



IAPD Report

STACY BRYANT BUSH

CRD# 4190887

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Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

STACY BRYANT BUSH (CRD# 4190887)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **02/25/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	BUSH WEALTH MANAGEMENT	CRD# 304262	07/26/2019

QUALIFICATIONS

This representative is currently registered in **0** SRO(s) and **1** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration. Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	LPL FINANCIAL LLC	6413	VALDOSTA, GA	01/18/2005 - 08/16/2019
B	LPL FINANCIAL LLC	6413	VALDOSTA, GA	11/05/2004 - 08/16/2019
B	PAN-AMERICAN FINANCIAL ADVISERS	15578	NEW ORLEANS, LA	05/23/2000 - 11/09/2004

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	3



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **1** jurisdiction(s) and **0** SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **BUSH WEALTH MANAGEMENT**
Main Address: 2918 N. OAK ST., STE. B
VALDOSTA, GA 31602
Firm ID#: 304262

Regulator	Registration	Status	Date
IA Georgia	Investment Adviser Representative	Approved	08/28/2019

Branch Office Locations

BUSH WEALTH MANAGEMENT
2918 N. OAK ST., STE. B
VALDOSTA, GA 31602




Qualifications

PASSED INDUSTRY EXAMS



This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 1 principal/supervisory exam, 2 general industry/product exams, and 2 state securities law exams.




Principal/Supervisory Exams

	Exam	Category	Date
	General Securities Principal Examination (S24)	Series 24	07/17/2002

General Industry/Product Exams

	Exam	Category	Date
	Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
	General Securities Representative Examination (S7)	Series 7	05/22/2000

State Securities Law Exams

	Exam	Category	Date
	 Uniform Combined State Law Examination (S66)	Series 66	12/14/2004
	Uniform Securities Agent State Law Examination (S63)	Series 63	08/03/2000

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	01/18/2005 - 08/16/2019	LPL FINANCIAL LLC	CRD# 6413	VALDOSTA, GA
B	11/05/2004 - 08/16/2019	LPL FINANCIAL LLC	CRD# 6413	VALDOSTA, GA
B	05/23/2000 - 11/09/2004	PAN-AMERICAN FINANCIAL ADVISERS	CRD# 15578	NEW ORLEANS, LA

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
08/2004 - Present	BUSH WEALTH MANAGEMENT	PRESIDENT AND CEO	Y	VALDOSTA, GA, United States
11/2004 - 07/2019	LPL FINANCIAL LLC	REGISTERED REPRESENTATIVE	Y	VALDOSTA, GA, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1. Eagle Properties Unlimited; investment related; 4501 Tillman Bluff Rd, Valdosta, GA 31602; rental properties; Partner; start date 2005; approximately 1 hour per month; 0 hours per month during securities trading hours.
2. Valdosta City School Board; not investment related; 1204 Williams Street, Valdosta, GA 31601; public school board; Vice Chair of Board, Finance Committee Chair; start date 2016; approximately 4 hours per month; approximately 1 hour during securities trading hours.



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	3

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 3

Reporting Source:	Firm
Employing firm when activities occurred which led to the complaint:	LPL FINANCIAL LLC
Allegations:	Customer alleges that an investment made in 2014 was unsuitable for the customer's investment objectives and risk tolerance.
Product Type:	Other: REIT
Alleged Damages:	\$35,000.00
Is this an oral complaint?	No
Is this a written complaint?	No
Is this an arbitration/CFTC reparation or civil litigation?	Yes
Arbitration/Reparation forum or court name and location:	FINRA
Docket/Case #:	25-00461
Filing date of arbitration/CFTC reparation or civil litigation:	03/05/2025

Customer Complaint Information

Date Complaint Received:	03/10/2025
Complaint Pending?	No



Status: Settled
Status Date: 02/19/2026
Settlement Amount: \$12,500.00
Individual Contribution Amount: \$0.00

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Reporting Source: Individual
Employing firm when activities occurred which led to the complaint: LPL FINANCIAL LLC
Allegations: Customer alleges that an investment made in 2014 was unsuitable for the customer's investment objectives and risk tolerance.
Product Type: Other: REIT
Alleged Damages: \$35,000.00
Is this an oral complaint? No
Is this a written complaint? Yes
Is this an arbitration/CFTC reparation or civil litigation? Yes
Arbitration/Reparation forum or court name and location: FINRA
Docket/Case #: 25-00461
Filing date of arbitration/CFTC reparation or civil litigation: 03/05/2025

Customer Complaint Information

Date Complaint Received: 04/05/2025
Complaint Pending? Yes
Settlement Amount:
Individual Contribution Amount:

Disclosure 2 of 3

Reporting Source: Regulator
Employing firm when activities occurred which led to the complaint: LPL FINANCIAL, LLC
Allegations: UNSUITABILITY; BREACH OF FIDUCIARY DUTY; MISREPRESENTATION; OMISSION OF FACTS; VIOLATION OF THE GEORGIA SECURITIES ACT; BREACH OF CONTRACT; RESTITUTION; NEGLIGENCE; AND COMMON LAW FRAUD.
Product Type: Other: UNSPECIFIED SECURITIES
Alleged Damages: \$188,269.85

Arbitration Information

**Arbitration/Reparation Claim filed with and Docket/Case No.:** [FINRA - CASE #12-03853](#)**Date Notice/Process Served:** 11/05/2012**Arbitration Pending?** No**Disposition:** Award**Disposition Date:** 04/07/2015**Disposition Detail:** RESPONDENT IS FOUND LIABLE AND SHALL PAY TO CLAIMANT COMPENSATORY DAMAGES IN THE AMOUNT OF \$86,500.00, PLUS INTEREST AT THE RATE OF 5% PER ANNUM FROM MARCH 20, 2015, UNTIL THE DATE OF PAYMENT OF THE AWARD. BUSH WAS A SUBJECT OF THE CUSTOMER'S STATEMENT OF CLAIM FOR THIS ARBITRATION ALLEGING THAT HE WITH HIS MEMBER FIRM CONTRIBUTED TO THE SALES PRACTICE VIOLATIONS.**Reporting Source:** Individual**Employing firm when activities occurred which led to the complaint:** LPL FINANCIAL**Allegations:** CUSTOMER ALLEGES THAT REPRESENTATIVE'S RECOMMENDATIONS FOR MUTUAL FUND INVESTMENTS IN ADVISORY ACCOUNT AND IN VARIABLE ANNUITY CONTRACT WERE UNSUITABLE GIVEN HER RISK TOLERANCE AND NEED FOR THESE MONIES IN RETIREMENT. INVESTMENTS WERE MADE BETWEEN OCTOBER OF 2007 AND MARCH 2009. CUSTOMER ASSERTS CLAIMS AGAINST ALLEGING VIOLATION OF STATE SECURITIES LAWS, BREACH OF FIDUCIARY DUTY, BREACH OF CONTRACT, RESTITUTION, NEGLIGENCE, NEGLIGENT MISREPRESENTATION, OMISSION, COMMON LAW FRAUD, AND NEGLIGENT SUPERVISION. CUSTOMER ALLEGES THAT SHE HAS INCURRED LOSSES THROUGH THE DECLINES IN VALUE OF THESE INVESTMENTS. REPRESENTATIVE DENIES ALL ALLEGATIONS, AND MAINTAINS THAT HE ACTED APPROPRIATELY IN ALL OF HIS DEALINGS WITH CUSTOMER. REPRESENTATIVE STATES THAT HE PROVIDED CUSTOMER WITH ACCURATE AND DETAILED INFORMATION CONCERNING ALL ASPECTS OF THESE INVESTMENTS BEFORE SHE DECIDED TO INVEST, THAT ALL RECOMMENDATIONS WERE SUITABLE AND APPROPRIATE AS THEY WERE FULLY IN LINE WITH CUSTOMER'S STATED INVESTMENT OBJECTIVE, AND THAT CUSTOMER KNEW OF AND UNDERSTOOD THE RISKS ASSOCIATED WITH INVESTING.**Product Type:** Annuity-Variable
Mutual Fund**Alleged Damages:** \$350,000.00**Is this an oral complaint?** No**Is this a written complaint?** No**Is this an arbitration/CFTC reparation or civil litigation?** Yes**Arbitration/Reparation forum or court name and location:** FINRA DISPUTE RESOLUTION, INC.**Docket/Case #:** 12-03853



Filing date of arbitration/CFTC reparation or civil litigation: 11/05/2012

Customer Complaint Information

Date Complaint Received: 11/19/2012

Complaint Pending? No

Status: Arbitration Award/Monetary Judgment (for claimants/plaintiffs)

Status Date: 04/07/2015

Settlement Amount: \$95,375.00

Individual Contribution Amount: \$0.00

Broker Statement DESPITE THE ARBITRATION PANEL'S DECISION, I BELIEVED THAT I FULLY MET MY OBLIGATIONS TO THIS CLIENT AND THAT MY INVESTMENT ADVICE WAS APPROPRIATE GIVEN THE CLIENT'S INVESTMENT OBJECTIVES AND THE UNPRECEDENTED MARKET EVENTS OF 2008 AND 2009.

Disclosure 3 of 3

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: LPL FINANCIAL

Allegations: CUSTOMER ALLEGES THAT BOND HOLDING WAS UNSUITABLE AND A CONCENTRATED POSITION. FA DENIES ALL ALLEGATIONS. ACTIVITY PERIOD IS 3/20/08 THROUGH 4/30/09.

Product Type: Other: MUNICIPAL BONDS

Alleged Damages: \$5,000.00

Alleged Damages Amount Explanation (if amount not exact): NO DAMAGE AMOUNT IS ALLEGED BUT BELIEVED TO BE GREATER THAN \$5000.00

Is this an oral complaint? No

Is this a written complaint? Yes

Is this an arbitration/CFTC reparation or civil litigation? No

Customer Complaint Information

Date Complaint Received: 04/14/2009

Complaint Pending? No

Status: Evolved into Arbitration/CFTC reparation (the individual is a named party)

Status Date: 02/10/2010

Settlement Amount:

Individual Contribution Amount:

Arbitration Information



Arbitration/CFTC reparation claim filed with (FINRA, AAA, CFTC, etc.):	FINRA
Docket/Case #:	10-00336
Date Notice/Process Served:	02/16/2010
Arbitration Pending?	No
Disposition:	Settled
Disposition Date:	01/05/2011
Monetary Compensation Amount:	\$215,000.00
Individual Contribution Amount:	\$15,000.00



End of Report

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