/17/2026
B	West Virginia	Agent	Approved	10/27/2022
B	Wisconsin	Agent	Approved	10/27/2022

Branch Office Locations

RBC CAPITAL MARKETS, LLC
8888 KEYSTONE CROSSING
SUITE 200
INDIANAPOLIS, IN 46240-4610

RBC CAPITAL MARKETS, LLC
New Palestine, IN

Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 2 principal/supervisory exams, 3 general industry/product exams, and 1 state securities law exam.

Principal/Supervisory Exams

	Exam	Category	Date
B	General Securities Sales Supervisor - Options Module Examination (S9)	Series 9	03/17/2003
B	General Securities Sales Supervisor - General Module Examination (S10)	Series 10	02/27/2003

General Industry/Product Exams

	Exam	Category	Date
B	Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B	Futures Managed Funds Examination (S31)	Series 31	07/25/2012
B	General Securities Representative Examination (S7)	Series 7	05/22/2000

State Securities Law Exams

	Exam	Category	Date
IA B	Uniform Combined State Law Examination (S66)	Series 66	06/02/2000

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.

Only professional designations listed in Question 8 of the Form U4 will appear in this section if the appropriate box is checked and verified by the issuing organization at the time of the filing. Learn more about eligible designations at IARD and NASAA.

Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	01/16/2009 - 11/04/2022	UBS FINANCIAL SERVICES INC.	CRD# 8174	INDIANAPOLIS, IN
IA	01/16/2009 - 11/04/2022	UBS FINANCIAL SERVICES INC.	CRD# 8174	INDIANAPOLIS, IN
IA	07/18/2000 - 01/20/2009	MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED	CRD# 7691	INDIANAPOLIS, IN
B	05/23/2000 - 01/20/2009	MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED	CRD# 7691	INDIANAPOLIS, IN

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
12/2022 - Present	City National Bank	Employee of an Affiliate	Y	Indianapolis, IN, United States
10/2022 - Present	RBC CAPITAL MARKETS, LLC	Registered Representative	Y	INDIANAPOLIS, IN, United States
01/2009 - 10/2022	UBS FINANCIAL SERVICES INC	FINANCIAL ADVISOR	Y	INDIANAPOLIS, IN, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

(1) THE ESCAPE ROOM NORTH, LLC; 8890 E 116th St, Fishers, IN 46038; Entertainment; Member of Board of directors;I attend board meetings on at least a monthly basis and make recommendations for actions; 12/1/2016; Business hours per month: No

(2) THE ESCAPE ROOM DOWNTOWN COLUMBUS; address TBD, Columbus, OH; Entertainment; Member of Board of directors; Review of operations and recommendations to management group; 8/1/2017; Business hours per month: No //UNLOCKING PROFITS LLC; 11519 Idlewood Dr, Fishers, IN 46037; own and operate real estate; Member of Board of directors; make large scale decisions via a quarterly meeting; 5/1/2018; Business hours per month: No

(3) Name of entity: The Escape Room LLC Non-Investment related Address of Entity: 200 S Meridian Indianapolis Indiana 46225 Nature of OBA: Entertainment Position/Title/Relationship: Owner - Active, Director Start Date: 10/27/22 time devoted: 1 hour per week; 0 during securities trading hours Duties: I provide financial oversight and creative input to this business

(4) Unlocking Profits LLC/ investment related?: yes / 11519 Idlewood Dr, fishers, in, 46037, US/ Real Estate/ own and operate real estate/Member of Board of directors/ make large scale decisions via a quarterly meeting/ start date: 5/1/2018/ time reqd

Registration & Employment History

OTHER BUSINESS ACTIVITIES

during working hours? no

(5) Name of Entity: Revenant Canyon USA, LLC Non-Investment related Address of Entity: 9130 Otis Ave Indianapolis, IN Nature of OBA: Entertainment - Escape Room Adjacent - live interactive entertainment Position/Title/Relationship: Partner Start Date: 03/01/23 Time Devoted: 1 hour; 0 during securities trading hours Duties: Strategic decision making

(6) NAME OF ENTITY: Unlocking Profits, LLC ADDRESS: 9130 Otis Ave, Indianapolis, IN 46216 INVESTMENT/NOT INVESTMENT RELATED: No BUSINESS DESCRIPTION: Real Estate Holding Company CAPACITY: Owner - Active, Partner START DATE: 11/01/2022 DUTIES: Financial oversight, Strategic decision making. HOURS DEVOTED PER MONTH: 1 HOURS DEVOTED DURING SECURITIES HOURS PER MONTH: 0

(7) NAME OF ENTITY: Escape Room Holdings, LLC
ADDRESS: Nebraska
INVESTMENT/NOT INVESTMENT RELATED: No
BUSINESS DESCRIPTION: Entertainment
CAPACITY: Owner - Active, Officer
START DATE: 10.27.22
DUTIES: financial oversight and strategic decision making
HOURS DEVOTED PER MONTH: 1
HOURS DEVOTED DURING SECURITIES HOURS PER MONTH: 0

(8) NAME OF ENTITY: The Escape Room North, LLC
ADDRESS: 9130 Otis Ave
INVESTMENT/NOT INVESTMENT RELATED: No
BUSINESS DESCRIPTION: Escape Room
CAPACITY: Owner - Active
START DATE: 10.01.17
DUTIES: Financial and Creative
HOURS DEVOTED PER MONTH: 1
HOURS DEVOTED DURING SECURITIES HOURS PER MONTH: 0

(9) NAME OF ENTITY: Lizton Lodge Properties, LLC
ADDRESS: 1392 Wyatt Way lizton Indiana 46149
INVESTMENT/NOT INVESTMENT RELATED: No
DESCRIPTION: Partnership
START DATE: Oct 07, 2025
CAPACITY: Owner
DUTIES: I am one of 3 controlling owners of this entity
WORKING TIME: 0 - 4 Hours
HOURS DEVOTED DURING SECURITIES HOURS PER MONTH: 0 - 4 Hours

(10) NAME OF ENTITY: Lizton Lodge Signature Events, LLC
ADDRESS: 1392 Wyatt Way Lizton IN 46149
INVESTMENT/NOT INVESTMENT RELATED: No
DESCRIPTION: Private Company
START DATE: Oct 01, 2025
CAPACITY: Owner, Partner, Board of Directors
DUTIES: Our family will be one of the Primary owners of an established wedding venue. No day to day work but will be dedicating a few hours a month on oversight and strategic planning. I will be a silent 5% owner of this wedding venue.
WORKING TIME: 0 - 4 Hours

Registration & Employment History

OTHER BUSINESS ACTIVITIES

HOURS DEVOTED DURING SECURITIES HOURS PER MONTH: 0 - 4 Hours

(11) NAME OF ENTITY: Harbron Rental Property
ADDRESS: 11519 Idlewood Dr, Fishers, Indiana 46037-4193
INVESTMENT/NOT INVESTMENT RELATED: No
BUSINESS DESCRIPTION: Other
START DATE: Apr 01, 2025
CAPACITY: Owner
DUTIES: We moved and decided to rent out our original home.
HOURS DEVOTED PER MONTH: 0 - 4 Hours
HOURS DEVOTED DURING SECURITIES HOURS PER MONTH: 0 - 4 Hours

Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least $5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending, on appeal,* or *final*.
  - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
  - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
  - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated, settled* or *otherwise resolved*.
  - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
  - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
  - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.

DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 1

Reporting Source:	Firm
Employing firm when activities occurred which led to the complaint:	MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED
Allegations:	THE CUSTOMER ALLEGES UNSUITABLE INVESTMENT RECOMMENDATIONS AND MISREPRESENTATION AND OMISSION OF MATERIAL FACTS.
Product Type:	Equity Listed (Common & Preferred Stock)
Alleged Damages:	$4,000,000.00
Is this an oral complaint?	No
Is this a written complaint?	No
Is this an arbitration/CFTC reparation or civil litigation?	Yes
Arbitration/Reparation forum or court name and location:	FINRA
Docket/Case #:	11-01406
Filing date of arbitration/CFTC reparation or civil litigation:	04/01/2011

Customer Complaint Information

Date Complaint Received:	04/20/2011
Complaint Pending?	No

Status:	Settled
Status Date:	12/24/2012
Settlement Amount:	$350,000.00
Individual Contribution Amount:	$0.00

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED
Allegations:	THE CUSTOMER ALLEGES UNSUITABLE INVESTMENT RECOMMENDATIONS AND MISREPRESENTATION AND OMISSION OF MATERIAL FACTS.
Product Type:	Equity Listed (Common & Preferred Stock)
Alleged Damages:	$4,000,000.00
Is this an oral complaint?	No
Is this a written complaint?	No
Is this an arbitration/CFTC reparation or civil litigation?	Yes
Arbitration/Reparation forum or court name and location:	FINRA
Docket/Case #:	11-01406
Filing date of arbitration/CFTC reparation or civil litigation:	04/01/2011

Customer Complaint Information

Date Complaint Received:	04/20/2011
Complaint Pending?	No
Status:	Settled
Status Date:	12/24/2012
Settlement Amount:	$350,000.00
Individual Contribution Amount:	$0.00
Broker Statement	I DENY THE ALLEGATIONS AS STATED. THE CLAIMANT, DESPITE MY REPEATED ADVISE TO REDUCE HIS OVERALL RISK, REFUSED TO ACCEPT MY NUMEROUS RECOMMENDATIONS TO LOWER RISK AND REDUCE LEVERAGE IN HIS ACCOUNTS. THIS INCLUDED REPEATED REQUESTS TO ALLOW ME TO LIQUIDATE PORTIONS OF A HIGHLY CONCENTRATED STOCK POSITION AND TO PAY OFF OR PAY DOWN A SIGNIFICANT MARGIN ACCOUNT WHICH THE CLAIMANT WAS UTILIZING TO SUPPORT LIFESTYLE PURCHASES. MY RECOMMENDATIONS WERE GIVEN ON NUMEROUS OCCASIONS BOTH VERBALLY AND IN WRITING, HOWEVER THE CLIENT DECIDED TO MOVE IN A DIFFERENT DIRECTION AND CHOSE TO IGNORE MY ADVICE. THIS CASE WAS SETTLED BY MERRILL LYNCH FOR FAR LESS THAN THE

CLAIMED DAMAGES OF $4,000,000 AND SEVERAL YEARS AFTER I LEFT THEIR EMPLOYMENT. MERRILL LYNCH DENIED THE ALLEGATIONS BUT MADE THE BUSINESS DECISION TO SETTLE THE CASE TO FOREGO THE UNCERTAINTIES AND COST OF THE ARBITRATION FORUM. ALTHOUGH THIS CASE WAS SETTLED, I DENY ANY LIABILITY OR WRONG DOING ON MY PART WHATSOEVER AND FURTHERMORE, I WAS NOT ASKED TO PERSONALLY CONTRIBUTE ANY MONIES TOWARDS THE SETTLEMENT.

End of Report

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