



IAPD Report

WILLIAM ROANE SKEETERS

CRD# 425682

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

WILLIAM ROANE SKEETERS (CRD# 425682)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **05/20/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	LINCOLN INVESTMENT	CRD# 519	08/02/2010
IA	LINCOLN INVESTMENT	CRD# 519	08/02/2010
IA	CAPITAL ANALYSTS	CRD# 162200	04/16/2014
IA	WILLIAM ROANE SKEETERS, INC.	CRD# 113813	01/27/2025

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **23** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	WILLIAM ROANE SKEETERS, INC.	113813	DALLAS, TX	09/29/2005 - 12/31/2024
IA	GREAT AMERICAN ADVISORS, INC.	36451	DALLAS, TX	11/03/2006 - 08/02/2010
B	GREAT AMERICAN ADVISORS, INC.	36451	DALLAS, TX	02/15/2005 - 08/02/2010

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
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Report Summary

Customer Dispute

1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **23** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 3

Firm Name: **LINCOLN INVESTMENT**
Main Address: 601 OFFICE CENTER DRIVE
SUITE 300
FORT WASHINGTON, PA 19034-3232
Firm ID#: 519

Regulator	Registration	Status	Date
B FINRA	General Securities Principal	Approved	08/02/2010
B FINRA	General Securities Representative	Approved	08/02/2010
B FINRA	Invest. Co and Variable Contracts	Approved	08/02/2010
B Alabama	Agent	Approved	01/10/2020
B Arizona	Agent	Approved	08/02/2010
B Arkansas	Agent	Approved	11/09/2022
B California	Agent	Approved	08/02/2010
B Colorado	Agent	Approved	08/02/2010
B Florida	Agent	Approved	08/04/2010
B Georgia	Agent	Approved	08/02/2010
B Illinois	Agent	Approved	05/15/2020
B Louisiana	Agent	Approved	02/01/2011
B Maryland	Agent	Approved	05/25/2021



Qualifications

Regulator	Registration	Status	Date
B Mississippi	Agent	Approved	08/04/2025
B Nevada	Agent	Approved	05/21/2026
B New Mexico	Agent	Approved	01/03/2019
B New York	Agent	Approved	04/28/2014
B North Carolina	Agent	Approved	01/04/2019
B Ohio	Agent	Approved	06/09/2022
B Oklahoma	Agent	Approved	08/02/2010
B South Carolina	Agent	Approved	01/13/2019
B Tennessee	Agent	Approved	01/05/2011
B Texas	Agent	Approved	08/02/2010
IA Texas	Investment Adviser Representative	Approved	08/02/2010
B Virginia	Agent	Approved	01/04/2019
B Washington	Agent	Approved	01/04/2019
B Wyoming	Agent	Approved	09/09/2020

Branch Office Locations

LINCOLN INVESTMENT
 #330 LB 30
 13760 NOEL ROAD
 DALLAS, TX 75240

Employment 2 of 3

Firm Name: **WILLIAM ROANE SKEETERS, INC.**
 Main Address: 13760 NOEL ROAD, SUITE 330
 DALLAS, TX 75240



Qualifications

Firm ID#: 113813

Regulator	Registration	Status	Date
IA Texas	Investment Adviser Representative	Approved	01/27/2025

Branch Office Locations

WILLIAM ROANE SKEETERS, INC.
13760 NOEL ROAD, SUITE 330
DALLAS, TX 75240

Employment 3 of 3

Firm Name: **CAPITAL ANALYSTS**
Main Address: 601 OFFICE CENTER DRIVE
SUITE 300
FORT WASHINGTON, PA 19034-3232
Firm ID#: 162200

Regulator	Registration	Status	Date
IA Texas	Investment Adviser Representative	Approved	04/16/2014

Branch Office Locations

CAPITAL ANALYSTS
13760 NOEL RD.
#330 LB 30
DALLAS, TX 75240



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 1 principal/supervisory exam, 4 general industry/product exams, and 1 state securities law exam.

Principal/Supervisory Exams

Exam	Category	Date
General Securities Principal Examination (S24)	Series 24	03/01/1999

General Industry/Product Exams

Exam	Category	Date
Investment Company Products/Variable Contracts Representative Examination (S6TO)	Series 6TO	01/02/2023
General Securities Representative Examination (S7TO)	Series 7TO	01/02/2023
Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
Registered Representative Examination (S1)	Series 1	01/23/1968

State Securities Law Exams

Exam	Category	Date
Uniform Combined State Law Examination (S66)	Series 66	12/11/2001

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **1** professional designation(s).

Chartered Financial Consultant

This representative holds or did hold **1** professional designation(s) that may have been used to qualify as an Investment Advisor representative. Please check with the appropriate designation authority for verification that the designation is still in effect. The contact information for these professional designation authorities can be found on the website for the North American Securities Administrators Association at <http://www.nasaa.org>



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	09/29/2005 - 12/31/2024	WILLIAM ROANE SKEETERS, INC.	CRD# 113813	DALLAS, TX
IA	11/03/2006 - 08/02/2010	GREAT AMERICAN ADVISORS, INC.	CRD# 36451	DALLAS, TX
B	02/15/2005 - 08/02/2010	GREAT AMERICAN ADVISORS, INC.	CRD# 36451	DALLAS, TX
B	08/01/2000 - 02/15/2005	PMG SECURITIES CORPORATION	CRD# 27107	ELGIN, IL
B	05/28/1982 - 08/01/2000	INVESTORS BROKERAGE SERVICES, INC.	CRD# 4257	ELGIN, IL
B	12/10/1987 - 02/21/1989	INSTITUTIONAL ASSET MANAGEMENT	CRD# 17141	
B	04/06/1981 - 11/29/1988	DAVID HUCKIN AND ASSOCIATES INC.	CRD# 8593	
B	07/03/1986 - 11/23/1987	PHILADELPHIA LIFE ASSET PLANNING COMPANY	CRD# 629	
B	05/16/1986 - 07/31/1987	SLATERY SECURITIES GROUP, INC.	CRD# 10015	
B	04/08/1971 - 07/03/1986	SOUTHWESTERN MANAGEMENT & RESEARCH CORPORATION	CRD# 3461	
B	05/01/1979 - 06/15/1984	USLIFE EQUITY SALES CORP.	CRD# 7962	
B	11/28/1977 - 05/01/1979	USLIFE EQUITY SALES CORP	CRD# 6815	
B	07/12/1974 - 01/05/1978	TRAVELERS EQUITIES SALES, INC.	CRD# 833	
B	11/02/1973 - 07/12/1974	WESTAMERICA FINANCIAL CORPORATION	CRD# 883	
B	06/11/1969 - 08/20/1971	SOUTHWESTERN LIFE INS CO	CRD# 1000002	



Registration & Employment History

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
08/2010 - Present	LINCOLN INVESTMENT	Mass Transfer	Y	DALLAS, TX, United States
10/2005 - Present	WILLIAM R. SKEETERS HOLDINGS, INC.	OWNER	N	DALLAS, TX, United States
10/2005 - Present	WILLIAM ROANE SKEETERS, INC	PRESIDENT/REGISTERED REPRESENTATIVE/RIA	Y	DALLAS, TX, United States
02/2005 - Present	GREAT AMERICAN ADVISORS, INC.	Mass Transfer	Y	CINCINNATI, OH, United States
09/1966 - Present	WILLIAM R. SKEETERS, INC.	LIFE INSURANCE AGENT	Y	DALLAS, TX, United States
01/1965 - Present	MORRELL-SKEETERS	P & C INSURANCE AGENT	N	DALLAS, TX, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

SIMCO/FLEXIBLE PLANS

POSITION: SOLICITOR NATURE: REGISTERED INVESTMENT ADVISOR INVESTMENT RELATED: YES NUMBER OF HOURS: 0 INVESTMENT RELATED HOURS: 0 START DATE: 01/01/1997 ADDRESS: 3883 TELEGRAPH ROAD, SUITE 100, BLOOMFIELD HILLS MI 48302 DESCRIPTION: SOLICITOR

William R. Skeeters, Inc

Position: President, Agent Nature: insurance sales and service Investment Related: Yes Hours: 10 Securities Trading Hours: 10 Start Date: 1/1/1987 Address: 13760 Noel, LB 30 , Dallas, TX 75240 Description: insurance sales and service

William R Skeeters Holdings, Inc

Position: President Nature: Holding Company Investment Related: No Hours: 0 Securities Trading Hours: 0 Start Date: 1/10/2006 Address: 13760 Noel LB 30 , Dallas, TX 75240 Description: President-This company holds all stock in the other two corporations and files annual tax reports.

WILLIAM ROANE SKEETERS, INC

POSITION: President, IAR NATURE: IRIA INVESTMENT RELATED: Yes NUMBER OF HOURS: 50 SECURITIES TRADING HOURS: 50 START DATE: 01/10/2006 ADDRESS: 13760 Noel LB 30, Dallas TX 75240, United States DESCRIPTION: Registered Investment Advisor duties

COUCH OIL & GAS/OIL2 HOLDINGS, INC

POSITION: Investor in O & G working interest as a general partner. NATURE: Oil and Gas INVESTMENT RELATED: Yes



Registration & Employment History



OTHER BUSINESS ACTIVITIES

NUMBER OF HOURS: 0 SECURITIES TRADING HOURS: 0 START DATE: 03/01/2006
ADDRESS: 5339 Alpha Road, Suite 401, Dallas TX 75230, United States
DESCRIPTION: investment only.



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 1

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	GREAT AMERICAN ADVISORS
Allegations:	[CUSTOMER] ALLEGES THAT HE ASKED MR. SKEETERS TO LIQUIDATE THE MUTUAL FUND POSITIONS WITHIN HIS GREAT AMERICAN FUND CONNECTION ACCOUNT AND MOVE PROCEEDS TO THE MONEY MARKET. MR. SKEETERS DID THIS BUT FAILED TO TURN OFF THE AUTOMATIC REBALANCING FEATURE IN THE ACCOUNT. THE FUNDS WERE REINVESTED AND LOST MONEY DURING EXPOSURE TO THE MARKET.
Product Type:	Mutual Fund(s)
Alleged Damages:	\$70,803.61

Customer Complaint Information

Date Complaint Received:	11/26/2008
Complaint Pending?	No
Status:	Settled
Status Date:	02/13/2009
Settlement Amount:	\$101,520.44
Individual Contribution Amount:	\$5,000.00



End of Report

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