User Guidance

www.adviserinfo.sec.gov

IAPD Report

MARK ERIC DAVIS

CRD# 4275024

When communicating online or investing with any professional, make sure you know who you're dealing with. Imposters might link to sites like BrokerCheck from phishing or similar scam websites, or through social media, trying to steal your personal information or your money.

Please contact FINRA with any concerns.

IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page http://www.nasaa.org/IAPD/IARReports.cfm

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association http://www.nasaa.org

Report Summary

MARK ERIC DAVIS (CRD# 4275024)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **12/03/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	ALLEN, MOONEY & BARNES BROKERAGE SERVICES, LLC	CRD# 142619	10/26/2011
IA	AMB WEALTH, LLC	CRD# 113106	02/21/2018
IA	PREMIER WEALTH PARTNERS, LLC	CRD# 171695	10/28/2025

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **12** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.
Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	ALLEN, MOONEY & BARNES INVESTMENT ADVISORS, LLC	113106	ST SIMONS, GA	11/30/2011 - 12/31/2017
B	WELLS FARGO ADVISORS, LLC	19616	ST. SIMONS ISLAND, GA	01/01/2008 - 10/07/2011
IA	WELLS FARGO ADVISORS, LLC	19616	ST. SIMONS ISLAND, GA	01/01/2008 - 10/07/2011

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	2

Report Summary

Termination	1

Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works.
This individual is currently registered with **12** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 3

Firm Name: **ALLEN, MOONEY & BARNES BROKERAGE SERVICES, LLC**
Main Address: 135 S. MADISON STREET
THOMASVILLE, GA 31792
Firm ID#: 142619

	Regulator	Registration	Status	Date
B	FINRA	General Securities Representative	Approved	10/26/2011
B	FINRA	General Securities Principal	Approved	04/07/2015
B	FINRA	Compliance Officer	Approved	10/01/2018
B	Arkansas	Agent	Approved	08/14/2025
B	Florida	Agent	Approved	03/22/2012
B	Georgia	Agent	Approved	10/26/2011
B	Illinois	Agent	Approved	11/25/2025
B	Nevada	Agent	Approved	06/14/2016
B	New York	Agent	Approved	10/26/2011
B	North Carolina	Agent	Approved	11/18/2024
B	South Carolina	Agent	Approved	03/02/2017
B	Tennessee	Agent	Approved	11/30/2017
B	Texas	Agent	Approved	03/03/2025

Qualifications

	Regulator	Registration	Status	Date
B	Virginia	Agent	Approved	04/11/2013

Branch Office Locations

ST SIMONS, GA

60 Cinema Lane
Suite 250
ST SIMONS ISLAND, GA 31522

135 S MADISON STREET
THOMASVILLE, GA 31792

Employment 2 of 3

Firm Name: **PREMIER WEALTH PARTNERS, LLC**

Main Address: 201 SIGMA DRIVE
SUITE 340
SUMMERVILLE, SC 29486

Firm ID#: 171695

	Regulator	Registration	Status	Date
IA	South Carolina	Investment Adviser Representative	Approved	10/28/2025

Branch Office Locations

PREMIER WEALTH PARTNERS, LLC
201 SIGMA DRIVE
SUITE 340
SUMMERVILLE, SC 29486

Employment 3 of 3

Firm Name: **AMB WEALTH, LLC**

Main Address: 135 SOUTH MADISON STREET
THOMASVILLE, GA 31792

Firm ID#: 113106

	Regulator	Registration	Status	Date
IA	Georgia	Investment Adviser Representative	Approved	02/26/2018
IA	Louisiana	Investment Adviser Representative	Approved	03/26/2021

Qualifications

	Regulator	Registration	Status	Date
IA	North Carolina	Investment Adviser Representative	Approved	06/06/2023
IA	South Carolina	Investment Adviser Representative	Approved	09/23/2025
IA	Texas	Investment Adviser Representative	Restricted Approval	02/28/2025
IA	Virginia	Investment Adviser Representative	Approved	02/21/2018

Branch Office Locations

AMB WEALTH, LLC
60 Cinema Lane
Suite 250
St. Simons Island, GA 31522

Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 2 principal/supervisory exams, 2 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

	Exam	Category	Date
B	Compliance Officer Examination (S14)	Series 14	01/02/2023
B	General Securities Principal Examination (S24)	Series 24	04/07/2015

General Industry/Product Exams

	Exam	Category	Date
B	Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B	General Securities Representative Examination (S7)	Series 7	11/08/2000

State Securities Law Exams

	Exam	Category	Date
IA B	Uniform Combined State Law Examination (S66)	Series 66	05/16/2003
B	Uniform Securities Agent State Law Examination (S63)	Series 63	12/07/2000

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **1** professional designation(s).

Certified Financial Planner

This representative holds or did hold **1** professional designation(s) that may have been used to qualify as an Investment Advisor representative. Please check with the appropriate designation authority for verification that the designation is still in effect. The contact information for these professional designation authorities can be found on the website for the North American Securities Administrators Association at http://www.nasaa.org

Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	11/30/2011 - 12/31/2017	ALLEN, MOONEY & BARNES INVESTMENT ADVISORS, LLC	CRD# 113106	ST SIMONS, GA
B	01/01/2008 - 10/07/2011	WELLS FARGO ADVISORS, LLC	CRD# 19616	ST. SIMONS ISLAND, GA
IA	01/01/2008 - 10/07/2011	WELLS FARGO ADVISORS, LLC	CRD# 19616	ST. SIMONS ISLAND, GA
B	11/09/2000 - 01/03/2008	A. G. EDWARDS & SONS, INC.	CRD# 4	ST. SIMONS ISLAND, GA
IA	05/19/2003 - 01/01/2008	A. G. EDWARDS & SONS, INC.	CRD# 4	ST. SIMONS ISLAND, GA

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
09/2025 - Present	Premier Wealth Partners	Investment Adviser Representative	Y	Summerville, SC, United States
10/2011 - Present	Allen, Mooney and Barnes Brokerage Services	Registered Representative	Y	Thomasville, GA, United States
10/2011 - Present	Allen, Mooney and Barnes Investment Advisors	Investment Adviser Representative	Y	Thomasville, GA, United States
05/2009 - Present	WELLS FARGO ADVISORS LLC	REGISTERED REP	Y	SAINT SIMONS ISLAND, GA, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

Licensed Insurance Agent: Investment related - Non variable insurance sales; 3 hours monthly - 3 hours during market hours; Start date: 05.04.2007

Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least $5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending, on appeal,* or *final*.
  - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
  - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
  - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated, settled* or *otherwise resolved*.
  - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
  - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
  - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.

DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	2
Termination	1

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 2

Reporting Source:	Firm
Employing firm when activities occurred which led to the complaint:	WELLS FARGO ADVISORS LLC
Allegations:	CLAIMANT ALLEGES THAT HER ACCOUNT WAS OVER-CONCENTRATED IN AN UNSUITABLE CLOSED-END FUND (NUVEEN GEORGIA DIVIDEND ADVANTAGE MUNICIPAL FUND 2 OR "NKG") PURCHASED IN SEPTEMBER 2002, AND PREFERRED STOCK (FANNIE MAE SERIES T OR "FNMT"), PURCHASED IN MAY 2008.
Product Type:	Other: MISCELLANEOUS
Alleged Damages:	$0.00
Alleged Damages Amount Explanation (if amount not exact):	CLAIMANT IS SEEKING DAMAGES FOR LOSSES IN AN UNSPECIFIED AMOUNT, BUT BELIEVED TO BE OVER $5,000.
Is this an oral complaint?	No
Is this a written complaint?	No
Is this an arbitration/CFTC reparation or civil litigation?	Yes
Arbitration/Reparation forum or court name and location:	FINRA
Docket/Case #:	14-01698
Filing date of	07/08/2014

arbitration/CFTC reparation or civil litigation:	

Customer Complaint Information

Date Complaint Received:	07/08/2014
Complaint Pending?	No
Status:	Settled
Status Date:	10/23/2014
Settlement Amount:	$8,000.00
Individual Contribution Amount:	$0.00
Firm Statement	THIS MATTER WAS SETTLED TO AVOID THE EXPENSE AND DISTRACTION OF FURTHER LITIGATION AND DOES NOT REPRESENT AN ADMISSION OF LIABILITY OR WRONGDOING.

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	WELLS FARGO
Allegations:	CLAIMANT ALLEGES THAT HER ACCOUNT WAS OVER-CONCENTRATED IN AN UNSUITABLE CLOSED END FUND (NUVEEN GEORGIA DIVIDEND ADVANT MUNICIPAL FUND 2 OR NKG) PURCHASED IN SEPTEMBER 2002 AND PREFERRED STOCK (FANNIE MAE SERIES T R FNMT) PURCHASED IN MAY 2008
Product Type:	Other: MISCELLANEOUS
Alleged Damages:	$0.00
Alleged Damages Amount Explanation (if amount not exact):	CLAIMANT IS SEEKING DAMAGES FOR LOSSES IN AN UNSPECIFIED AMOUNT BUT BELIEVED TO BE OVER $5000
Is this an oral complaint?	No
Is this a written complaint?	No
Is this an arbitration/CFTC reparation or civil litigation?	Yes
Arbitration/Reparation forum or court name and location:	FINRA
Docket/Case #:	14-016898
Filing date of arbitration/CFTC reparation or civil litigation:	07/08/2014

Customer Complaint Information

Date Complaint Received:	07/08/2014
Complaint Pending?	No
Status:	Settled

Status Date:	10/23/2014
Settlement Amount:	$8,000.00
Individual Contribution Amount:	$0.00

Disclosure 2 of 2

Reporting Source:	Regulator
Employing firm when activities occurred which led to the complaint:	WELLS FARGO ADVISORS, LLC
Allegations:	BREACH OF CONTRACT; BREACH OF FIDUCIARY DUTY; VIOLATION OF FINRA CONDUCT RULES; NEGLIGENCE AND NEGLIGENT MISREPRESENTATION AND OMISSIONS; VIOLATION OF THE GEORGIA BLUE SKY ACT
Product Type:	Other: VARIOUS UNSPECIFIED EXCHANGE TRADED FUNDS, COMMODITIES, SPECULATIVE STOCKS
Alleged Damages:	$5,000,000.00

Arbitration Information

Arbitration/Reparation Claim filed with and Docket/Case No.:	FINRA - CASE #11-01945
Date Notice/Process Served:	05/16/2011
Arbitration Pending?	No
Disposition:	Stipulated Award
Disposition Date:	04/25/2012
Disposition Detail:	DAVIS WAS A SUBJECT OF THE CUSTOMER'S STATEMENT OF CLAIM FOR THIS ARBITRATION ALLEGING THAT HE WITH HIS MEMBER FIRM CONTRIBUTED TO THE SALES PRACTICE VIOLATION(S). ON OR ABOUT FEBRUARY 17, 2012, THE PARTIES ADVISED FINRA DISPUTE RESOLUTION THAT THEY HAD SETTLED THIS MATTER.

Reporting Source:	Firm
Employing firm when activities occurred which led to the complaint:	WELLS FARGO ADVISORS, LLC
Allegations:	CLAIMANT, A GEORGIA RESIDENT, ALLEGES BETWEEN 2008 AND 2010 FA MADE UNSUITABLE INVESTMENT RECOMMENDATIONS. CLAIMANT IS REQUESTING DAMAGES OF MORE THAN $5,000,000. DATE FILED: 05/24/11 DATE SERVED: 05/31/11
Product Type:	Futures Commodity
Alleged Damages:	$0.00
Alleged Damages Amount Explanation (if amount not exact):	CLAIMANT IS REQUESTING DAMAGES OF MORE THAN $5,000,000
Is this an oral complaint?	No

Is this a written complaint? No

Is this an arbitration/CFTC reparation or civil litigation? Yes

Arbitration/Reparation forum or court name and location: FINRA

Docket/Case #: 11-01945

Filing date of arbitration/CFTC reparation or civil litigation: 05/24/2011

Customer Complaint Information

Date Complaint Received: 05/31/2011

Complaint Pending? No

Status: Settled

Status Date: 02/17/2012

Settlement Amount: $2,050,000.00

Individual Contribution Amount: $0.00

Firm Statement WITHOUT ADMITTING ANY LIABILITY, THE FIRM SETTLED THE MATTER FOR $2,050,000.00

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: WELLS FARGO ADVISORS, LLC

Allegations: CLAIMANT, A GEORGIA RESIDENT, ALLEGES BETWEEN 2008 AND 2010 FA MADE UNSUITABLE INVESTMENT RECOMMENDATIONS. CLAIMANT IS REQUESTING DAMAGES OF MORE THAN $5,000,000.
DATE FILED: 05/24/11
DATE SERVED: 05/31/11

Product Type: Futures Commodity

Alleged Damages: $0.00

Alleged Damages Amount Explanation (if amount not exact): CLAIMANT IS REQUESTING DAMAGES OF MORE THAN $5,000,000.

Is this an oral complaint? No

Is this a written complaint? No

Is this an arbitration/CFTC reparation or civil litigation? Yes

Arbitration/Reparation forum or court name and location: FINRA

Docket/Case #: 11-01945

Filing date of arbitration/CFTC reparation or civil litigation:	05/24/2011

Customer Complaint Information

Date Complaint Received:	05/31/2011
Complaint Pending?	No
Status:	Settled
Status Date:	02/17/2012
Settlement Amount:	$2,050,000.00
Individual Contribution Amount:	$0.00

Termination

This disclosure event involves a situation where the Investment Adviser Representative voluntarily resigned, was discharged or was permitted to resign after allegations were made that accused the Investment Adviser Representative of violating investment-related statutes, regulations, rules or industry standards of conduct; fraud or the wrongful taking of property; or failure to supervise in connection with investment-related statutes, regulations, rules or industry standards of conduct.

Disclosure 1 of 1

Reporting Source:	Firm
Firm Name:	WELLS FARGO ADVISORS, LLC
Termination Type:	Discharged
Termination Date:	09/14/2011
Allegations:	VIOLATED FIRM POLICY BY MAKING RECOMMENDATIONS TO A CLIENT REGARDING COMMODITIES WITHOUT PROPER LICENSURE AND BY ADVISING A CLIENT REGARDING A SECURITIES TRANSACTION OUTSIDE THE FIRM'S REGULAR BUSINESS PROCESS
Product Type:	Commodity Option

Reporting Source:	Individual
Firm Name:	WELLS FARGO ADVISORS
Termination Type:	Discharged
Termination Date:	09/14/2011
Allegations:	VIOLATED FIRM POLICY BY MAKING RECOMMENDATIONS TO A CLIENT REGARDING COMMODITIES WITHOUT PROPER LICENSURE AND BY ADVISING A CLIENT REGARDING A SECURITIES TRANSACTION OUTSIDE OF THE FIRM'S REGULAR BUSINESS PROCESS
Product Type:	Commodity Option
Broker Statement	DISCUSSIONS WERE WITH A PERSONAL FRIEND, ON A PERSONAL BASIS, NO COMPENSATION WAS RECEIVED

End of Report

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