IAPD Report

JASON ANTHONY WOODARD

CRD# 4245733

IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page http://www.nasaa.org/IAPD/IARReports.cfm

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association http://www.nasaa.org

Report Summary

JASON ANTHONY WOODARD (CRD# 4245733)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **12/24/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	ALLSTATE FINANCIAL SERVICES, LLC	CRD# 18272	03/26/2009
IA	ALLSTATE FINANCIAL ADVISORS, LLC	CRD# 109524	07/16/2009

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **16** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.
Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	STRATEGIC ADVISERS, INC.	104555	DENVER, CO	06/22/2006 - 02/11/2009
B	FIDELITY BROKERAGE SERVICES LLC	7784	BROOMFIELD, CO	11/07/2000 - 02/11/2009

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1
Financial	1
Judgment/Lien	1

Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works.
This individual is currently registered with **16** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **ALLSTATE FINANCIAL SERVICES, LLC**

Main Address: 151 N 8TH STREET, SUITE 450
LINCOLN, NE 68508-1380

Firm ID#: 18272

	Regulator	Registration	Status	Date
B	FINRA	General Securities Representative	Approved	03/26/2009
B	Arizona	Agent	Approved	07/30/2013
B	Colorado	Agent	Approved	03/26/2009
B	Florida	Agent	Approved	05/06/2021
B	Illinois	Agent	Approved	08/02/2023
B	Indiana	Agent	Approved	02/27/2019
B	Kansas	Agent	Approved	10/31/2024
B	Massachusetts	Agent	Approved	05/31/2023
B	Missouri	Agent	Approved	06/09/2020
B	Montana	Agent	Approved	07/06/2022
B	Nebraska	Agent	Approved	07/12/2013
B	New Hampshire	Agent	Approved	05/31/2023
B	Oregon	Agent	Approved	01/04/2017

Qualifications

	Regulator	Registration	Status	Date
B	Pennsylvania	Agent	Approved	12/11/2020
B	Texas	Agent	Approved	09/11/2017
B	Washington	Agent	Approved	08/28/2009
B	Wyoming	Agent	Approved	05/20/2013

Branch Office Locations

11152 HURON ST STE 215
NORTHGLENN, CO 80234-4321

Employment 2 of 2

Firm Name: **ALLSTATE FINANCIAL ADVISORS, LLC**

Main Address: 151 N 8TH STREET, SUITE 450
LINCOLN, NE 68508

Firm ID#: 109524

	Regulator	Registration	Status	Date
IA	Colorado	Investment Adviser Representative	Approved	07/16/2009

Branch Office Locations

ALLSTATE FINANCIAL ADVISORS, LLC
11152 Huron St Ste 215
Northglenn, CO 80234-4321

Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
No information reported.		

General Industry/Product Exams

	Exam	Category	Date
B	Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B	General Securities Representative Examination (S7)	Series 7	11/06/2000

State Securities Law Exams

	Exam	Category	Date
IA B	Uniform Combined State Law Examination (S66)	Series 66	06/12/2006
B	Uniform Securities Agent State Law Examination (S63)	Series 63	12/22/2000

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.

Only professional designations listed in Question 8 of the Form U4 will appear in this section if the appropriate box is checked and verified by the issuing organization at the time of the filing. Learn more about eligible designations at IARD and NASAA.

Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	06/22/2006 - 02/11/2009	STRATEGIC ADVISERS, INC.	CRD# 104555	DENVER, CO
B	11/07/2000 - 02/11/2009	FIDELITY BROKERAGE SERVICES LLC	CRD# 7784	BROOMFIELD, CO

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
10/2024 - Present	Jason Woodard, LLC	Agency Owner	N	Northglenn, FL, United States
04/2024 - Present	Jason Woodard	Collector	N	Northglenn, CO, United States
07/2018 - Present	JJJ Consulting LLC	Member	N	Northglenn, CO, United States
08/2015 - Present	Jason Woodard (Consulting)	Consultant	N	Thornton, CO, United States
07/2009 - Present	Allstate Financial Advisors, LLC	Representative	Y	Lincoln, NE, United States
03/2009 - Present	Allstate Financial Services, LLC	Agent	Y	Lincoln, NE, United States
03/2009 - Present	Allstate Insurance Co	Agent	N	Northbrook, IL, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

JASON WOODARD
POSITION: Consultant NATURE: Sales Process Consultant - Nothing client facing or customer specific. This will never involve me meeting with any customers, but only consulting for agents, LSPs, and other front line sales producers. Most of my consultations will be conducted over the p INVESTMENT RELATED: No NUMBER OF HOURS: 6 SECURITIES TRADING HOURS: 0 START DATE: 08/03/2015
ADDRESS: 10649 St. Paul Ct., Northglenn CO 80233, United States
DESCRIPTION: I have been approached by a number of EAs and EFSs from across the country asking me for professional help and assistance in developing advanced procedures for screening customers for financial services appointments, helping with the "How" of managing a f

JJJ CONSULTING LLC

Registration & Employment History

OTHER BUSINESS ACTIVITIES

POSITION: Member NATURE: There are 2 other PFRs, and myself whom will be combining resources to consult for agency owners and staff ONLY, specific to the ALR product and strategic shelf. INVESTMENT RELATED: No NUMBER OF HOURS: 6 SECURITIES TRADING HOURS: 20 START DATE: 07/31/2018
ADDRESS: 10465 Melody Dr., Ste 117, Northglenn CO 80234, United States
DESCRIPTION: I will help develop and have already developed training curriculum and help with the rollout of the training strategies once the program is ready to launch

1405 AVE H
POSITION: Owner NATURE: I am purchasing a second home in Nebraska. My residential address and office address will not be changing. A parent will be occupying this home full time and will be paying partial rent and utilities monthly. INVESTMENT RELATED: No NUMBER OF HOURS: 1 SECURITIES TRADING HOURS: 0 START DATE: 05/27/2022
ADDRESS: 1405 Ave H, Scottsbluff NE 69361, United States
DESCRIPTION: Maintenance and general care of second home

JASON WOODARD
POSITION: Collector NATURE: Selling off my older sports card collection INVESTMENT RELATED: No NUMBER OF HOURS: 6 SECURITIES TRADING HOURS: 0 START DATE: 04/10/2024
ADDRESS: 10649 St Paul Ct, Northglenn CO 80233, United States
DESCRIPTION: Listing and selling sportscards

Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least $5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending, on appeal,* or *final*.
  - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
  - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
  - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated, settled* or *otherwise resolved*.
  - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
  - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
  - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.

DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1
Financial	1
Judgment/Lien	1

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 1

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	Allstate Financial Services, LLC
Allegations:	Customer is dissatisfied in the performance of his mutual fund investments
Product Type:	Mutual Fund
Alleged Damages:	$0.00
Alleged Damages Amount Explanation (if amount not exact):	The customer did not allege a damage amount, however the firm has made a good faith determination that the alleged damages may exceed $5,000.
Is this an oral complaint?	No
Is this a written complaint?	Yes
Is this an arbitration/CFTC reparation or civil litigation?	No

Customer Complaint Information

Date Complaint Received:	08/08/2016
Complaint Pending?	No
Status:	Denied
Status Date:	08/12/2016

Settlement Amount:	$0.00
Individual Contribution Amount:	$0.00

Financial

This disclosure event involves a final bankruptcy, compromise with one or more creditors, or Securities Investor Protection Corporation liquidation that occurred within the last 10 years and that involved the Investment Adviser Representative or an organization/investment adviser that the Investment Adviser Representative controlled that occurred within the last 10 years.

Disclosure 1 of 1

Reporting Source:	Individual
Action Type:	Bankruptcy
Bankruptcy:	Chapter 13
Action Date:	12/22/2025
Organization Investment-Related?	
Type of Court:	Federal Court
Name of Court:	United States Bankruptcy Court
Location of Court:	District of Colorado CO
Docket/Case #:	25-18379-JGR
Action Pending?	Yes

Judgment/Lien

This disclosure event involves an unsatisfied and outstanding judgment or lien against the Investment Adviser Representative.

Disclosure 1 of 1

Reporting Source:	Individual
Judgment/Lien Holder:	JPMORGAN CHASE BANK NA
Judgment/Lien Amount:	$21,759.00
Judgment/Lien Type:	Civil
Date Filed with Court:	08/04/2025
Date Individual Learned:	08/25/2025
Type of Court:	State Court
Name of Court:	ADAMS COUNTY COURT
Location of Court:	ADAMS COUNTY
Docket/Case #:	C0012025C040555
Judgment/Lien Outstanding?	Yes
Broker Statement	listed on 12/22 Chapter 13 Bankruptcy

End of Report

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