



## IAPD Report

# KIRK PATRICK JONES

CRD# 4279955

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Please contact FINRA with any concerns.



## IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

### What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

### Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

### How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

### Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

### What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

### Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



## Report Summary

### KIRK PATRICK JONES (CRD# 4279955)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **10/21/2024**.

### CURRENT EMPLOYERS

|           | Firm                        | CRD#       | Registered Since |
|-----------|-----------------------------|------------|------------------|
| <b>B</b>  | MML INVESTORS SERVICES, LLC | CRD# 10409 | 03/25/2017       |
| <b>IA</b> | MML INVESTORS SERVICES, LLC | CRD# 10409 | 03/25/2017       |

### QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **1** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

**Note:** Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

### REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

|           | FIRM                                     | CRD#  | LOCATION              | REGISTRATION DATES      |
|-----------|--|-------|-----------------------|-------------------------|
| <b>IA</b> | MSI FINANCIAL SERVICES, INC.             | 14251 | BROADVIEW HEIGHTS, OH | 06/18/2007 - 03/25/2017 |
| <b>B</b>  | MSI FINANCIAL SERVICES, INC.             | 14251 | BROADVIEW HEIGHTS, OH | 10/30/2000 - 03/25/2017 |
| <b>B</b>  | METROPOLITAN LIFE INSURANCE COMPANY 4095 |       | BROADVIEW HEIGHTS, OH | 10/30/2000 - 07/09/2007 |

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

### DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **No**



## Qualifications

### REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with 1 jurisdiction(s) and 1 SRO(s) through his or her employer(s).

### Employment 1 of 1

Firm Name: **MML INVESTORS SERVICES, LLC**  
Main Address: 1295 STATE STREET  
SPRINGFIELD, MA 01111-0001  
Firm ID#: 10409

|           | Regulator | Registration                      | Status   | Date       |
|-----------|-----------|-----------------------------------|----------|------------|
| <b>B</b>  | FINRA     | Invest. Co and Variable Contracts | Approved | 03/25/2017 |
| <b>B</b>  | Ohio      | Agent                             | Approved | 03/25/2017 |
| <b>IA</b> | Ohio      | Investment Adviser Representative | Approved | 03/25/2017 |

### Branch Office Locations

**MML INVESTORS SERVICES, LLC**  
1956 CARTER ROAD  
SUITE 200  
CLEVELAND, OH 44113

**MML INVESTORS SERVICES, LLC**  
KENT, OH



## Qualifications

### PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

**This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 0 state securities law exams.**

#### Principal/Supervisory Exams

| Exam | Category | Date |
|------|----------|------|
|------|----------|------|

No information reported.

#### General Industry/Product Exams

| Exam | Category | Date |
|------|----------|------|
|------|----------|------|

|  |     |            |
|--|-----|------------|
| Securities Industry Essentials Examination (SIE) | SIE | 10/01/2018 |
|--|-----|------------|

|  |          |            |
|--|----------|------------|
| Investment Company Products/Variable Contracts Representative Examination (S6) | Series 6 | 10/27/2000 |
|--|----------|------------|

#### State Securities Law Exams

| Exam | Category | Date |
|------|----------|------|
|------|----------|------|

No information reported.

### PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



## Registration & Employment History

### PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

|    | Registration Dates      | Firm Name                           | ID#        | Branch Location    |
|----|-------------------------|-------------------------------------|------------|--------------------|
| IA | 06/18/2007 - 03/25/2017 | MSI FINANCIAL SERVICES, INC.        | CRD# 14251 | BROADVIEW HEIGHTS, |
| B  | 10/30/2000 - 03/25/2017 | MSI FINANCIAL SERVICES, INC.        | CRD# 14251 | BROADVIEW HEIGHTS, |
| B  | 10/30/2000 - 07/09/2007 | METROPOLITAN LIFE INSURANCE COMPANY | CRD# 4095  | BROADVIEW HEIGHTS, |

### EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

| Employment Dates  | Employer Name                               | Position                  | Investment Related | Employer Location                |
|-------------------|---|---------------------------|--------------------|----------------------------------|
| 03/2017 - Present | MML investors Services, LLC                 | Registered Representative | Y                  | Cleveland, OH, United States     |
| 07/2016 - Present | Massachusetts Mutual Life Insurance Company | Agent                     | Y                  | Cleveland, OH, United States     |
| 09/2000 - 03/2017 | METLIFE SECURITIES INC                      | ACCT REP                  | Y                  | BROADVIEW HTS, OH, United States |
| 09/2000 - 03/2017 | METROPOLITAN LIFE INS CO                    | ACCT REP                  | Y                  | BROADVIEW HTS, OH, United States |

### OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

- (1)NAME: KIRK & BRENDA JONES INV REL: Y ADD: 413 PARK AVE, FRANKFORT, MI 49635 NATURE: SIMPLE RENTAL PROPERTY POSITION: OWNER/LANDLORD START DATE: 11/01/2021 No. HR/MO: 8. No. HR/MO DURING SEC TRADING: 0. DESCRIBE DUTIES: UTILIZING A LOCAL PROPERTY MANAGEMENT COMPANY TO HANDLE ALL ASPECTS OF RENTING AND MAINTAINING THIS PROPERTY. ANTICIPATE SPENDING 1-2 HOURS/WEEK
- (2)NAME: KIRK JONES INV REL: Y ADD: 3214-3218 SANDY LAKE ROAD, ROOTSTOWN, OH 44266 NATURE: SIMPLE RENTAL PROPERTY POSITION: CO-OWNER/LANDLORD START DATE: 07/28/1990 NO. HR/MO: 10. NO. HR/MO DURING SEC TRADING: 0. DESCRIBE DUTIES: COLLECTING MONTHLY RENTS, CONDUCTING AND/OR COORDINATING MAINTENANCE AND REPAIRS. ACQUIRING NEW TENANTS, ETC.



## End of Report

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